

# ***O que os nanomateriais podem nos ensinar em termos de Física de sistemas de muitos corpos?***

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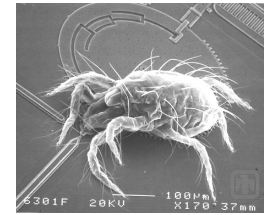


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# “The scale of things” (US DOE-BES)

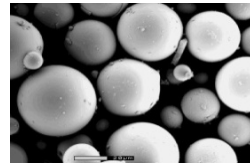
## Things Natural



Dust mite  
200  $\mu$ m



Ant  
~ 5 mm

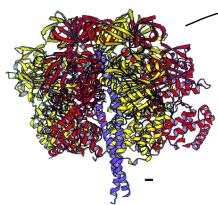


Fly ash  
~ 10-20  $\mu$ m

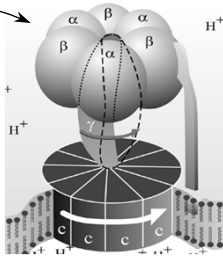


Human hair  
~ 60-120  $\mu$ m wide

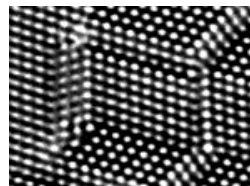
Red blood cells  
(~7-8  $\mu$ m)



~10 nm diameter



ATP synthase



Atoms of silicon  
spacing ~tenths of nm

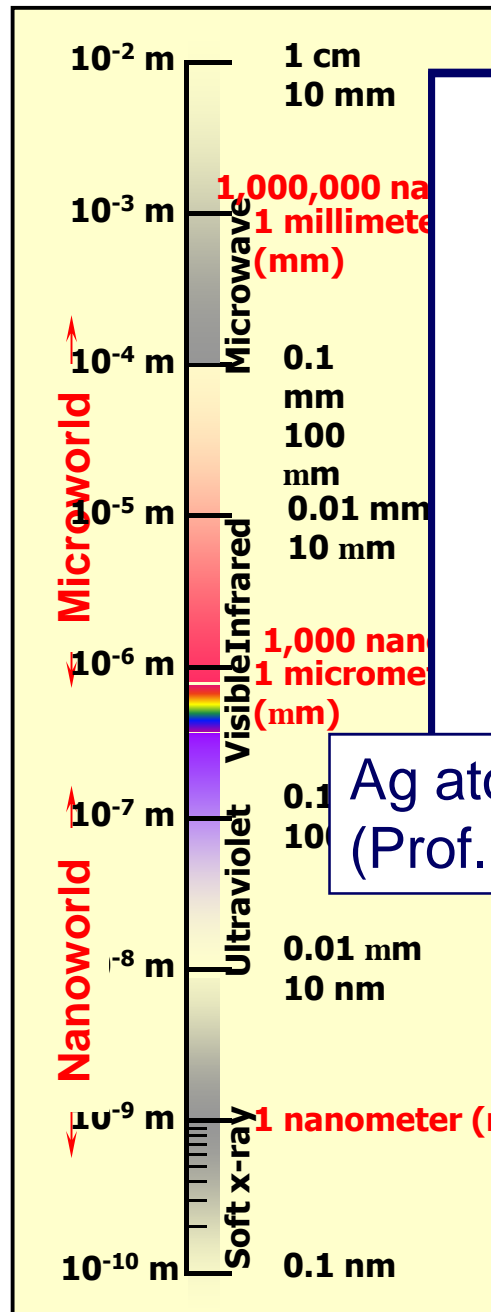
## Things Manmade

“NanoSmiley”



36 nm

Ag atoms in a Ag(111) surface (STM).  
(Prof. Saw Hla's group, Ohio University)



Nanotube electrode

Quantum corral of 48 iron atoms on Cu surface positioned one at a time with an STM tip

Carbon nanotube  
~1.3 nm diameter  
Dias  
or/~luisdias

Let's start with a very simple question:

What's the solution of:

$$i\hbar \frac{\partial}{\partial t} |\Psi_{\text{all}}\rangle = H_{\text{all}} |\Psi_{\text{all}}\rangle \quad ?$$

In other words....

What's the answer to life, the universe and everything?

And the answer is... (Hint: you can Google it!)

**42**

According to "The Hitchhiker's Guide to the Galaxy, by Douglas Adams

# “Theory of Everything”

R. B. Laughlin and David Pines, PNAS **97** 28-31 (2000)

$$i\hbar \frac{\partial}{\partial t} |\Psi_{\text{all}}\rangle = H_{\text{all}} |\Psi_{\text{all}}\rangle$$

In our everyday life, “everything” is made of **electrons** and **nuclei**.

Kinetic energy  
**electrons** e **nuclei**

$$H_{\text{all}} = \sum_j^{N_e} \frac{p_j^2}{2m_e} + \sum_{\alpha}^{N_n} \frac{P_{\alpha}^2}{2M_n}$$

Attractive/repulsive interactions  
between **electrons** e **nuclei**

$$- \sum_j^{N_e} \sum_{\alpha}^{N_n} \frac{Z_{\alpha} e^2}{|\mathbf{r}_j - \mathbf{R}_{\alpha}|}$$

**electron-nuclei**

$$+ \sum_{j,k}^{N_e} \frac{e^2}{|\mathbf{r}_j - \mathbf{r}_k|}$$

**electron-electron**

$$+ \sum_{\alpha,\beta}^{N_n} \frac{Z_{\alpha} Z_{\beta} e^2}{|\mathbf{R}_{\alpha} - \mathbf{R}_{\beta}|}$$

**nuclei-nuclei**

Not included: - Light and photons in general (which can be important)  
- *Gravity* - *Nuclear forces*, etc.

# “Theory of Everything” does not predict everything!

$$i\hbar \frac{\partial}{\partial t} |\Psi_{\text{all}}\rangle = H_{\text{all}} |\Psi_{\text{all}}\rangle$$

R. B. Laughlin and David Pines, PNAS **97** 28-31 (2000)

- Can only solve this equation exactly for small systems ( $N_e, N_n \sim 10$ ).
- Large systems: Much harder problem!
- Some approximations sometimes work well: Hartree-Fock, CI, DFT (+GGA, B3LYP), GW, etc.

# “Theory of Everything” does not predict everything!

$$i\hbar \frac{\partial}{\partial t} |\Psi_{\text{all}}\rangle = H_{\text{all}} |\Psi_{\text{all}}\rangle$$

R. B. Laughlin and David Pines, PNAS **97** 28-31 (2000)

- Exact solution only for  $N_{e,h} \sim 10!$
- Even if one *could* solve it, this equation (as is) does not predict several fundamental behaviors!

Conductance quantum in the quantum Hall effect  
( $=e^2/h$ ).

- Quantum magnetic flux ( $=hc/2e$ ) in superconducting rings (or in the Josephson effect).
- Magnetic field generated by rotating superconductors ( $=e/mc$ ).

Experimental measurement of some of the fundamental physical constants:  
 $h$ ,  $m$  and  $c$ !

Why???

These are *emergent* phenomena!

## In short:



Robert Laughlin - Stanford  
Nobel Prize winner – 1998

“We have succeeded in reducing all of ordinary physical behavior to a simple, correct Theory of Everything only to discover that it has revealed exactly nothing about many things of great importance.”



David Pines  
U.C. Davis

Robert B. Laughlin and David Pines, “Theory of Everything”  
PNAS **97** 28-31 (2000)

# “More is Different!”



“ The behavior of large and complex aggregates of elementary particles, it turns out, is not to be understood in terms of simple extrapolation of the properties of a few particles.

Instead, at each level of complexity entirely new properties appear and the understanding of the new behaviors requires research which I think is as fundamental in its nature as any other.“

Phillip W. Anderson, “More is different”,  
*Science* **177** 393 (1972)

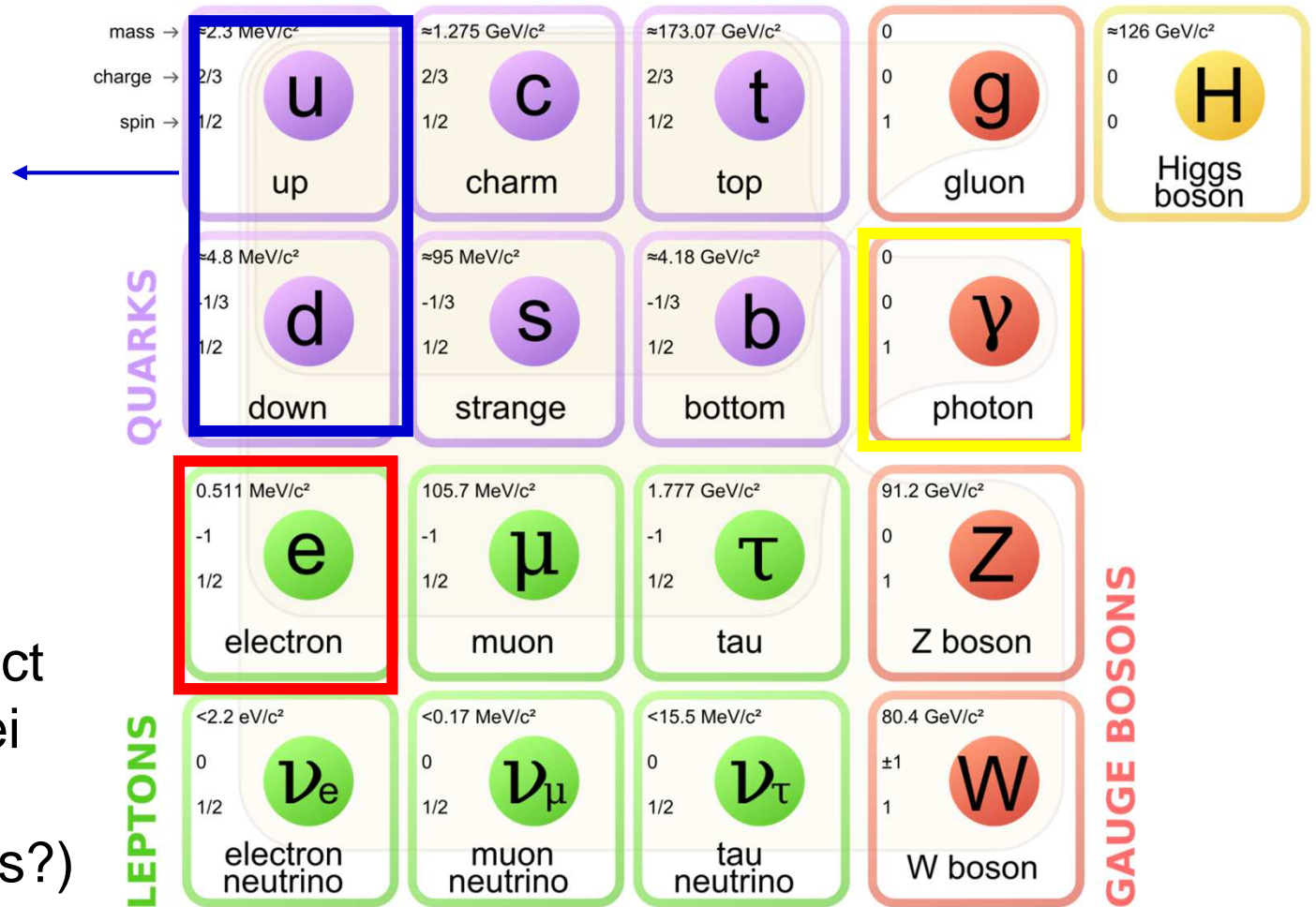


# What is fundamental?

Nuclei

Too much information!

All we need to make up all materials we have contact with is: electrons + nuclei  
(and perhaps some photons?)



Standard Model Particles

# How to do it then? *Model-based approach.*



In almost every case where I have been really successful it has been by dint of discarding almost all of the apparently relevant features of reality in order to create a “model” which has the two almost incompatible features:

- 1) enough *simplicity* to be solvable, or at least understandable;
- 2) enough *complexity* left to be interesting, in the sense that the remaining complexity *actually contains some essential features which mimic the actual behavior of the real world*, preferably in one of its as yet unexplained aspects.

Phillip W. Anderson, “More and Different: Notes from a Thoughtful Curmudgeon”

# Minicourse contents:

## Lecture 1:

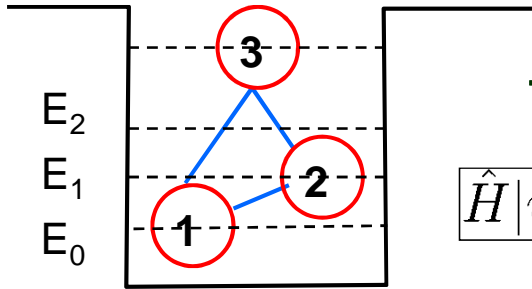
- Intro: “More is Different”.
- The Kondo effect: a true “More is Different” phenomenon.
- Wilson’s numerical renormalization group method.

## Lecture 2:

- Applications I: Magnetic molecules on surfaces.
- Applications II: Vacancies in Graphene.

Lecture 1:  
Kondo effect and Wilson's Numerical  
Renormalization Group method.

# Example I: Many-body Quantum Well



“Particle in a box” :

$$\hat{H}^{(1)} |\phi_i^{(1)}\rangle = E_i^{(1)} |\phi_i^{(1)}\rangle$$

Two *interacting* particles

$$\hat{H} = \hat{H}^{(1)} + \hat{H}^{(2)} + \hat{V}_{1,2}$$

$$\hat{H} |\psi_k\rangle = E_k |\psi_k\rangle$$

$$|\psi_k\rangle = |\phi_i^{(1)}\rangle \otimes |\phi_j^{(2)}\rangle \otimes |\psi_j^{(2)}\rangle$$

$ij$

$$E_k = E_k^{(1)} + E_k^{(2)}$$

(via diagonalization...)

$$\bar{\psi}_k^{S,A}(\mathbf{r}_1, \mathbf{r}_2) = \pm \bar{\psi}_k^{S,A}(\mathbf{r}_2, \mathbf{r}_1)$$

Two *indistinguishable* particles (bosons/fermions)

## Many-body system

$$\hat{H} = \sum_a \hat{H}^{(a)} + \sum_{a \neq b} \hat{V}_{a,b}$$

$$|\psi_0\rangle = \sum_{ij \dots z} C_{ij \dots z}^0 |\psi_i^{(1)}\rangle \otimes |\psi_j^{(2)}\rangle \dots \otimes |\psi_z^{(N)}\rangle$$

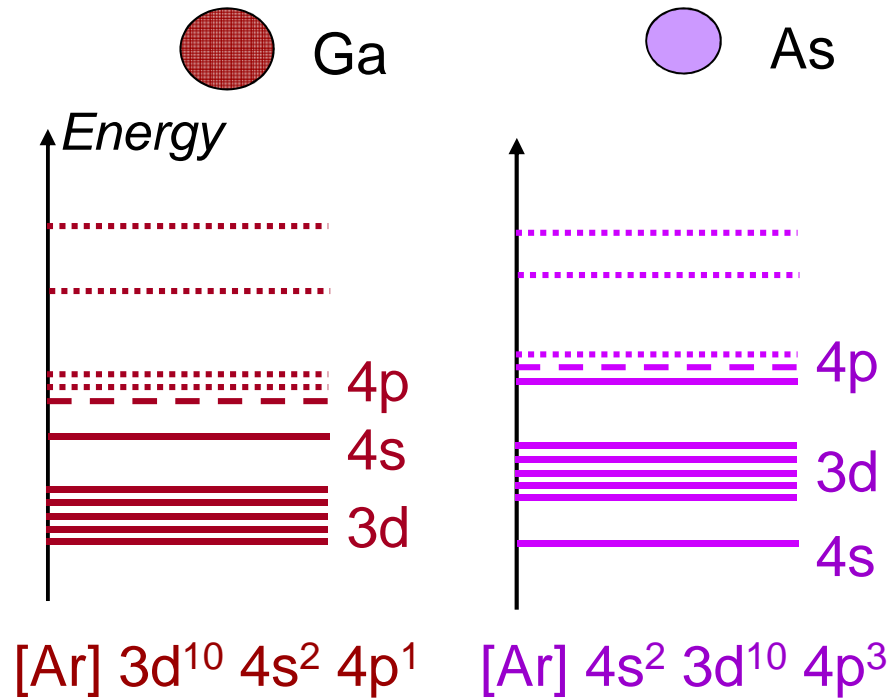
$$E_0 = ?$$

Ground state (sometimes, it's all you can do!)

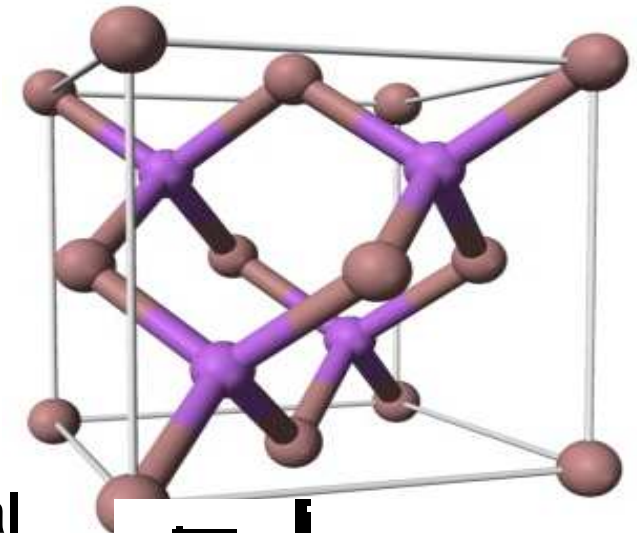
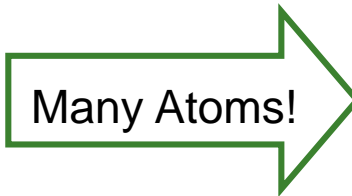
$$\psi_0^{S,A}(\mathbf{r}_1, \dots, \mathbf{r}_k, \dots, \mathbf{r}_\ell, \dots, \mathbf{r}_N) = \pm \psi_0^{S,A}(\mathbf{r}_1, \dots, \mathbf{r}_\ell, \dots, \mathbf{r}_k, \dots, \mathbf{r}_N)$$

N *indistinguishable* particles (bosons/fermions)

# From atoms to solids



Atomic Energy levels

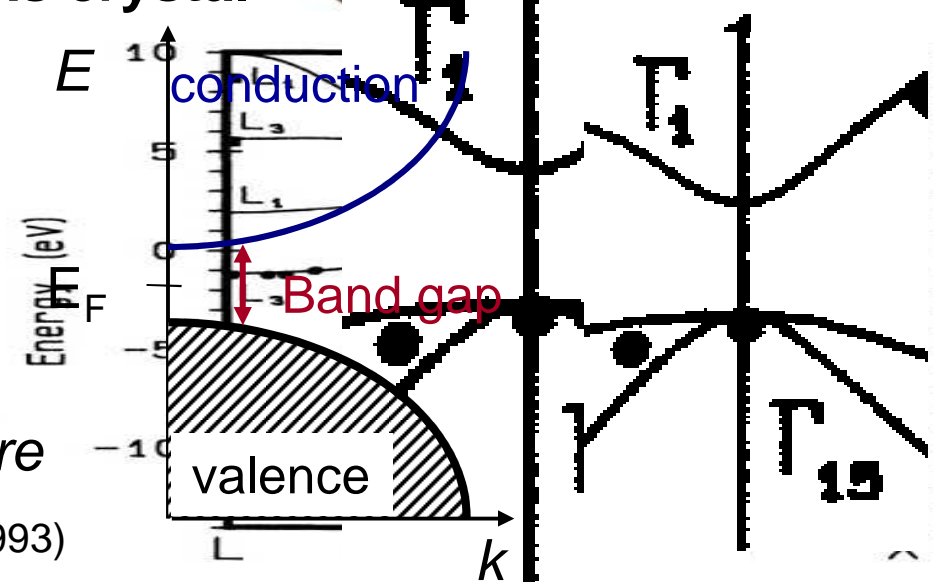


GaAs crystal

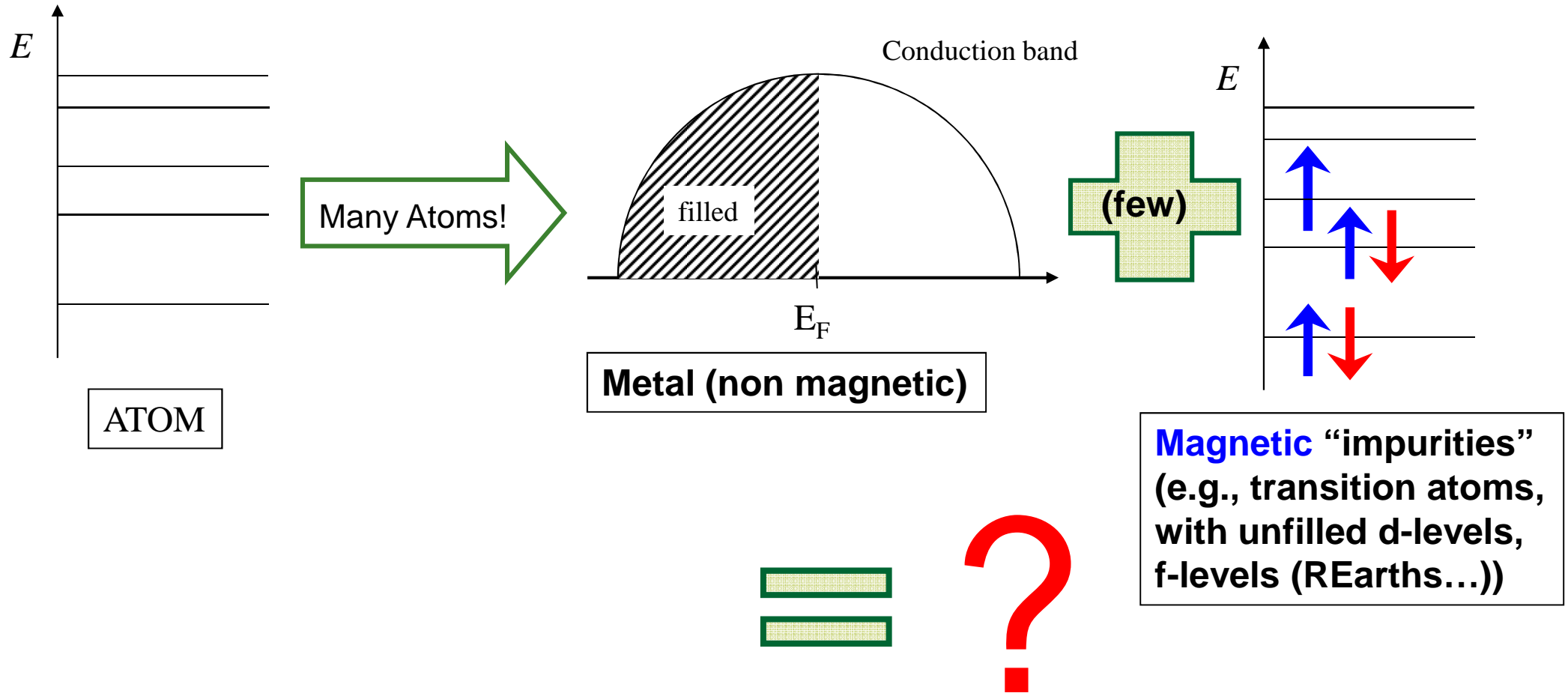


Band structure

M. Rohlfing et al. PRB **48** 17791 (1993)



# From atoms to metals + atoms...



**Is the resulting compound still a metal ?**

# Kondo effect

## Magnetic impurity in a metal.

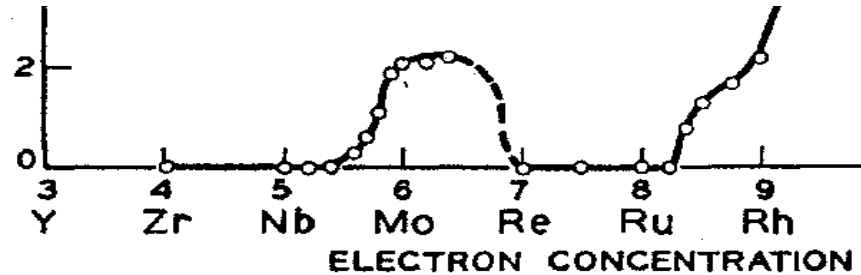
30's - Resistivity measurements:

**minimum in  $\rho(T)$ ;**

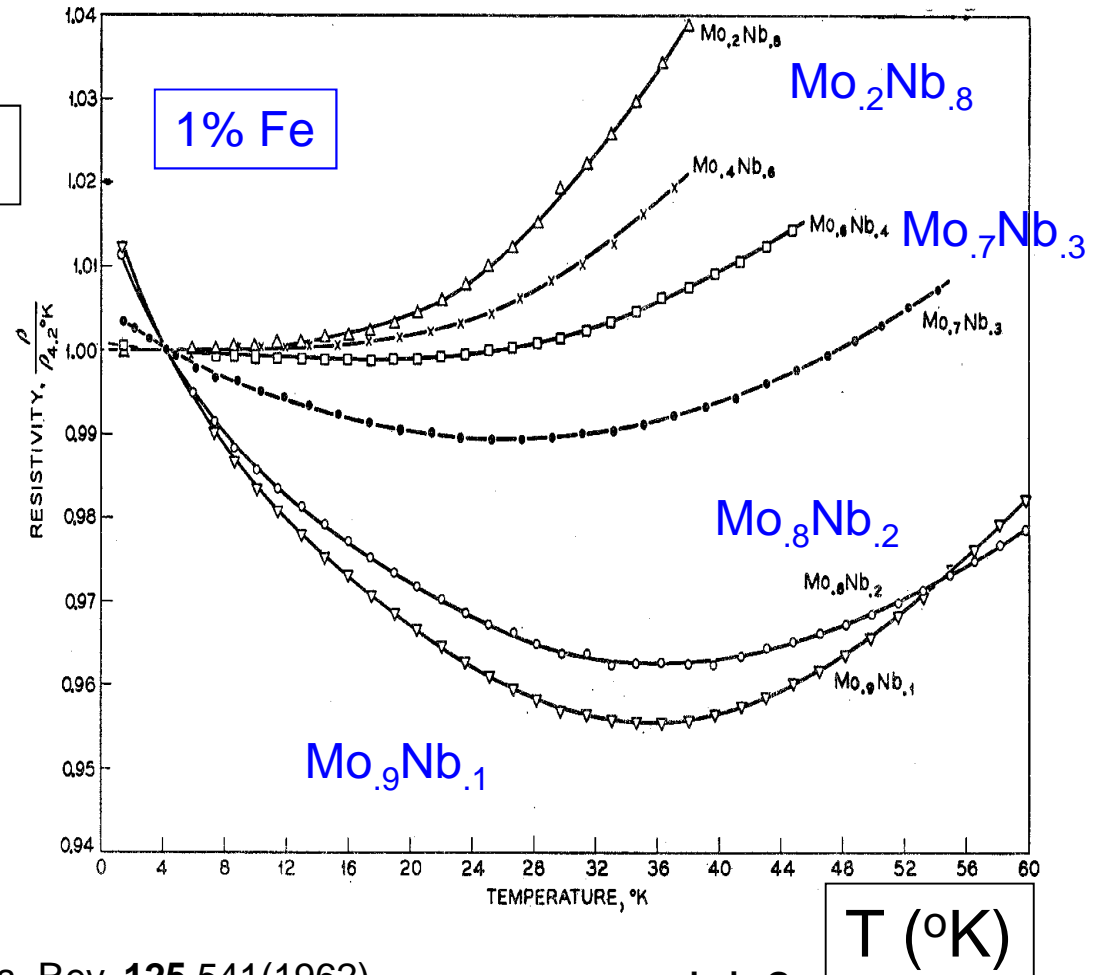
$T_{\min}$  depends on  $c_{\text{imp}}$ .

60's - Correlation between the existence of a Curie-Weiss component in the susceptibility (**magnetic moment**) and resistance minimum .

$$\mu_{\text{Fe}}/\mu_{\text{B}}$$

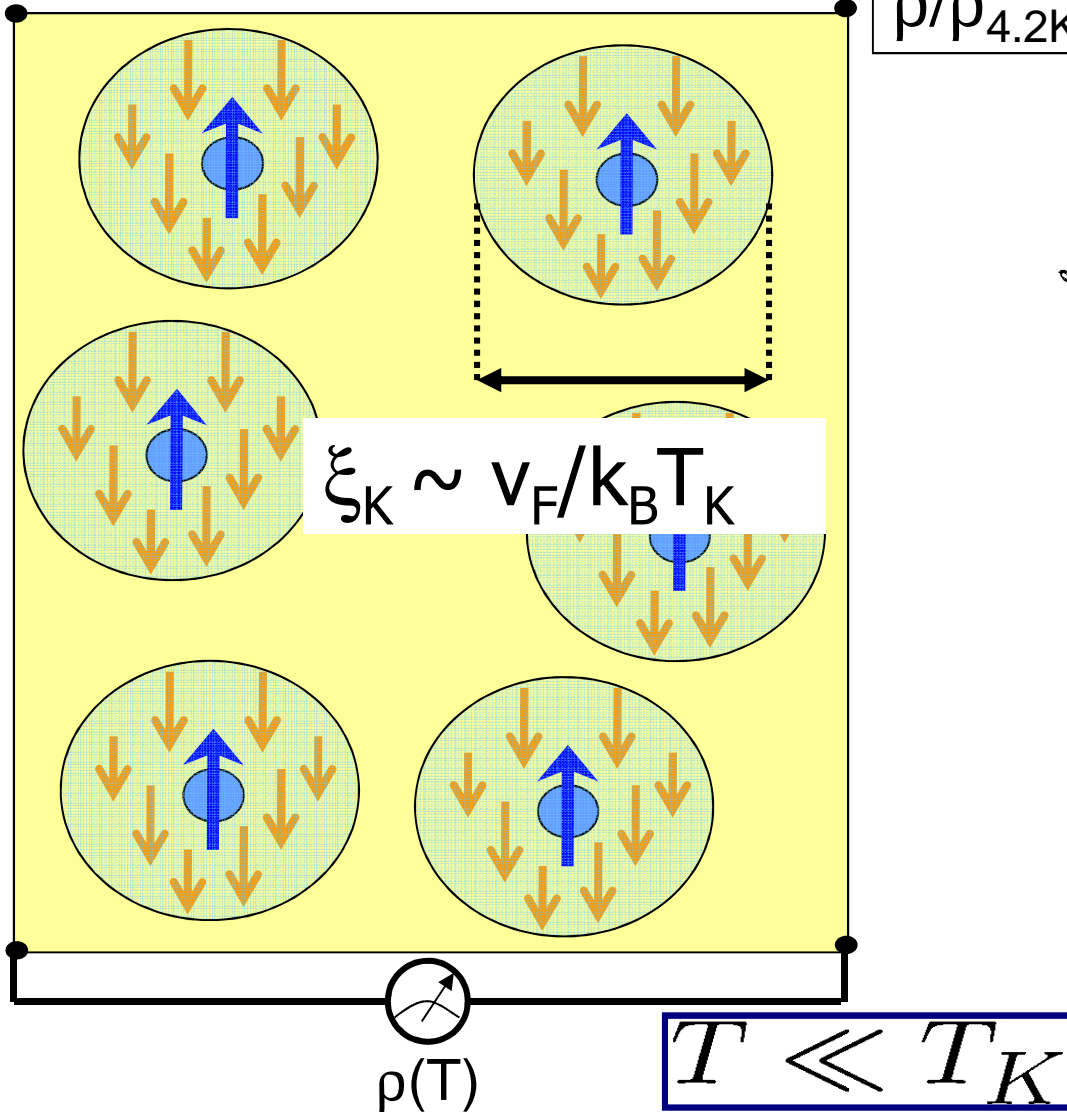


$$\rho/\rho_{4.2\text{K}}$$

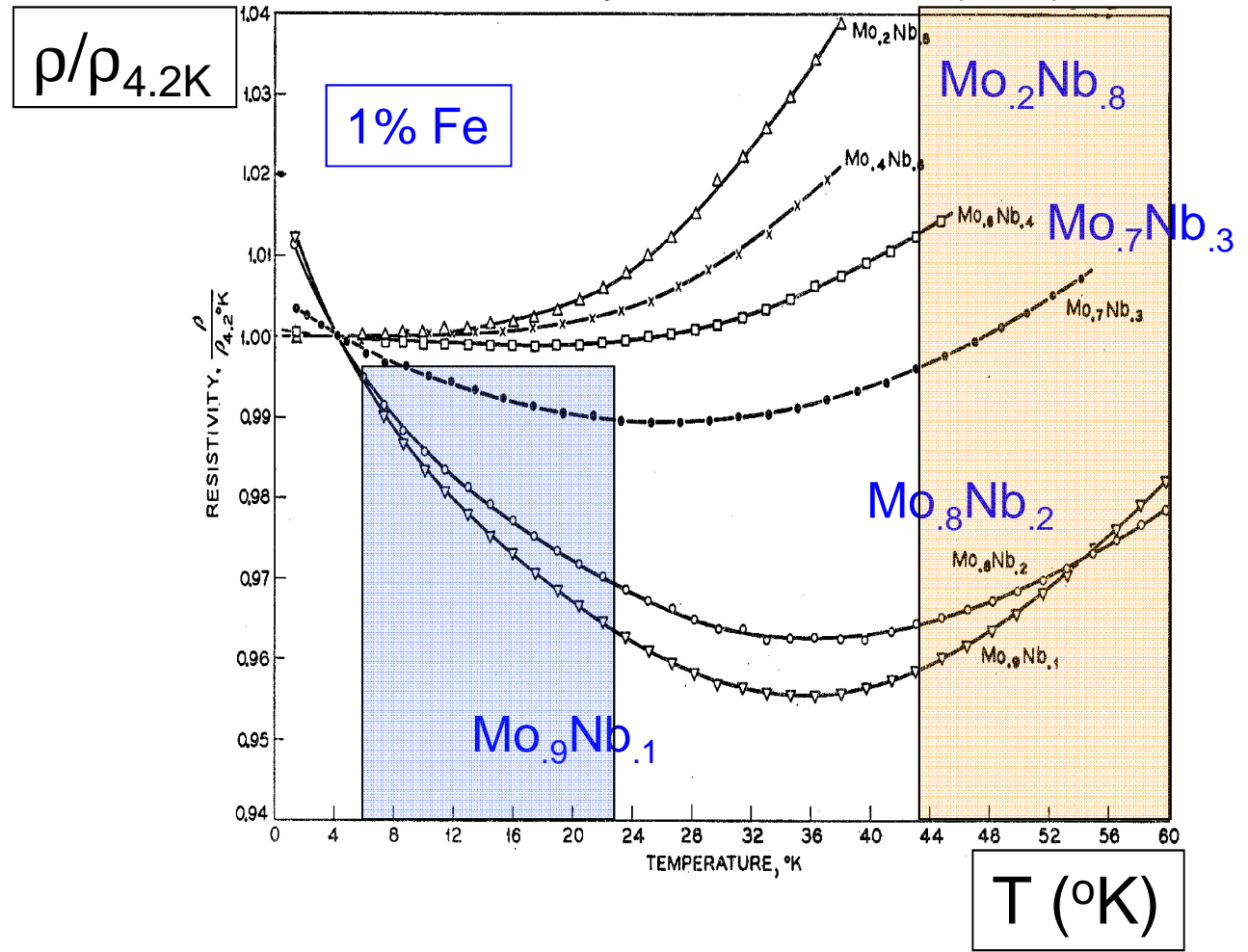




# Kondo effect



M.P. Sarachik *et al* Phys. Rev. **135** A1041 (1964).



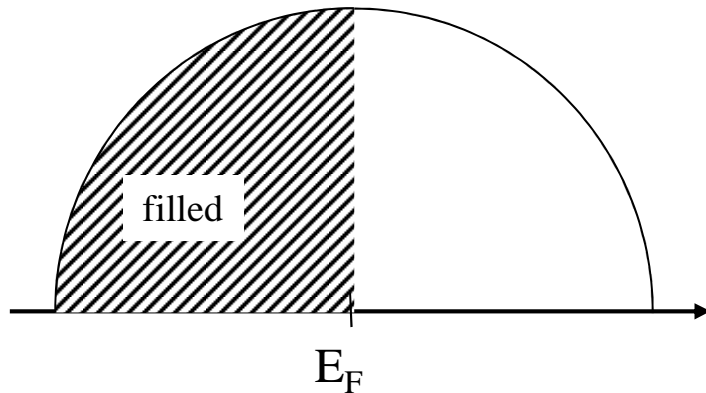
Resistivity ~~increases~~ ~~decreases~~ with ~~decreasing~~ ~~increasing~~  $T$  (Kondo effect): the Kondo effect

# Kondo problem: s-d Hamiltonian

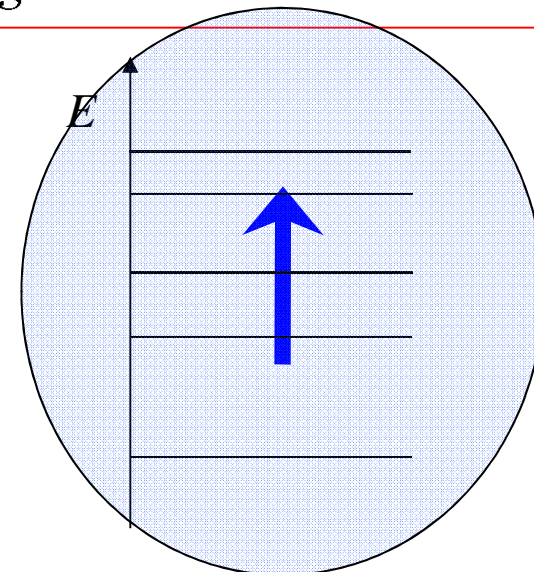
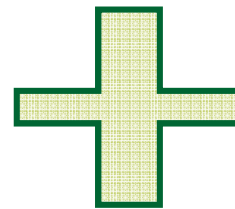
- Kondo problem: s-wave coupling with spin impurity (s-d model):

$$H_K = \sum_{\mathbf{k}s} \epsilon_{\mathbf{k}s} \hat{n}_{\mathbf{k}s} + J \sum_{\mathbf{k}s; \mathbf{k}'s'} c_{\mathbf{k}s}^\dagger (\mathbf{S} \cdot \vec{\sigma})_{ss'} c_{\mathbf{k}'s'}$$

Conduction band



**Metal (non magnetic, s-band)**



**Magnetic impurity (unfilled d-level)**

# Kondo's explanation for $T_{\min}$ (1964)

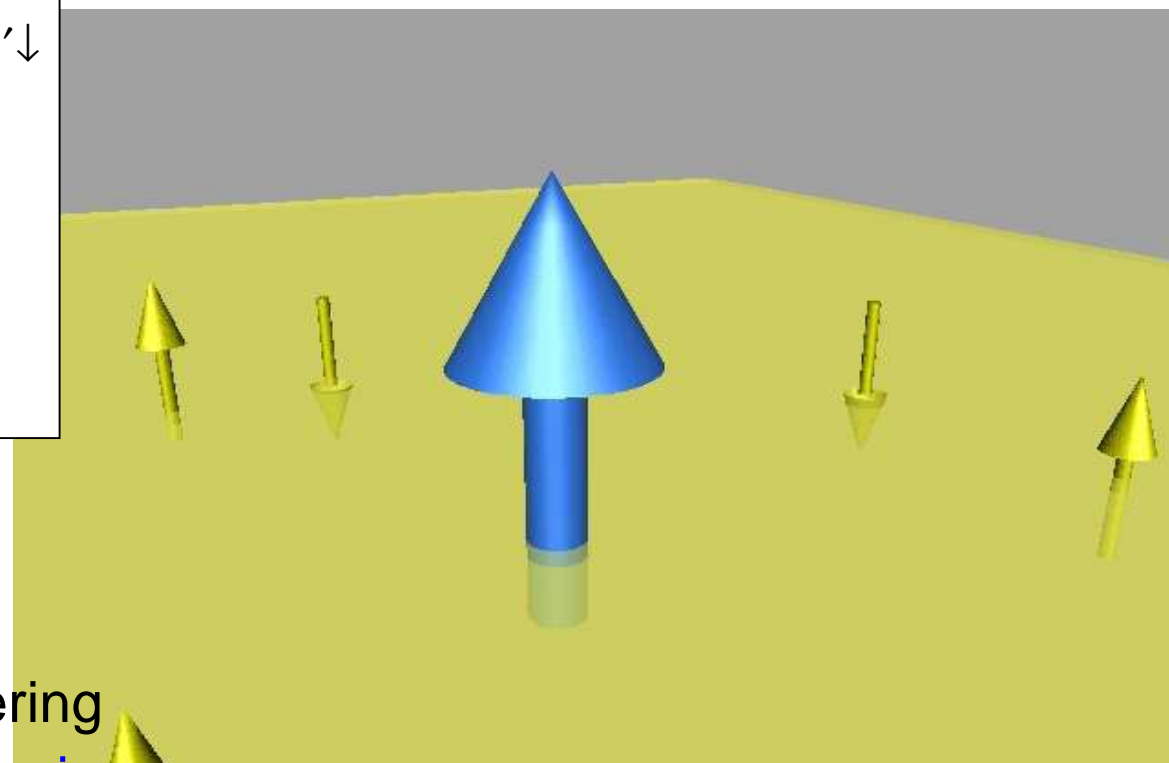
$$H_{s-d} = J \sum_{k,k'} S^+ c_{k\downarrow}^\dagger c_{k'\uparrow} + S^- c_{k\uparrow}^\dagger c_{k'\downarrow}$$

Spin:  $J > 0$  AFM

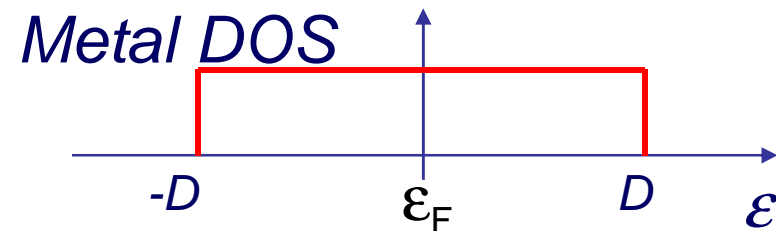
$$+ S_z \left( c_{k\uparrow}^\dagger c_{k'\uparrow} - c_{k\downarrow}^\dagger c_{k'\downarrow} \right)$$

$$+ \sum_k e_k c_{k\sigma}^\dagger c_{k\sigma}$$

Metal: Free waves



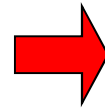
- **Many-body** effect: virtual bound state near the Fermi energy.
- AFM coupling ( $J > 0$ ) → “spin-flip” scattering
- Kondo problem: s-wave coupling with spin impurity (**s-d model**):



# Kondo's explanation for $T_{\min}$ (1964)

- Perturbation theory in  $J^3$ :

- Kondo calculated the conductivity in the linear response regime



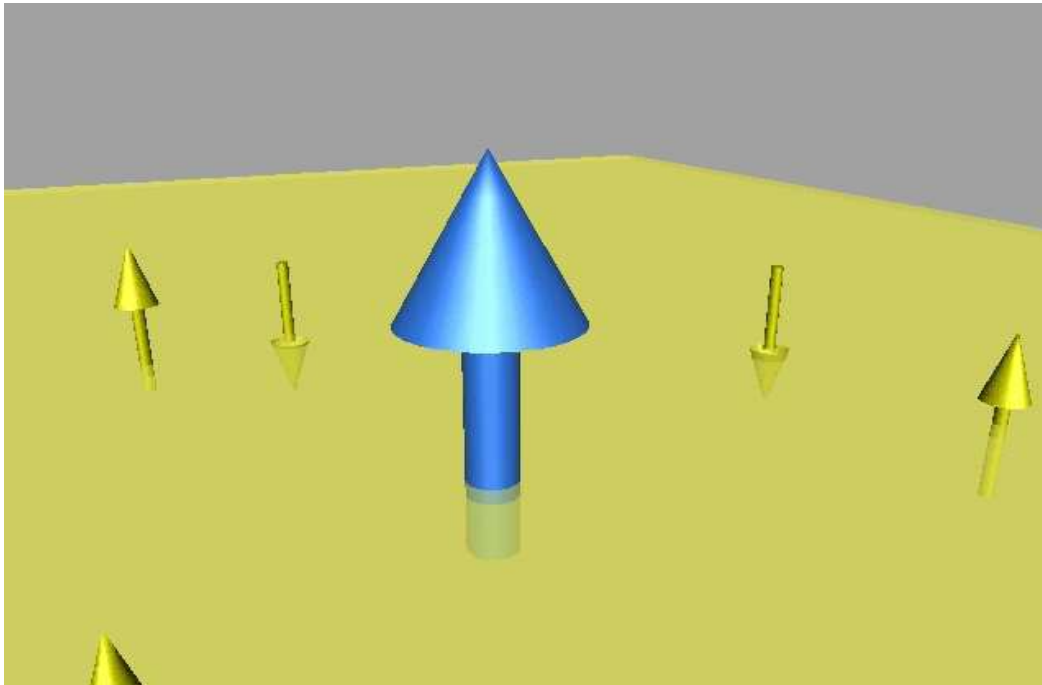
$$R_{\text{imp}}^{\text{spin}} \propto J^2 \left[ 1 - 4J\rho_0 \log\left(\frac{k_B T}{D}\right) \right]$$

$$R_{\text{tot}}(T) = aT^5 - c_{\text{imp}} R_{\text{imp}} \log\left(\frac{k_B T}{D}\right)$$


$$T_{\min} = \left( \frac{R_{\text{imp}} D}{5ak_B} \right)^{1/5} c_{\text{imp}}^{1/5}$$

- Only one free parameter: the Kondo temperature  $T_K$ 
  - Temperature at which the perturbative expansion **diverges**.

$$k_B T_K \sim D e^{-1/2 J \rho_0}$$



# A little bit of Kondo history:

- Early '30s : Resistance minimum in some metals
- Early '50s : theoretical work on impurities in metals “Virtual Bound States” (Friedel)
- 1961: Anderson model for magnetic impurities in metals
- 1964: s-d model and Kondo solution (PT)
-  1970: Anderson “Poor’s man scaling”
- 1974-75: Wilson’s Numerical Renormalization Group (non PT)
- 1980 : Andrei and Wiegmann’s exact solution

# A little bit of Kondo history:



Kenneth G. Wilson – Physics Nobel Prize in 1982  
"for his theory for critical phenomena in connection  
with phase transitions"

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Bound States" (Friedel)
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- 1964: s-d model and Kondo solution (PT)
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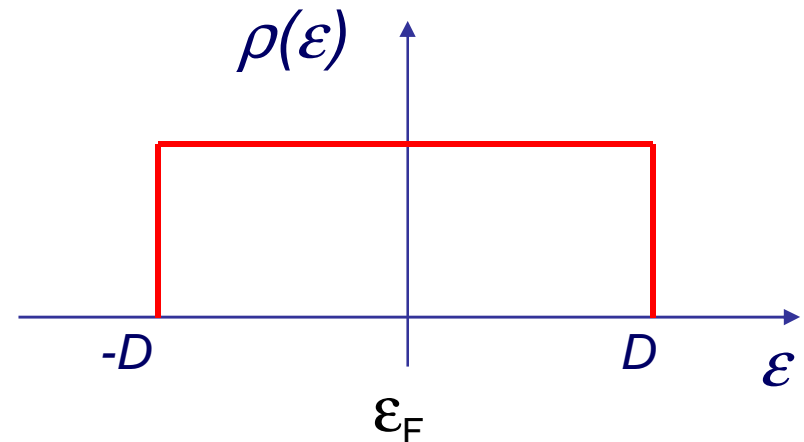
# Kondo's explanation for $T_{\min}$ (1964)

$$R_{\text{tot}}(T) = aT^5 - c_{\text{imp}} R_{\text{imp}} \log\left(\frac{k_B T}{D}\right)$$

What is going on?

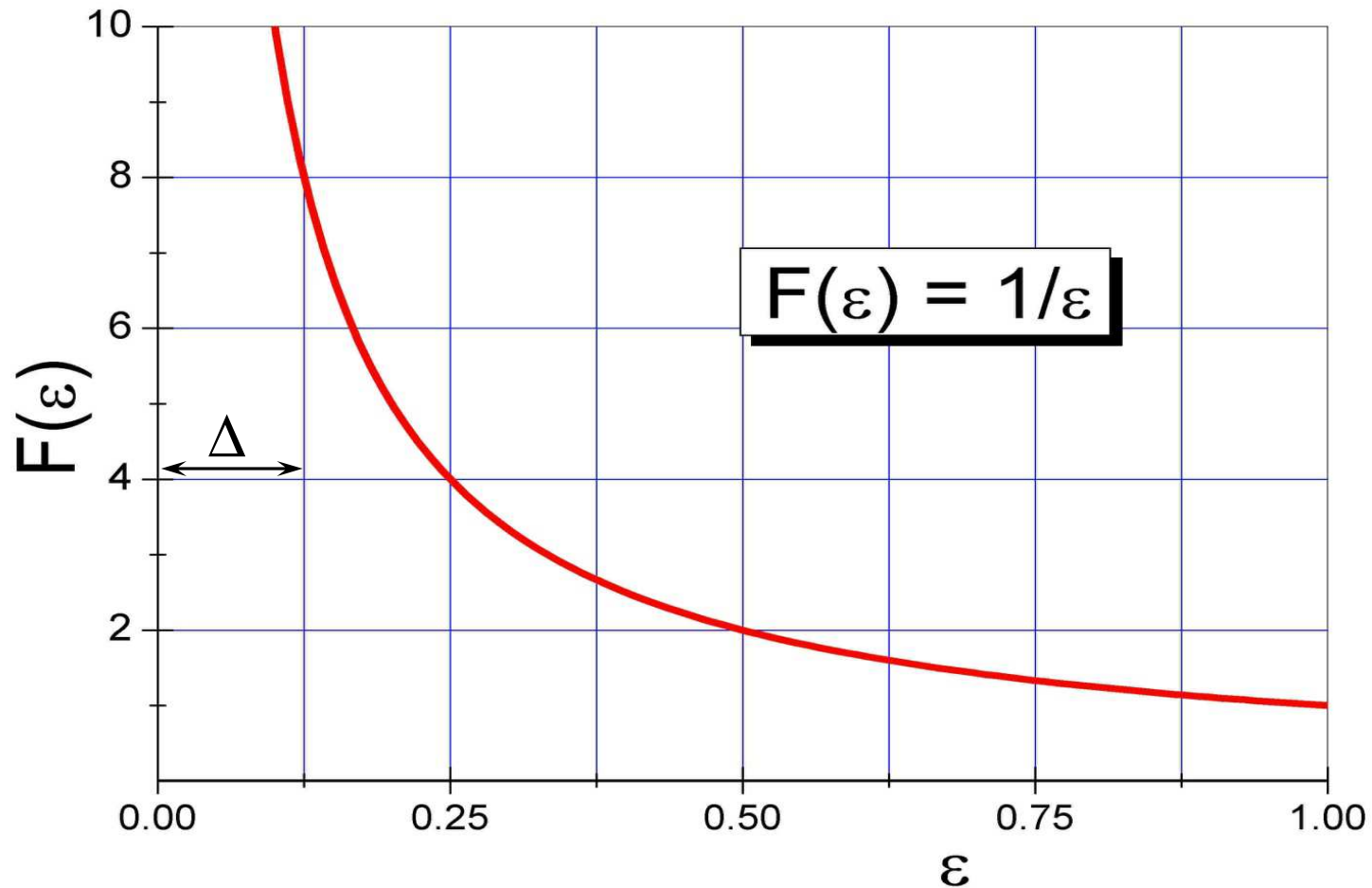
- Diverges logarithmically for  $T \rightarrow 0$  or  $D \rightarrow \infty$ .  
( $T < T_K \rightarrow$  perturbation expansion no longer holds)
- Experiments show finite  $R$  as  $T \rightarrow 0$  or  $D \rightarrow \infty$ .
- The log comes from something like:

$$\int_{k_B T/D}^1 \frac{d\varepsilon}{\varepsilon} = -\log\left(\frac{k_B T}{D}\right)$$



- All energy scales contribute!

# “Perturbative” Discretization of CB



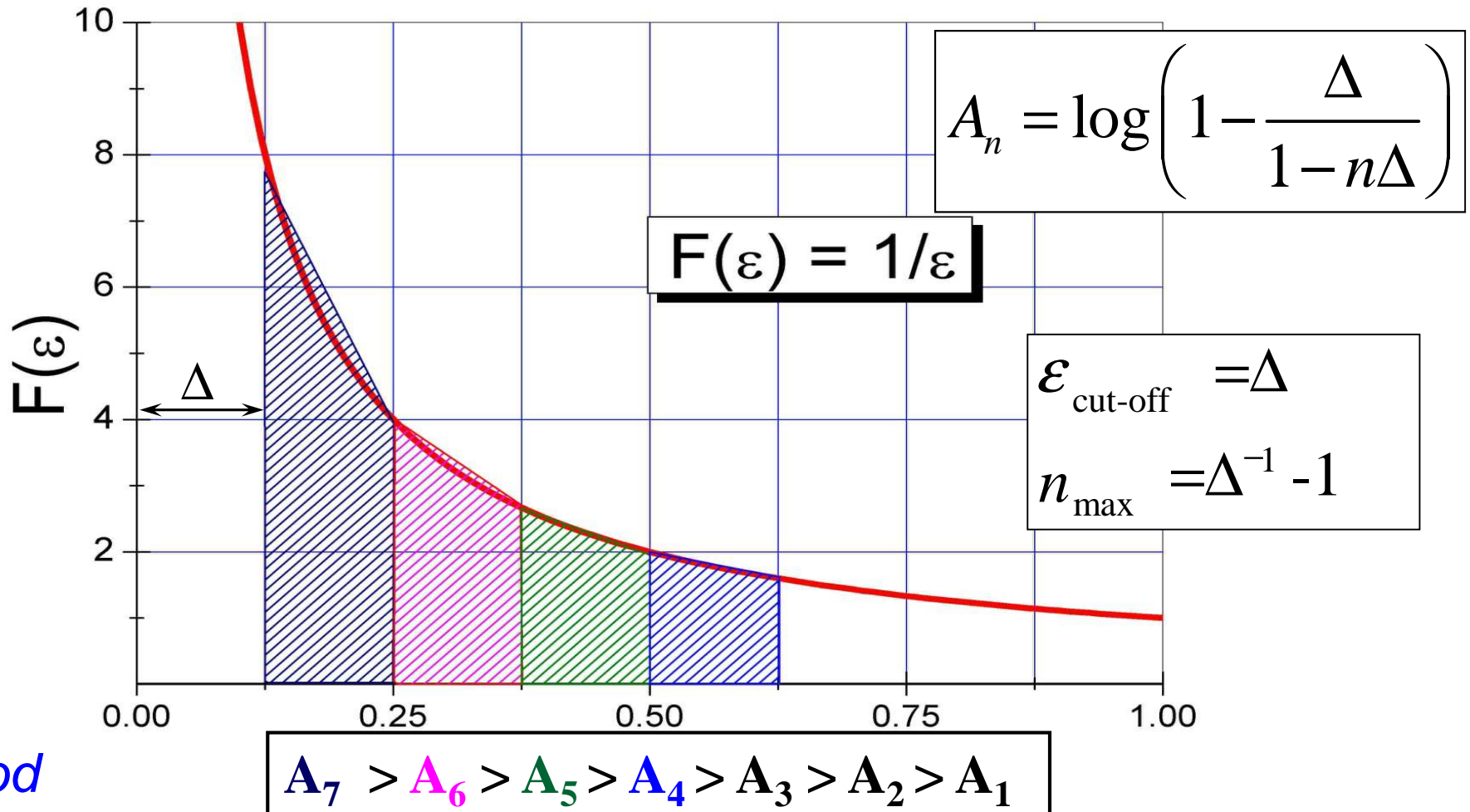
$$\varepsilon = (E - E_F)/D$$

$$\Delta = (\Delta E)/D$$



# “Perturbative” Discretization of CB

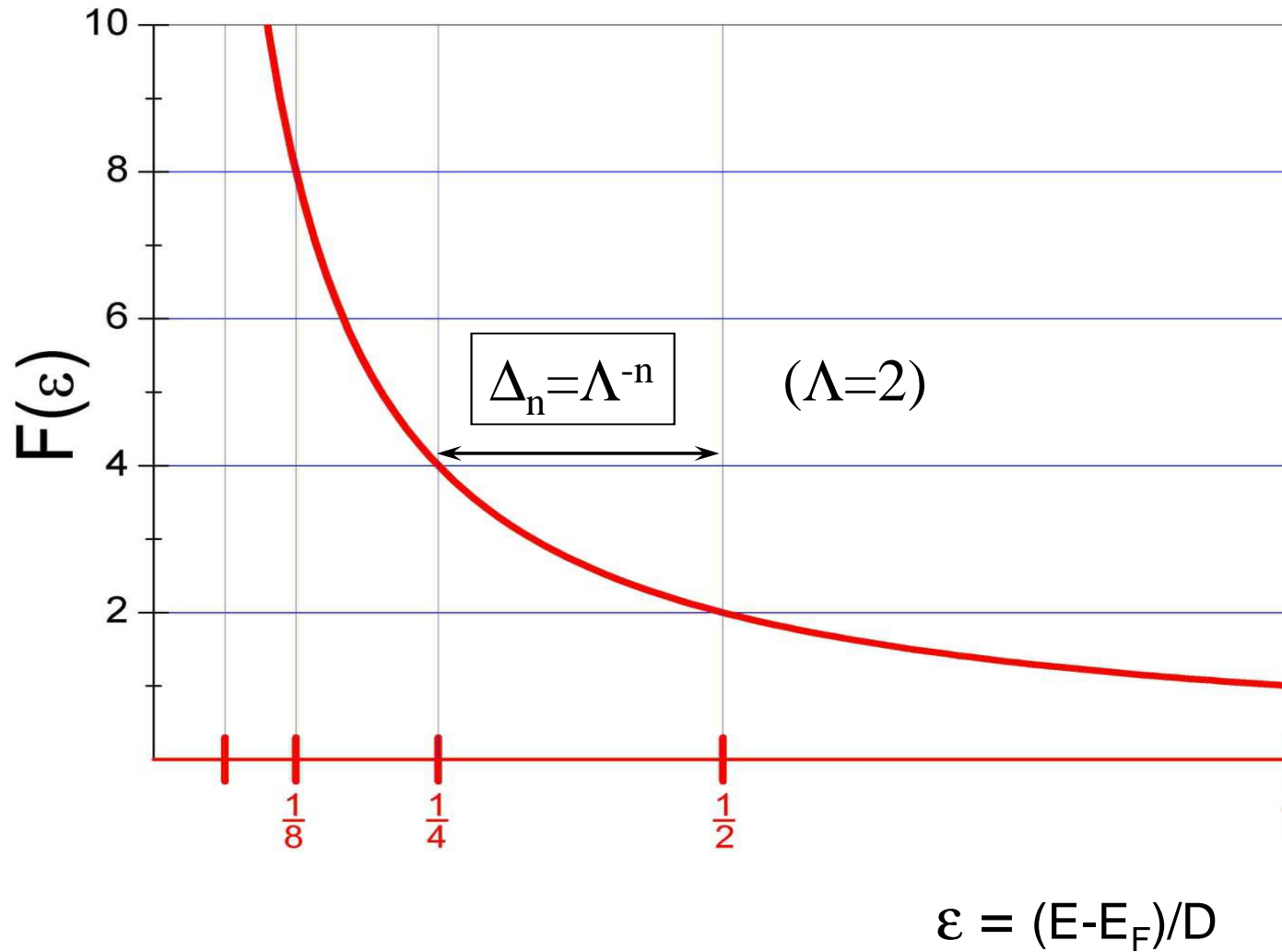
$$\Delta = (\Delta E)/D$$



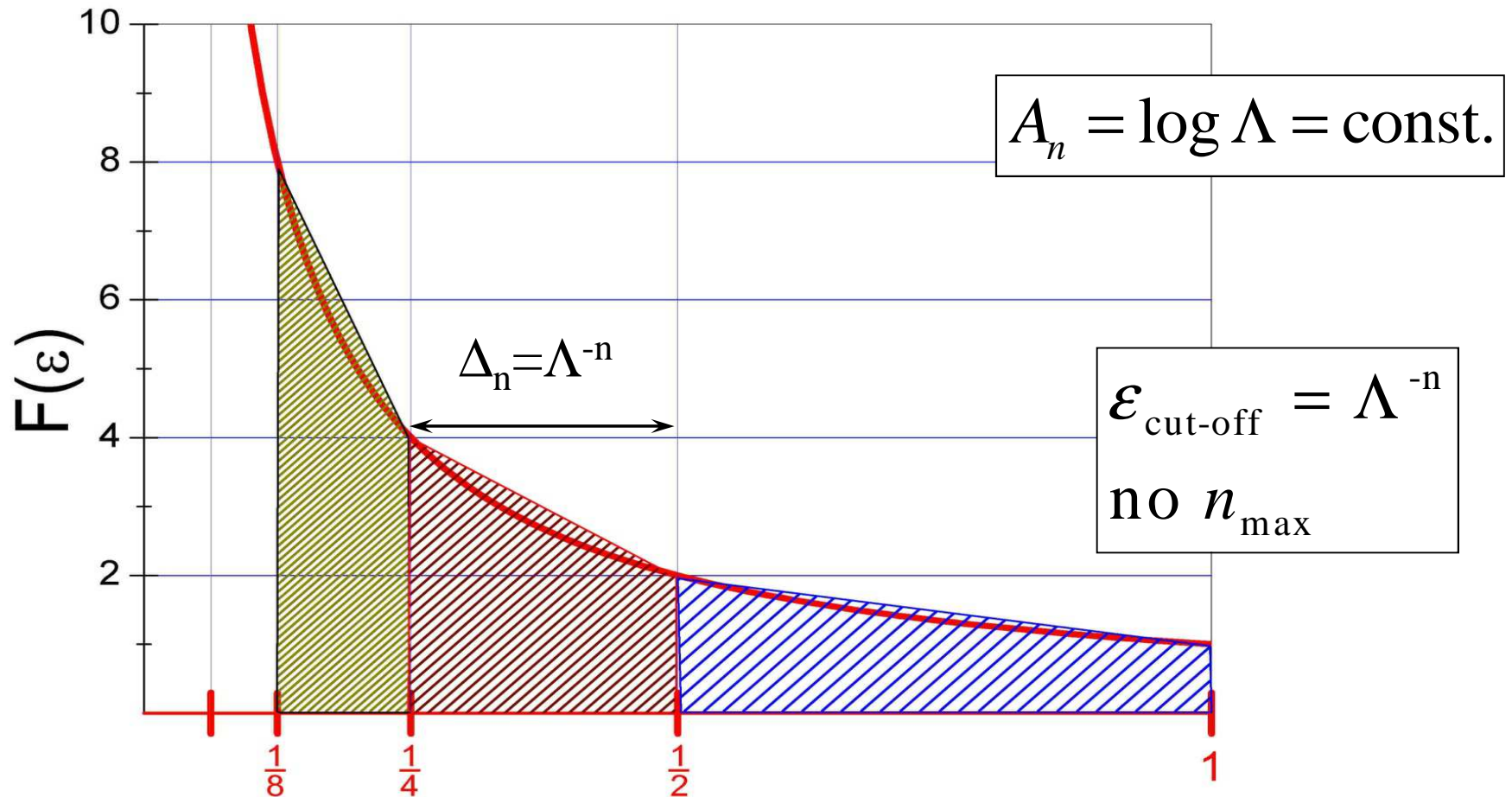
Want to keep all contributions for  $D \rightarrow \infty$ ?

*Not a good approach!*

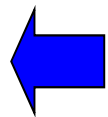
# Wilson's CB Logarithmic Discretization



# Wilson's CB Logarithmic Discretization



Now you're ok!



$$A_3 = A_2 = A_1$$

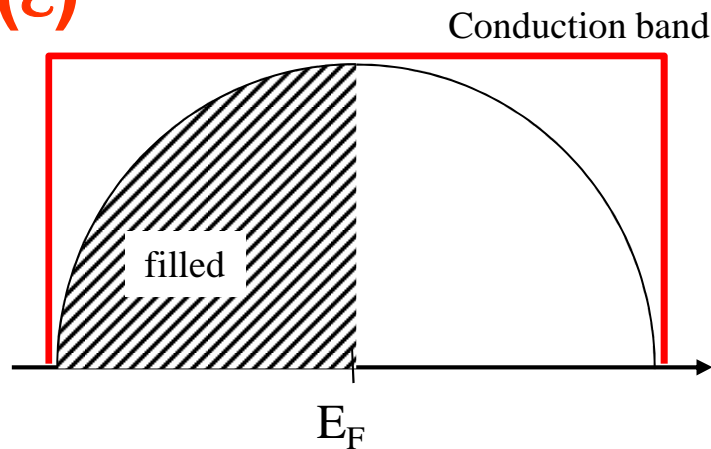
$(\Lambda=2)$

# Kondo problem: s-d Hamiltonian

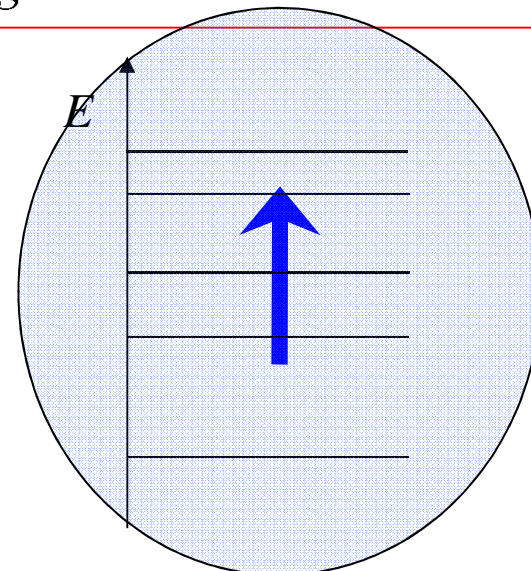
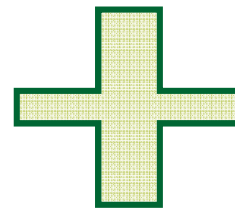
- Kondo problem: s-wave coupling with spin impurity (s-d model):

$$H_K = \sum_{\mathbf{k}s} \epsilon_{\mathbf{k}s} \hat{n}_{\mathbf{k}s} + J \sum_{\mathbf{k}s; \mathbf{k}'s'} c_{\mathbf{k}s}^\dagger (\mathbf{S} \cdot \vec{\sigma})_{ss'} c_{\mathbf{k}'s'}$$

$\rho(\epsilon)$



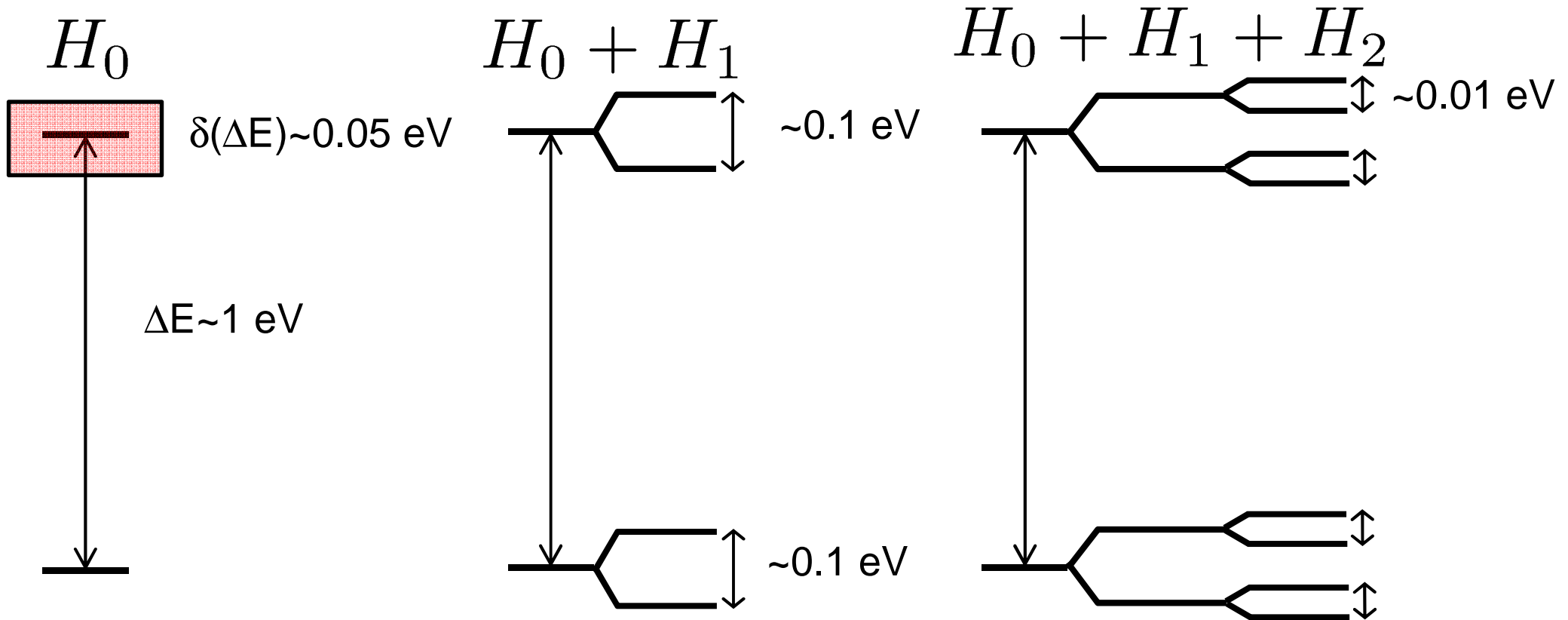
**Metal (non magnetic, s-band)**



**Magnetic impurity (unfilled d-level)**

# The problem: different energy scales!

(e.g.: all 2-level Hamiltonians)

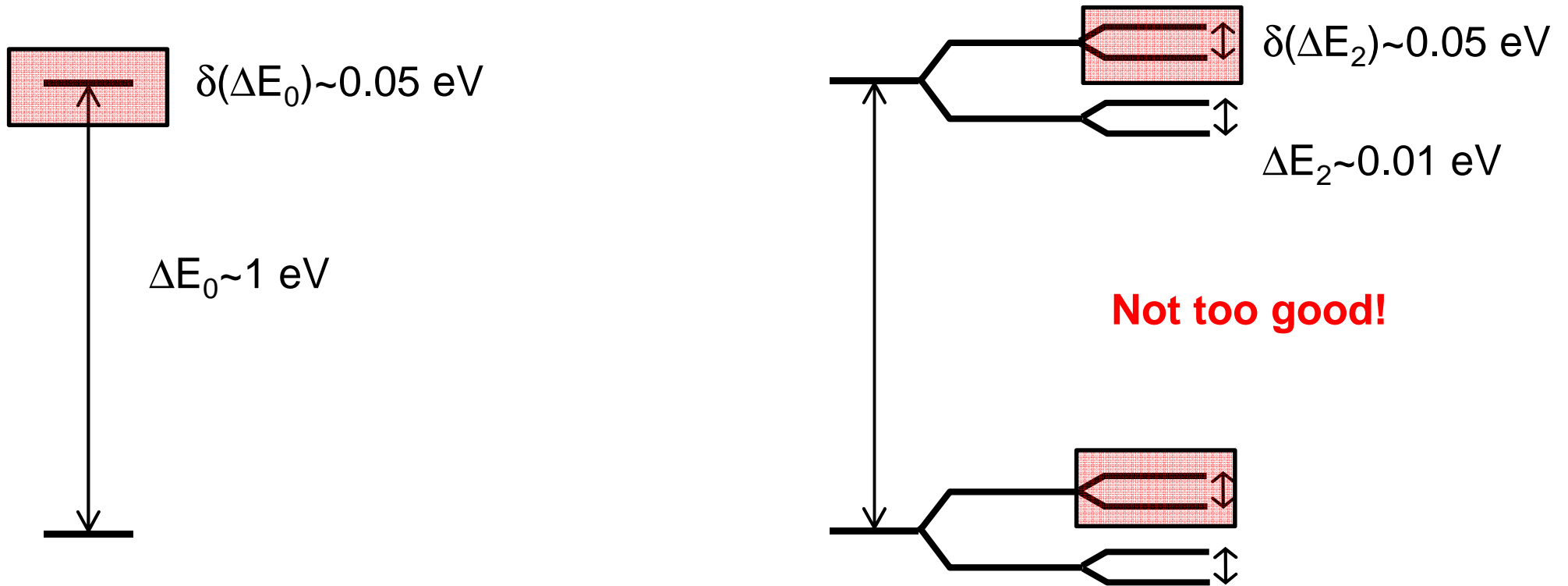


Uncertainty of the calculation:  
 $\delta(\Delta E)/\Delta E \sim 5\%$

How to calculate these  
splittings accurately?

# Option 1: "Brute force"

$H_0$  → Directly diagonalize:  $H_0 + H_1 + H_2$

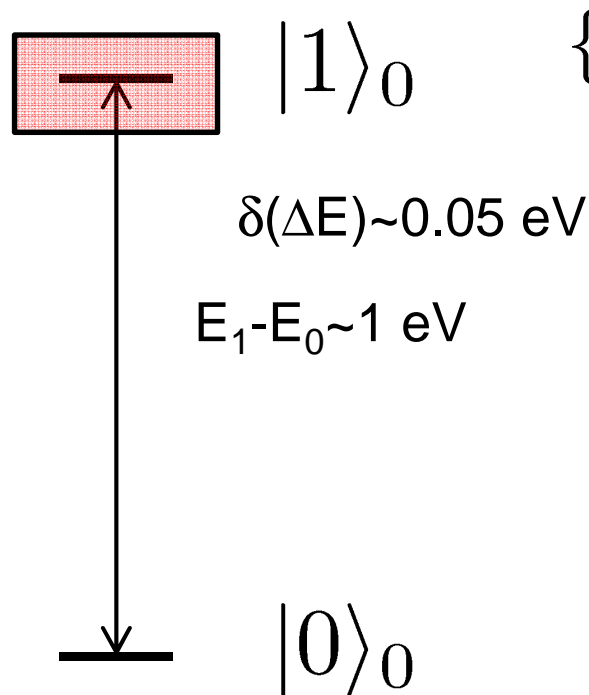


Uncertainty of the calculation:  
 $\delta(\Delta E)/\Delta E \sim 5\%$

Uncertainty of the calculation:  
 $\delta(\Delta E_2)/\Delta E_2 \sim 500\%!!!$

# Option 2: Do it by steps.

$$H_0 |n\rangle_0 = E_n^0 |n\rangle_0$$



Uncertainty of the calculation:  
 $\delta(\Delta E)/\Delta E \sim 5\%$

**New basis:**

$$\{|0\rangle_0, |1\rangle_0\} \otimes \{|a\rangle_1, |b\rangle_1\}$$

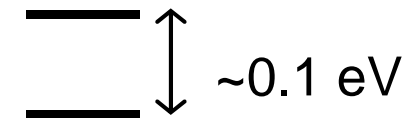
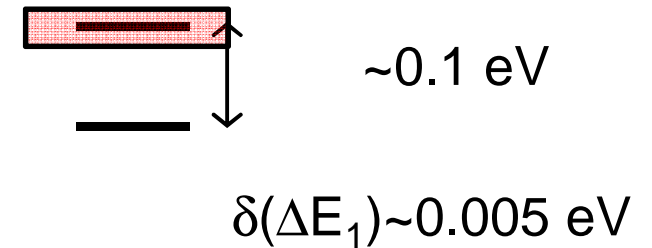
$H_0$  is diagonal !

$H_1$  is **not** diagonal but  
 can calculate matrix  
 elements within 5%.

$$\tilde{H}_1 = H_0 + H_1$$

the uncertainty  
 in diagonalizing it is  
 still 5%!

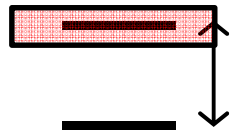
$$\tilde{H}_1 |n\rangle_1 = \tilde{E}_n^1 |n\rangle_1$$



Uncertainty of the calculation:  
 $\delta(\Delta E_1)/\Delta E_1 \sim 5\%$

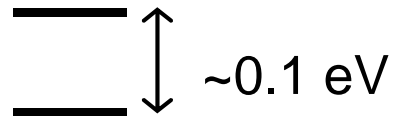
# Option 2: Do it by steps, again.

$$\tilde{H}_1 |n\rangle_1 = \tilde{E}_n^1 |n\rangle_1$$



$\sim 0.1 \text{ eV}$

$\delta(\Delta E_1) \sim 0.005 \text{ eV}$



$\sim 0.1 \text{ eV}$

**New basis:**

$$\{|n\rangle_1\} \otimes \{|c\rangle_2, |d\rangle_2\}$$

$\tilde{H}_1$  is diagonal!

$H_2$  is **not** diagonal but can calculate matrix elements within 5%.

$$\tilde{H}_2 = \tilde{H}_1 + H_2$$

the uncertainty in diagonalizing it is still 5%!

$$\tilde{H}_2 |n\rangle_2 = \tilde{E}_n^2 |n\rangle_2$$



$\sim 0.01 \text{ eV}$

$\delta(\Delta E_2) \sim 0.0005 \text{ eV}$

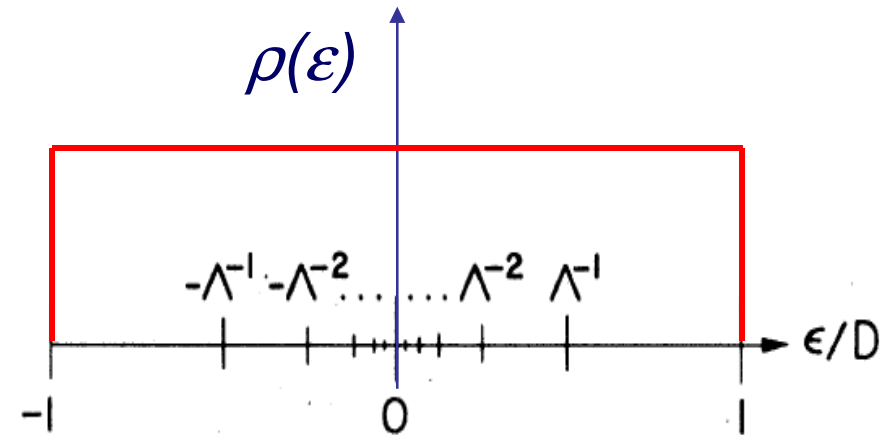


Uncertainty of the calculation:  
 $\delta(\Delta E_2)/\Delta E_2 \sim 5\%$



# Kondo s-d Hamiltonian

$$\begin{aligned}
 H_{s-d} = & J \sum_{k,k'} S^+ c_{k\downarrow}^\dagger c_{k'\uparrow} + S^- c_{k\uparrow}^\dagger c_{k'\downarrow} \\
 & + S_z \left( c_{k\uparrow}^\dagger c_{k'\uparrow} - c_{k\downarrow}^\dagger c_{k'\downarrow} \right) \\
 & + \sum_k e_k c_{k\sigma}^\dagger c_{k\sigma}
 \end{aligned}$$



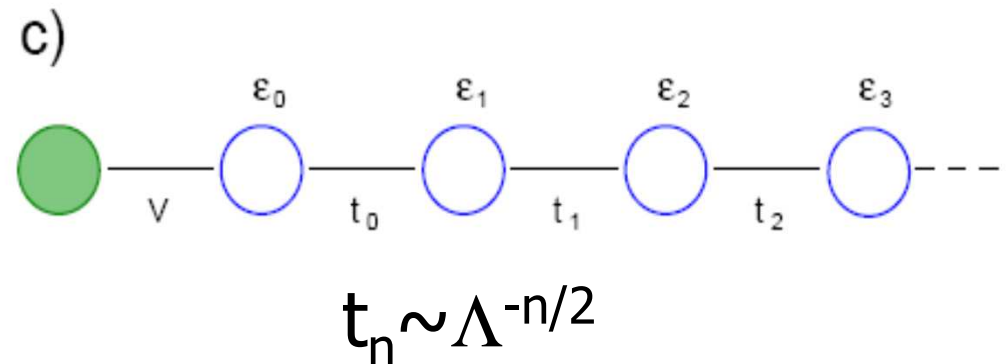
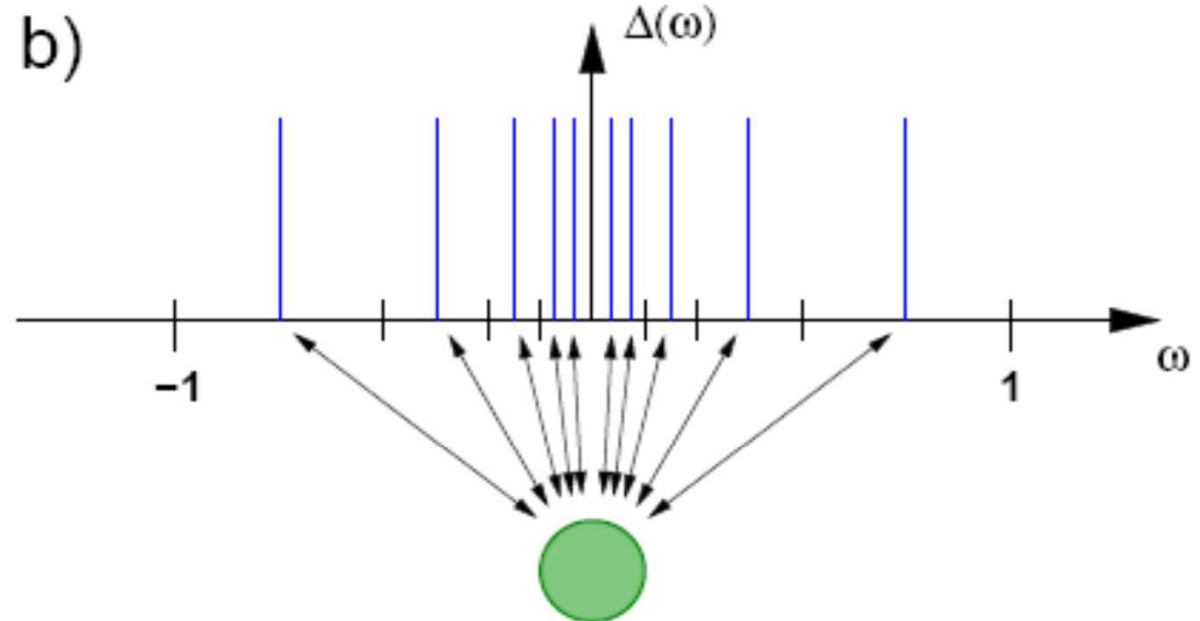
- From continuum  $k$  to a *discretized* band.
- Transform  $H_{s-d}$  into a linear chain form (exact, as long as the chain is infinite):

$$H_K = \sum_{n=0}^{\infty} \epsilon_n (f_n^\dagger f_{n+1} + f_{n+1}^\dagger f_n) - 2J f_0^\dagger \boldsymbol{\sigma} f_0 \cdot \boldsymbol{\tau},$$

# Logarithmic Discretization.

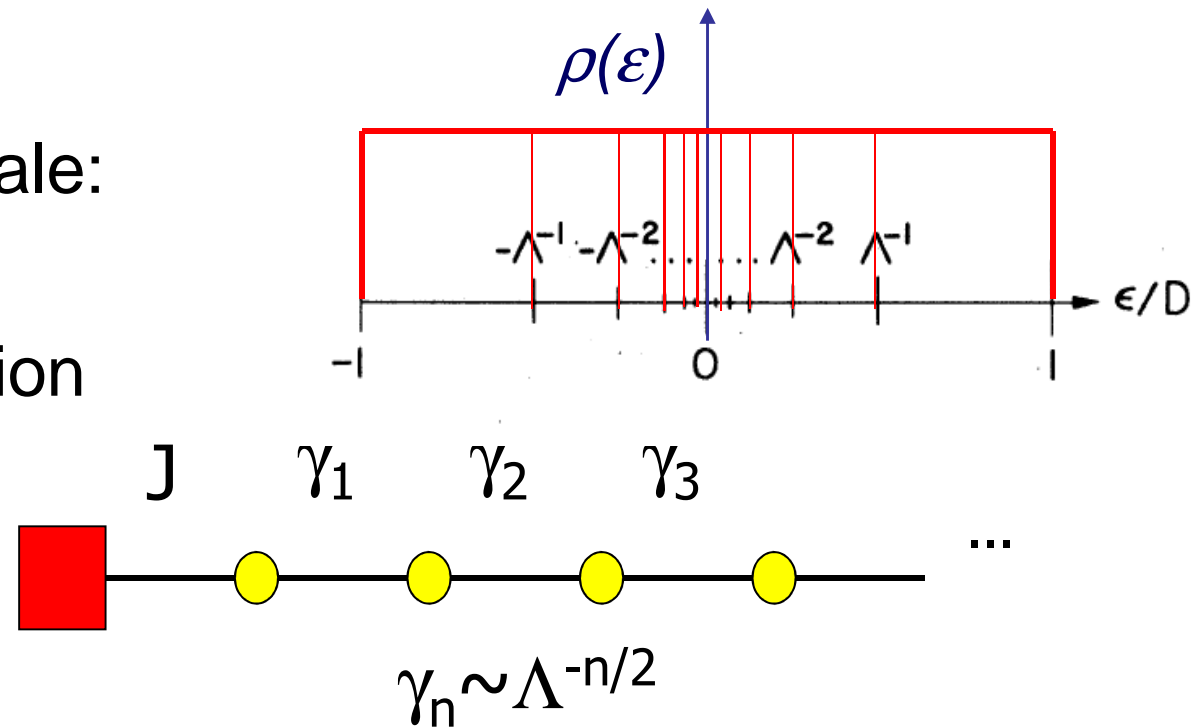
Steps:

1. Slice the conduction band in intervals in a log scale (parameter  $\Lambda$ )
2. Continuum spectrum approximated by a single state
3. Mapping into a tight binding chain: sites correspond to different energy scales.



# “New” Hamiltonian (Wilson’s RG method)

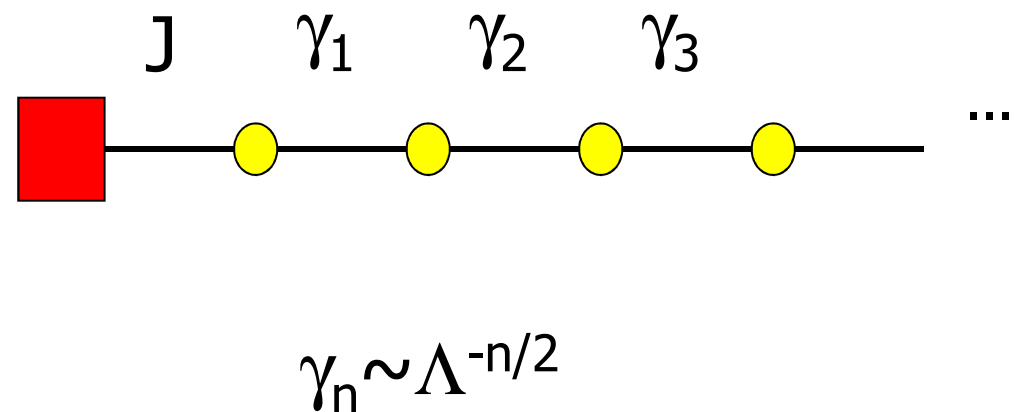
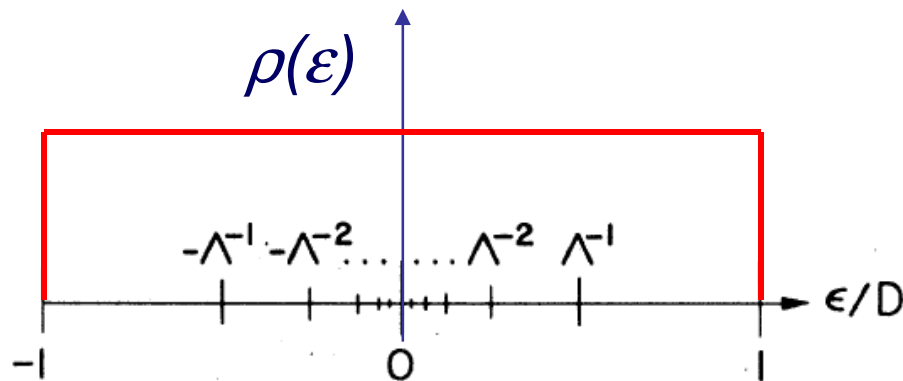
- Logarithmic CB discretization is the key to avoid divergences!
- Map: conduction band  $\rightarrow$  Linear Chain
  - Lanczos algorithm.
  - Site  $n \rightarrow$  new energy scale:
    - $D\Lambda^{-(n+1)} < |\epsilon_k - \epsilon_F| < D\Lambda^{-n}$
    - Iterative numerical solution



# “New” Hamiltonian (Wilson)

- Recurrence relation (Renormalization procedure).

$$H_{N+1} = \sqrt{\Lambda} H_N + \xi_N \sum_{\sigma} f_{N+1\sigma}^{\dagger} f_{N\sigma} + f_{N\sigma}^{\dagger} f_{N+1\sigma}$$



# “New” Hamiltonian (Wilson)

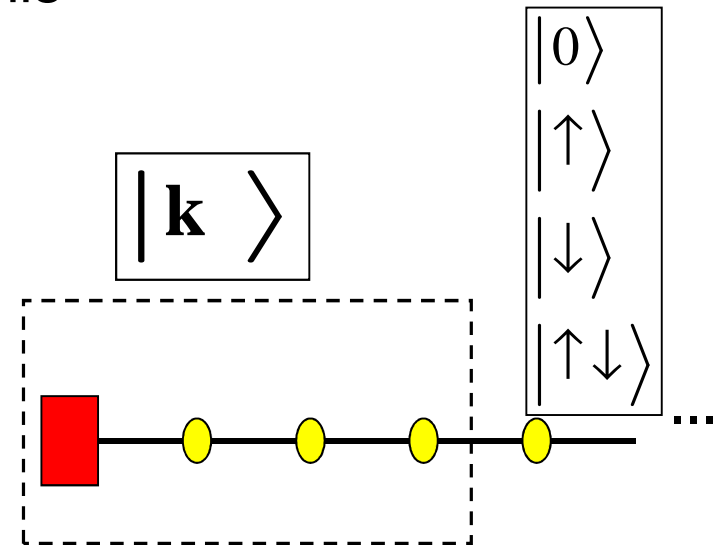
- Suppose you diagonalize  $H_N$  getting  $E_k$  and  $|k\rangle$  and you want to diagonalize  $H_{N+1}$  using this basis.
- First, you expand your basis:

$$|\Omega; k\rangle = |k\rangle,$$

$$|\frac{1}{2}; k\rangle = f_{N+1, \frac{1}{2}}^+ |k\rangle,$$

$$|-\frac{1}{2}; k\rangle = f_{N+1, -\frac{1}{2}}^+ |k\rangle,$$

$$|\frac{1}{2}, -\frac{1}{2}; k\rangle = f_{N+1, \frac{1}{2}}^+ f_{N+1, -\frac{1}{2}}^+ |k\rangle.$$



- Then you calculate  $\langle k, a | f_N^\dagger | k', a' \rangle$ ,  $\langle k, a | f_N | k', a' \rangle$  and you have the matrix elements for  $H_{N+1}$  (sounds easy, right?)

# Intrinsic Difficulty

- You run into problems when  $N \sim 5$ . The basis is too large!  
(grows as  $2^{(2N+1)}$ )

- $N=0$ ; (just the impurity); **2 states** (up and down)

- $N=1$ ; **8 states**

- $N=2$ ; **32 states**

- $N=5$ ; **2048 states**

- (...)  $N=20$ ;  **$2.199 \times 10^{12}$  states**:

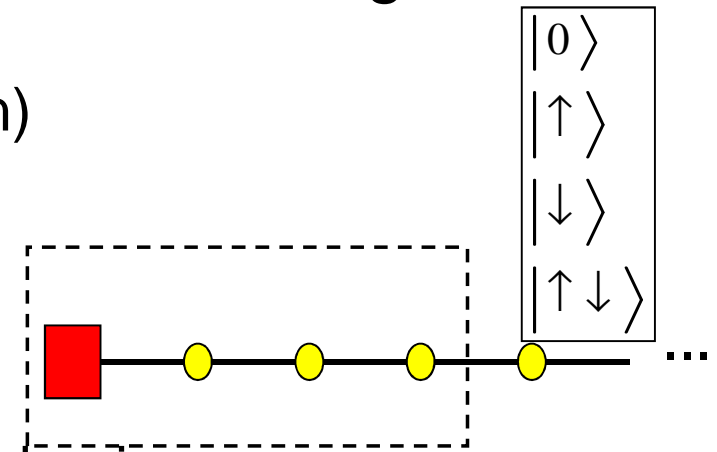
- 1 byte per state  $\rightarrow$  20 HDs just to store the basis.

- And we might go up to  $N=180$ ;  **$1.88 \times 10^{109}$  states**.

- Can we store this basis?

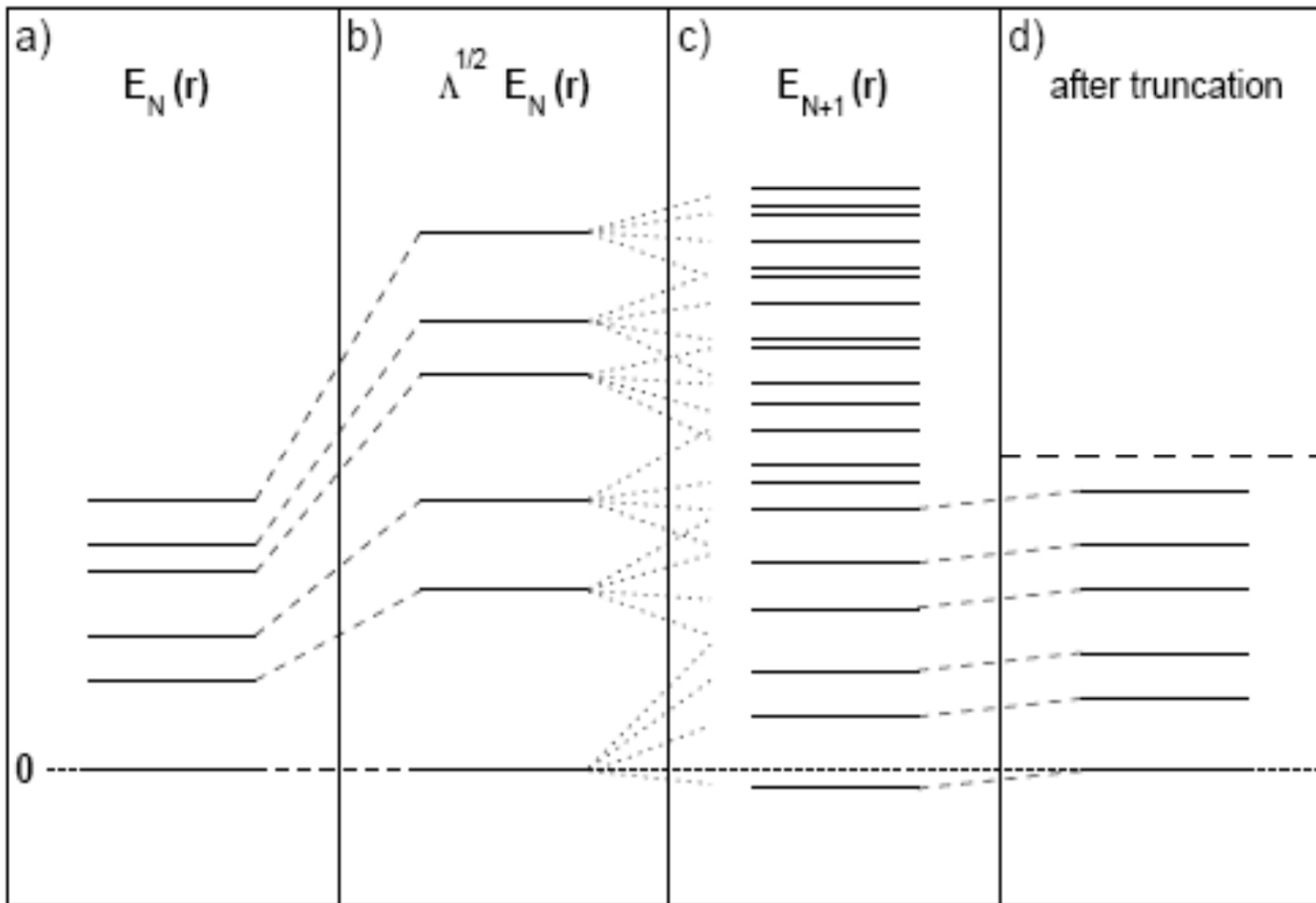
(Hint: The number of atoms in the universe is  $\sim 10^{80}$ )

- Cut-off the basis  $\rightarrow$  lowest  $\sim 1500$  or so in the next round  
(Even then, you end up having to diagonalize a  $4000 \times 4000$  matrix... ).

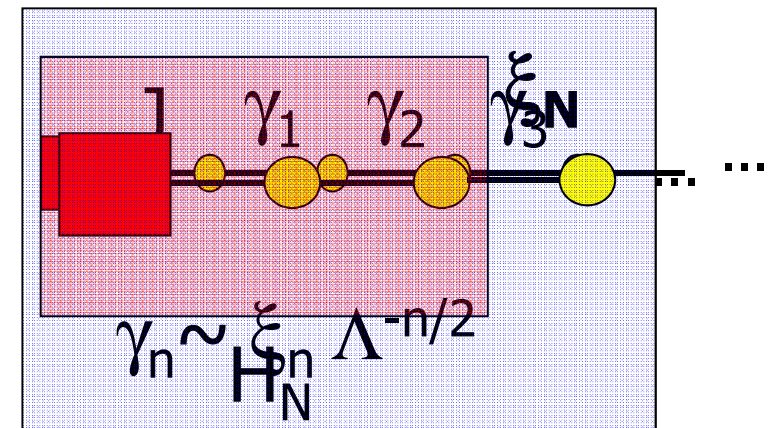


# Renormalization Procedure

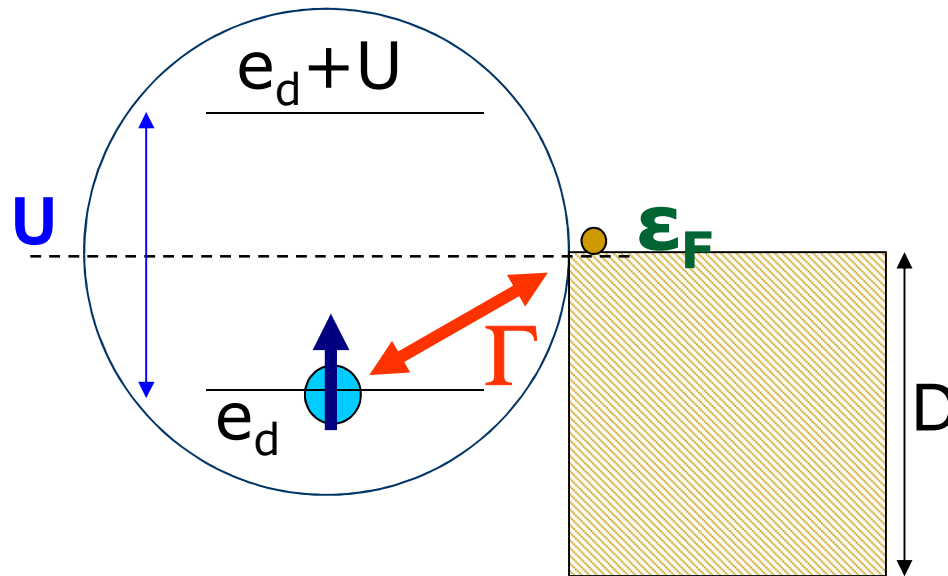
$$H_{N+1} = \sqrt{\Lambda} H_N + \xi_N \sum_{\sigma} f_{N+1\sigma}^{\dagger} f_{N\sigma} + f_{N\sigma}^{\dagger} f_{N+1\sigma}$$



- Iterative numerical solution.
- Renormalize by  $\Lambda^{1/2}$ .
- Keep low energy states.



# Anderson Model



$$H = \epsilon_d \hat{n}_{d\sigma} + U \hat{n}_{d\uparrow} \hat{n}_{d\downarrow} + \sum_k \epsilon_k \hat{n}_{k\sigma} + t \sum_k c_{d\sigma}^\dagger c_{k\sigma} + \text{h.c.}$$

with

$$\hat{n}_{d\sigma} = c_{d\sigma}^\dagger c_{d\sigma}$$

$$\hat{n}_{k\sigma} = c_{k\sigma}^\dagger c_{k\sigma}$$

- $e_d$ : energy level
- $U$ : Coulomb repulsion
- $e_F$ : Fermi energy in the metal
- $t$ : Hybridization
- $D$ : bandwidth

Level broadening:

$$\Gamma = \pi \rho(\epsilon_F) t^2$$

Strong interacting limit:

$$U \gg \Gamma, |\epsilon_d - \epsilon_F|$$



# NRG: fixed points

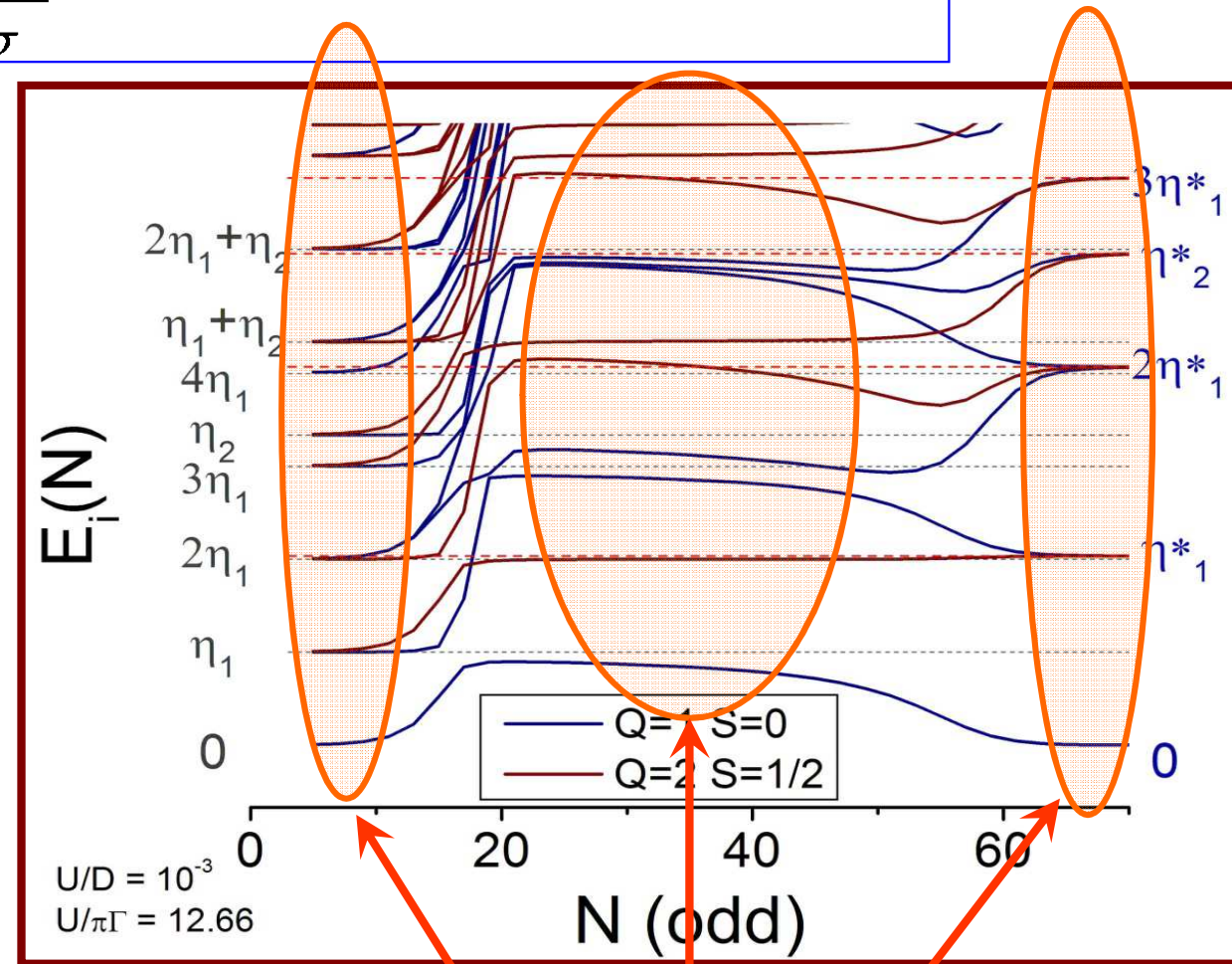
$$H_{N+1} = \sqrt{\Lambda} H_N + \xi_N \sum_{\sigma} f_{N+1\sigma}^{\dagger} f_{N\sigma} + f_{N\sigma}^{\dagger} f_{N+1\sigma}$$

- **Renormalization Group transformation:** (Re-scale energy by  $\Lambda^{1/2}$ ).

$$H_{N+1} = R(H_N)$$

- **Fixed point  $H^*$ :** indicates scale invariance.

$$H^* = R^2(H^*)$$



# NRG: fixed points

$$H_{N+1} = \sqrt{\Lambda} H_N + \xi_N \sum_{\sigma} f_{N+1\sigma}^{\dagger} f_{N\sigma} + f_{N\sigma}^{\dagger} f_{N+1\sigma}$$

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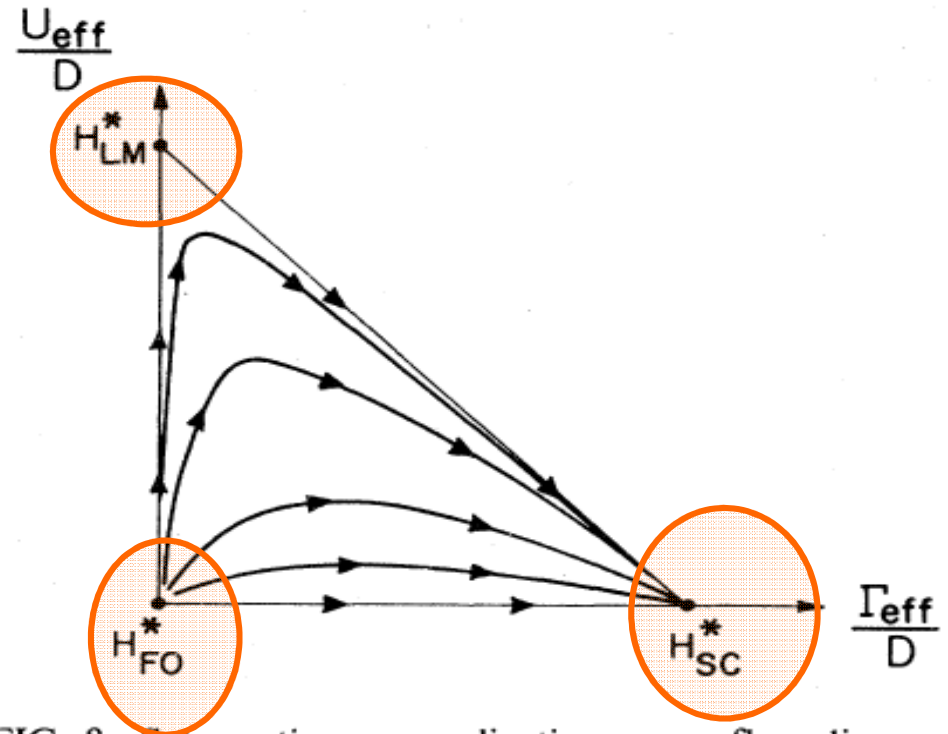
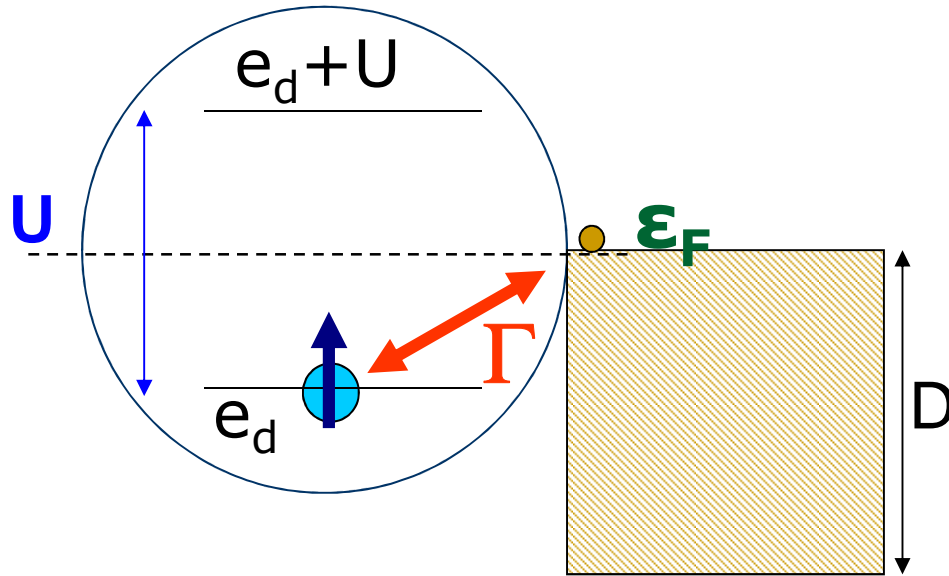


FIG. 8. Schematic renormalization-group flow diagram. Each  $H_N$  is thought of as associated with a  $U_{\text{eff}}$  and  $\Gamma_{\text{eff}}$ . Trajectories depict the flow of  $H_N$  with increasing  $N$ . Note that only the strong-coupling fixed point is stable.

# Fixed points of the Anderson Model



Level broadening:

$$\Gamma = \pi \rho(\epsilon_F) t^2$$

Strong interacting limit:

$$U \gg \Gamma, |\epsilon_d - \epsilon_F|$$

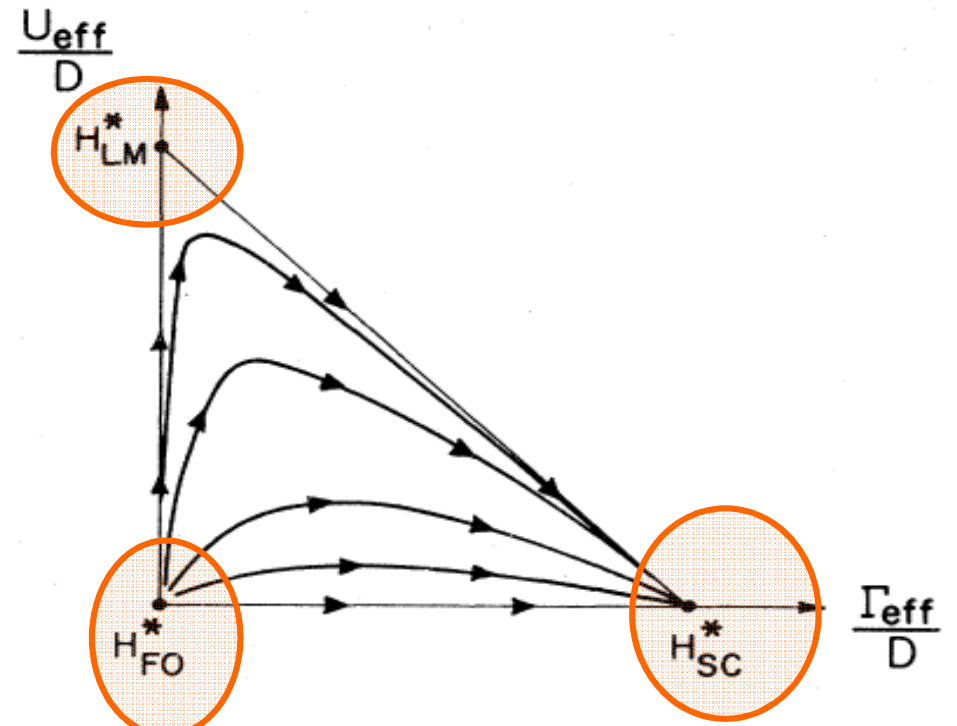


FIG. 8. Schematic renormalization-group flow diagram. Each  $H_N$  is thought of as associated with a  $U_{\text{eff}}$  and  $\Gamma_{\text{eff}}$ . Trajectories depict the flow of  $H_N$  with increasing  $N$ . Note that only the strong-coupling fixed point is stable.

Fixed points

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# Spectral function

At each NRG step:

$$H_N |r\rangle_N = E_r^N |r\rangle_N,$$

$$M_{r,r'}^N = N \langle r | f_\sigma | r' \rangle_N,$$

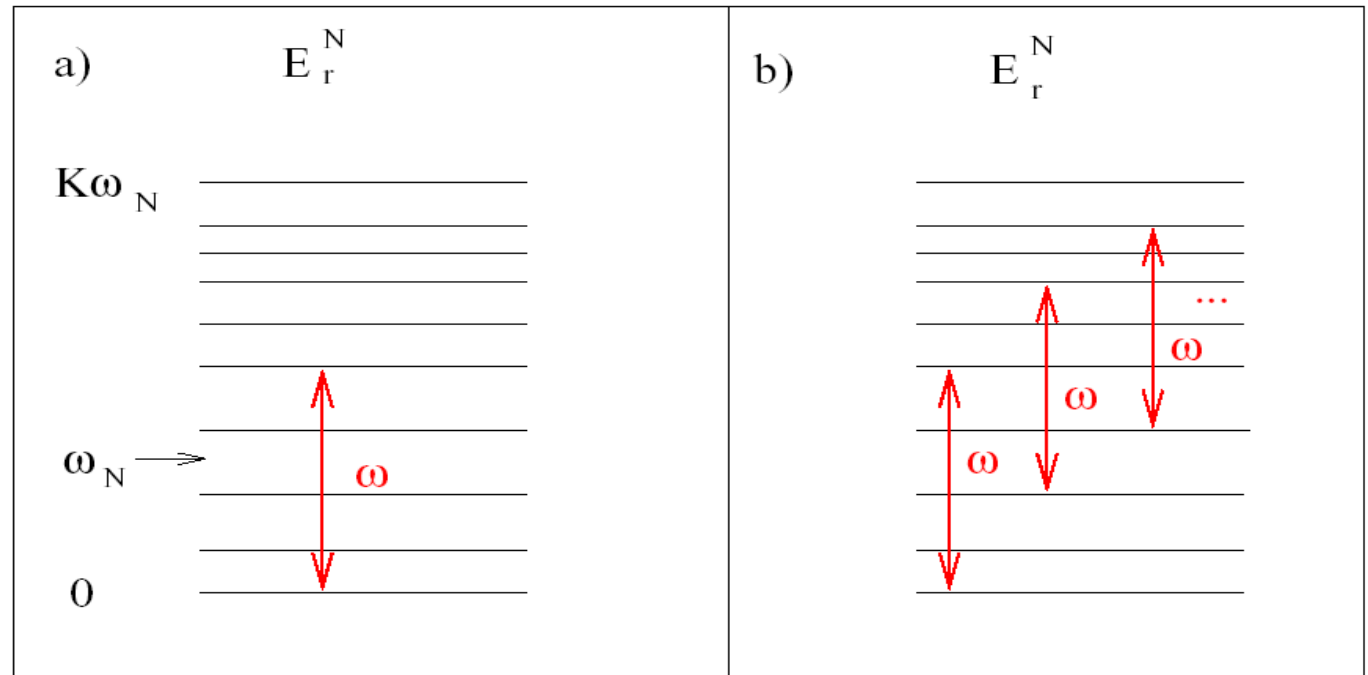


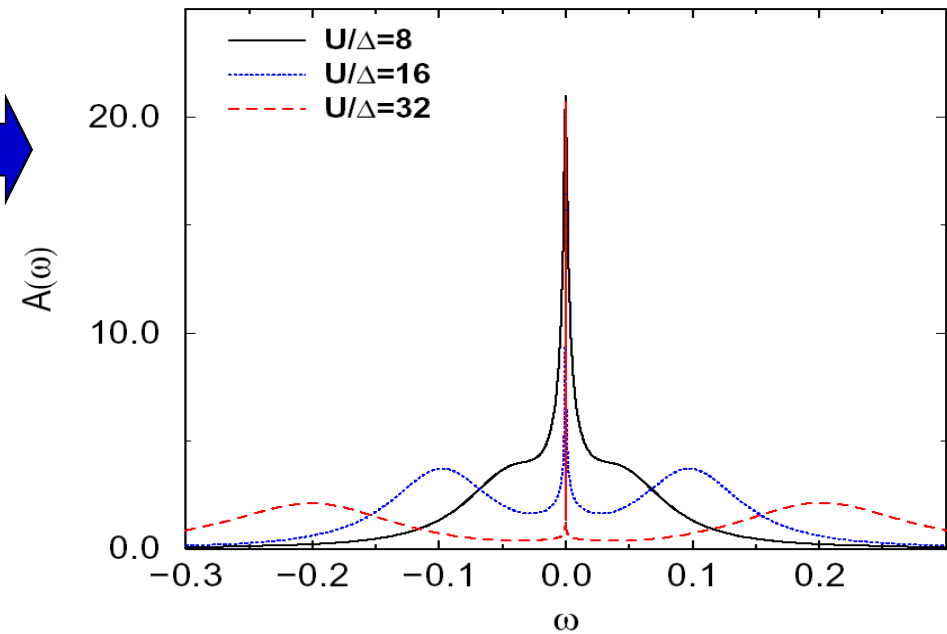
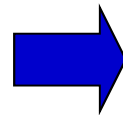
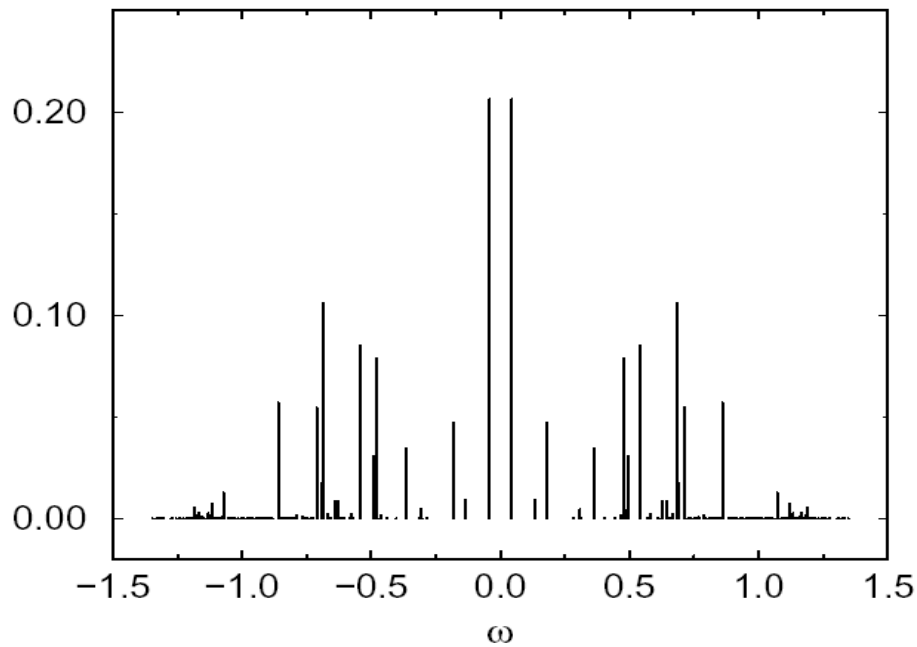
FIG. 9 Excitations of  $H_N$  contributing to the spectral function at frequency  $\omega$  for, (a),  $T = 0$ , and, (b),  $T > 0$ .

# Spectral function calculation (Costi)

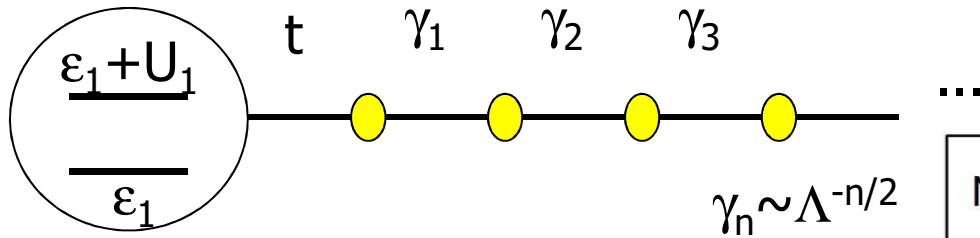
$$A_{\sigma}^N(\omega, T = 0) = \frac{1}{Z_N(0)} \sum_r |M_{r,0}^N|^2 \delta(\omega + E_r^N) + \frac{1}{Z_N(0)} \sum_{r'} |M_{0,r'}^N|^2 \delta(\omega - E_{r'}^N).$$

To get a continuous curve,  
need to broaden deltas.  
Best choice: log gaussian

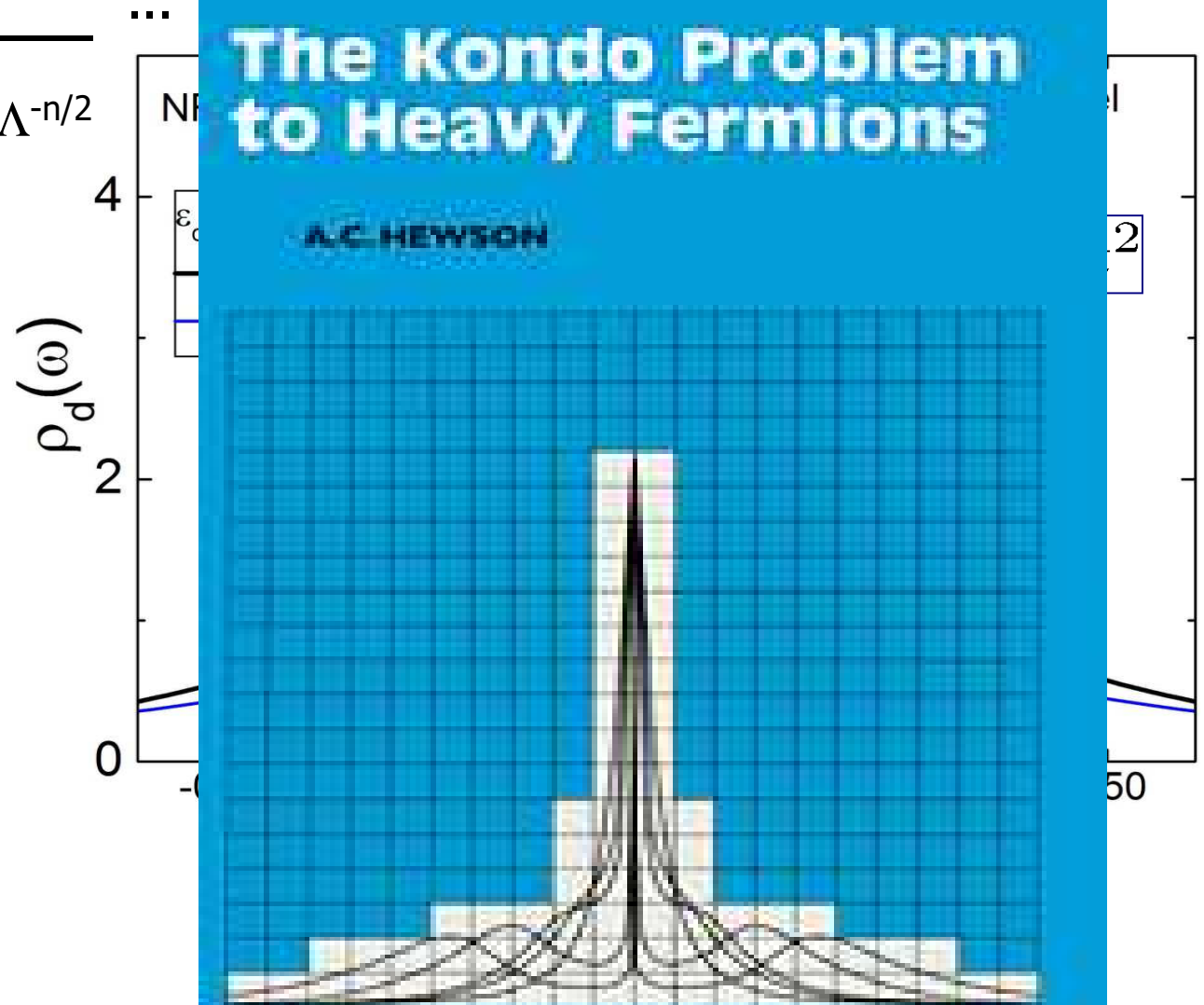
$$\delta(\omega - \omega_n) \rightarrow \frac{e^{-b^2/4}}{b\omega_n\sqrt{\pi}} \exp\left[-\frac{(\ln \omega - \ln \omega_n)^2}{b^2}\right]$$



# NRG on Anderson model: LDOS



- Single-particle peaks at  $\varepsilon_d$  and  $\varepsilon_d+U$ .
- *Many-body* peak at the Fermi energy: **Kondo resonance** (width  $\sim T_K$ ).
- NRG: good resolution at low  $\omega$  (log discretization).



# Summary: NRG overview

- NRG method: designed to handle quantum impurity problems
- All energy scales treated on the same footing.
- Non-perturbative: can access transitions between fixed points in the parameter space
- Calculation of physical properties

# History of Kondo Phenomena

- Observed in the '30s
- Explained in the '60s
- Numerically Calculated in the '70s (NRG)
- Exactly solved in the '80s (Bethe-Ansatz)

So, what's new about it?

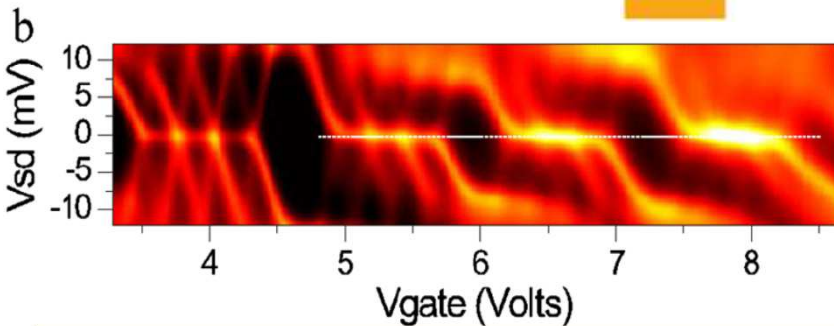
## **Kondo correlations observed in many different set ups:**

- Transport in quantum dots, quantum wires, etc
- STM measurements of magnetic structures on metallic surfaces (e.g., single atoms, molecules. "Quantum mirage")
- ...



# Kondo everywhere!

## Carbon Nanotubes

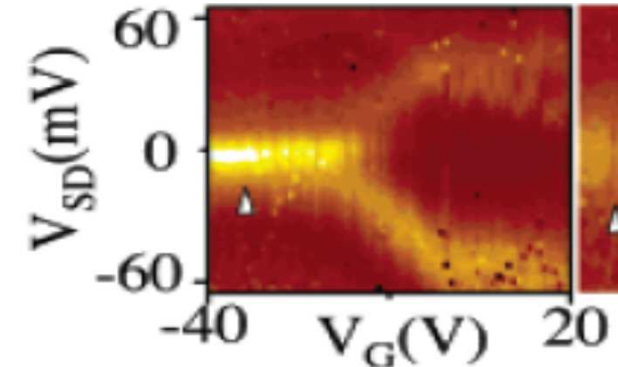
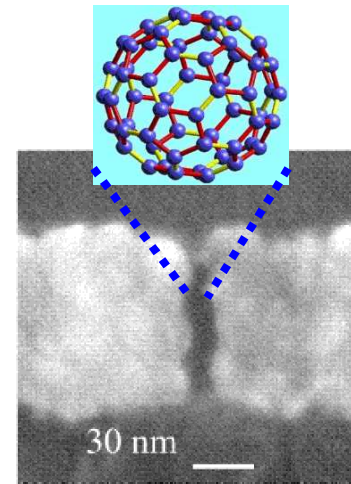


Makarovski, Liu, Filkenstein  
PRL **99** 066801 (2007).

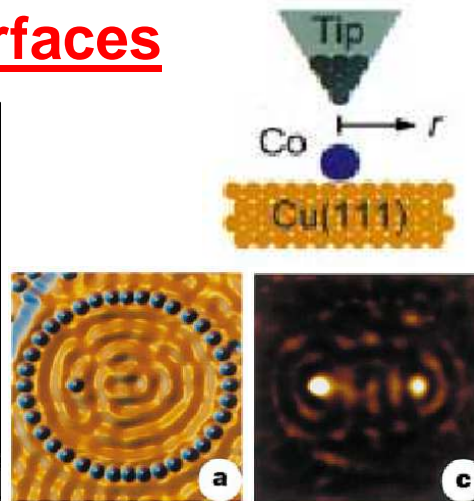
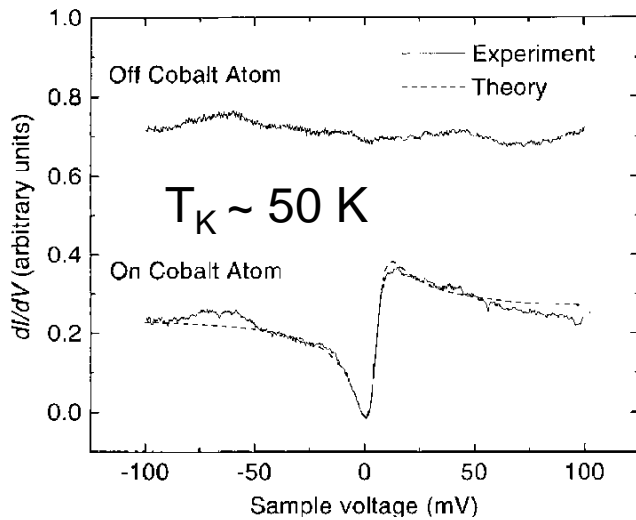
$T_K \sim 40$  K

## Molecular Junctions

Yu, Natelson, *NanoLett.* **4** 79 (2004).



## Magnetic atoms on surfaces

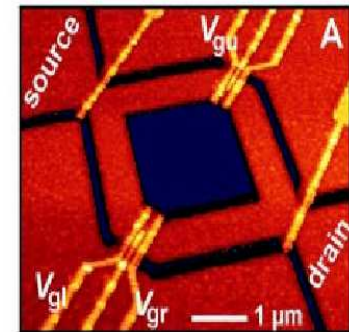
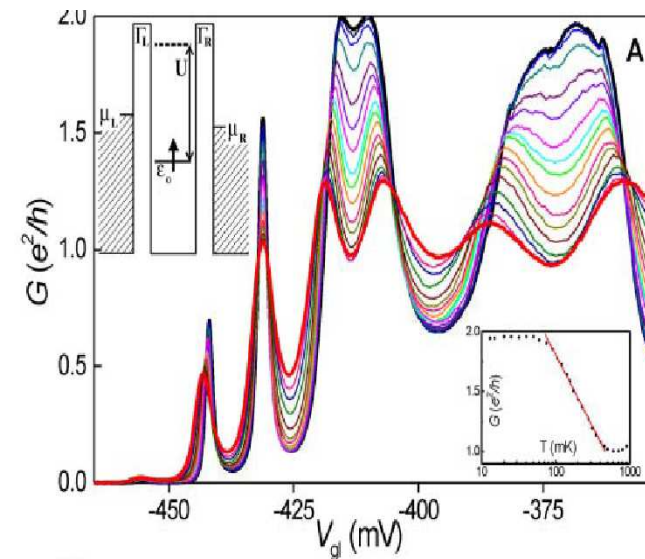


Manoharan et al.,  
*Nature* **403** 512 (2000).

Madhavan et al., *Science* **280** 567 (1998).

EBEE 2016

## Semiconductor Quantum dots



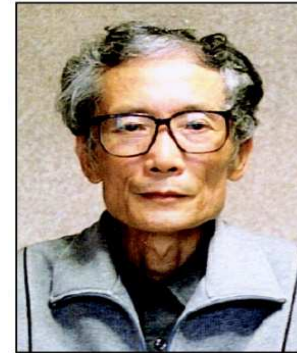
van der Wiel et al.,  
*Science* **289** 2105 (2000).

$T_K \sim 5$  mK

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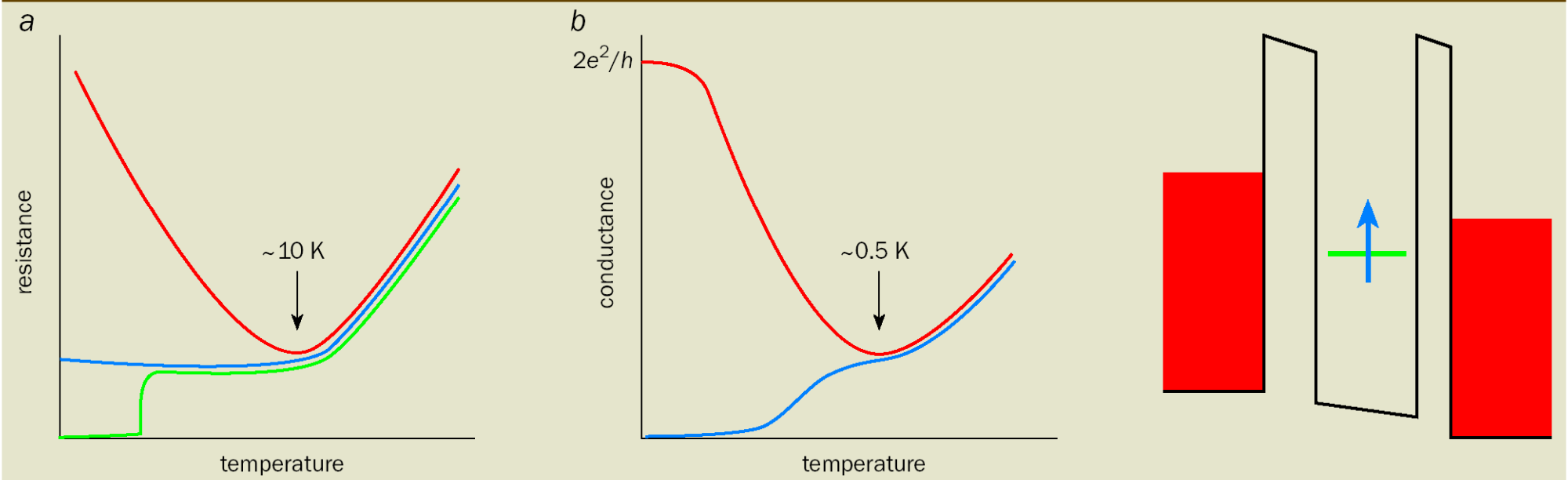
# Kondo Effect in Quantum Dots

## Revival of the Kondo effect

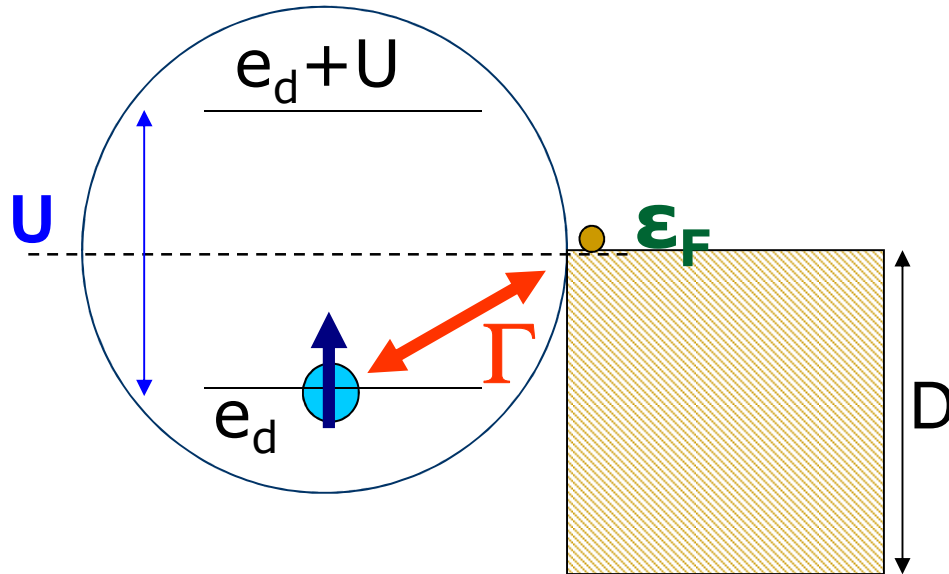


Leo Kouwenhoven and Leonid Glazman

### 1 The Kondo effect in metals and in quantum dots



# Anderson Model



$$\begin{aligned}
 H = & \epsilon_d \hat{n}_{d\sigma} + U \hat{n}_{d\uparrow} \hat{n}_{d\downarrow} \\
 & + \sum_k \epsilon_k \hat{n}_{k\sigma} \\
 & + t \sum_k c_{d\sigma}^\dagger c_{k\sigma} + \text{h.c.}
 \end{aligned}$$

with

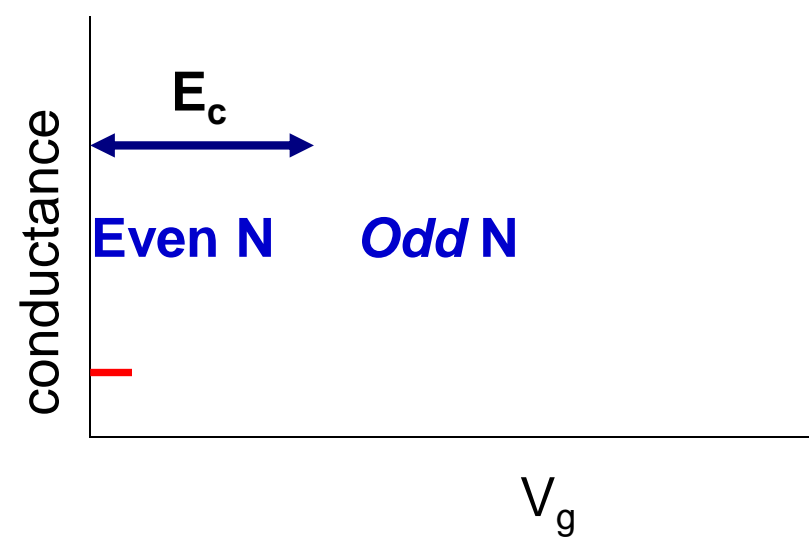
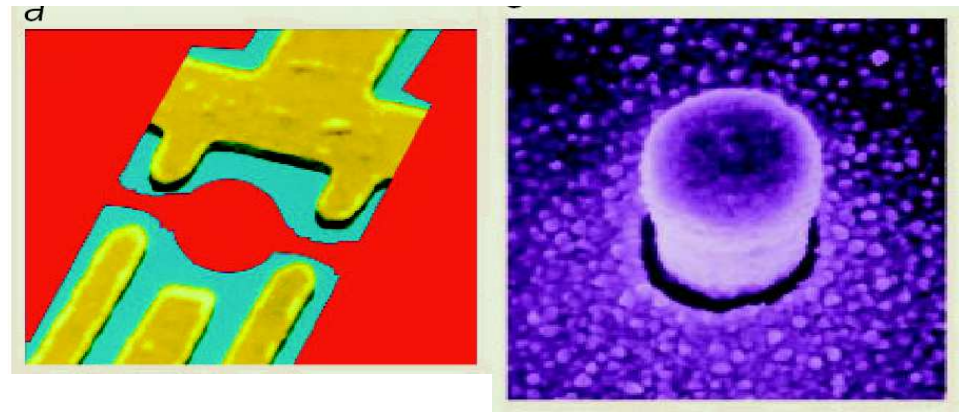
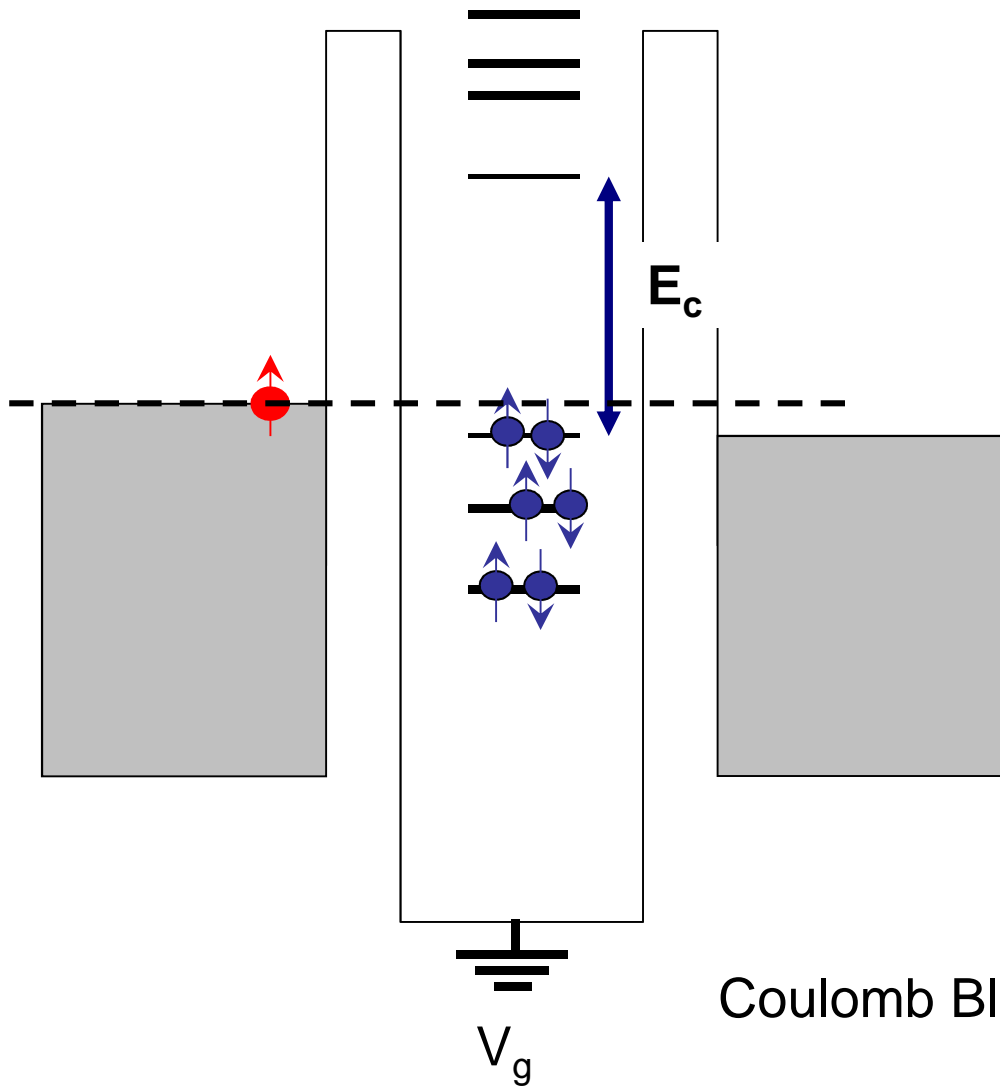
$$\begin{aligned}
 \hat{n}_{d\sigma} &= c_{d\sigma}^\dagger c_{d\sigma} \\
 \hat{n}_{k\sigma} &= c_{k\sigma}^\dagger c_{k\sigma}
 \end{aligned}$$

“Quantum dot language”

- $e_d$ : energy level
- $U$ : Coulomb repulsion
- $e_F$ : Fermi energy in the metal
- $\Gamma$ : Hybridization
- $D$ : bandwidth

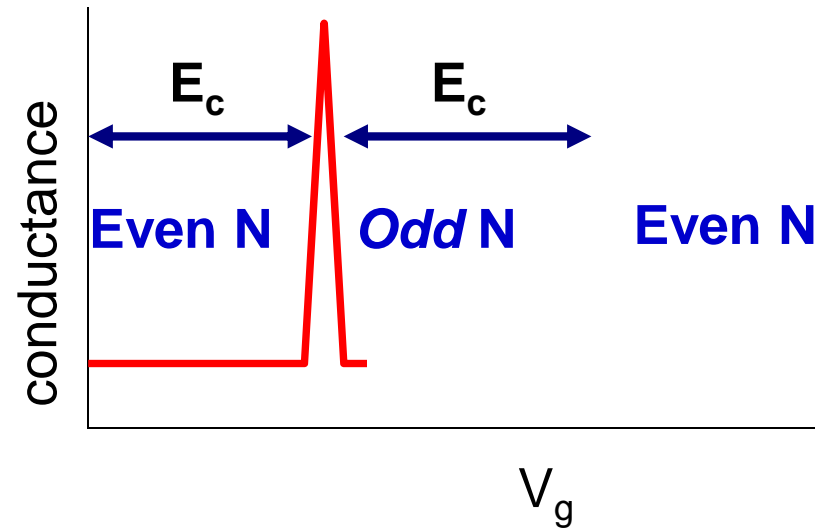
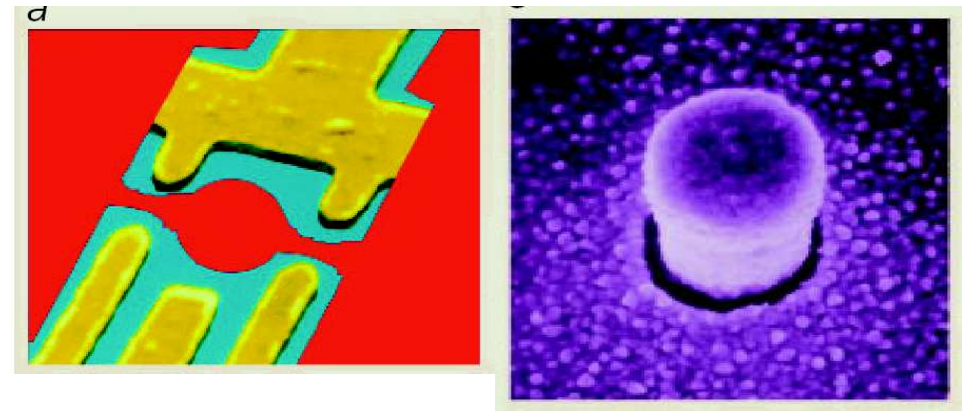
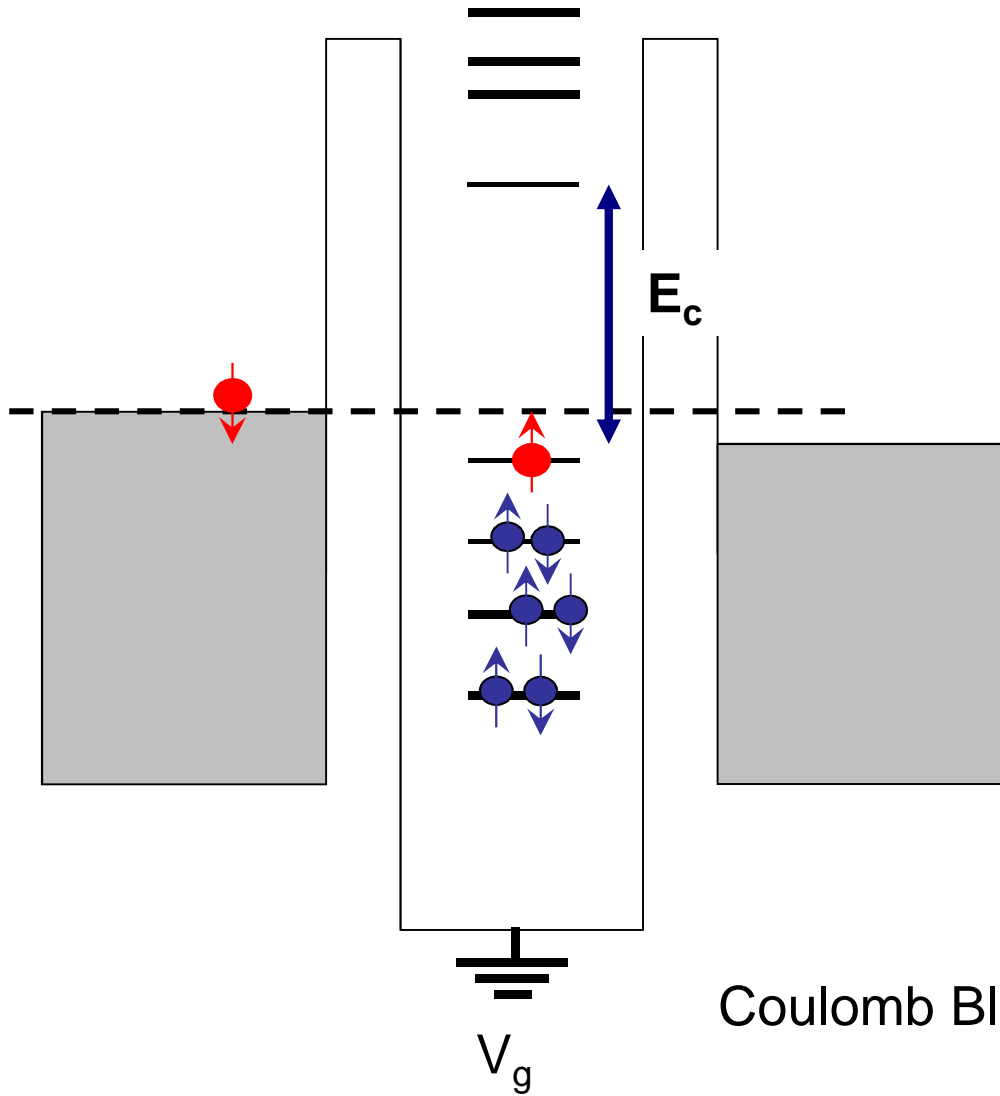
- $e_d$ : position of the level ( $V_g$ )
- $U$ : Charging energy
- $e_F$ : Fermi energy in the leads
- $t$ : dot-lead tunneling
- $D$ : bandwidth

# Coulomb Blockade in Quantum Dots



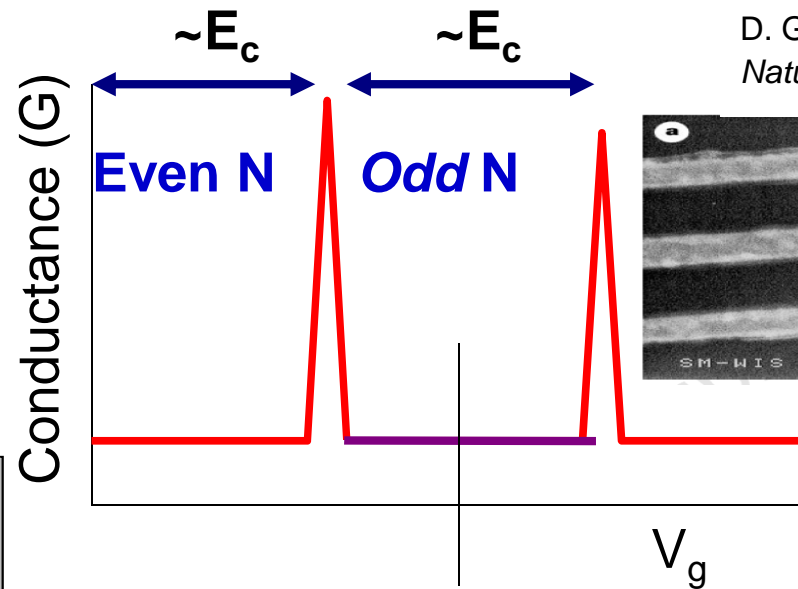
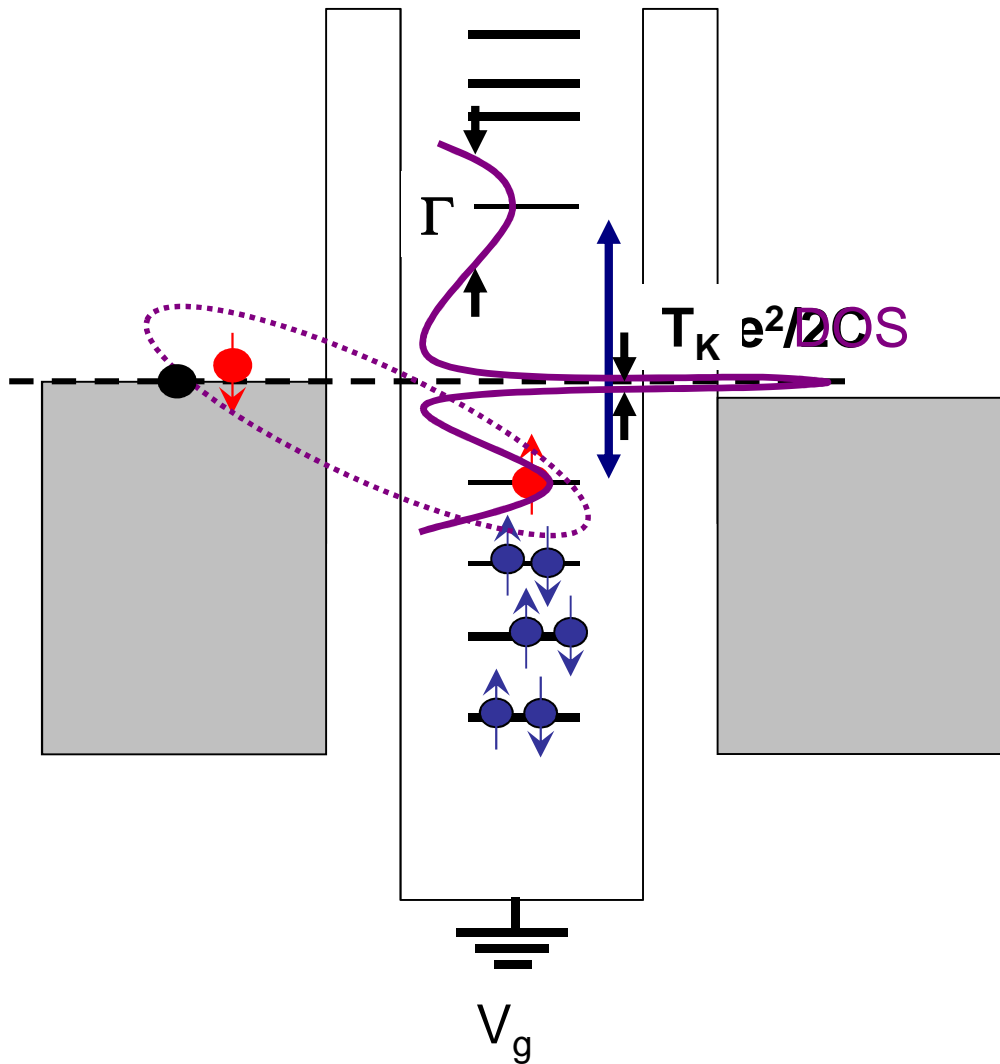
Coulomb Blockade in Quantum Dots

# Coulomb Blockade in Quantum Dots

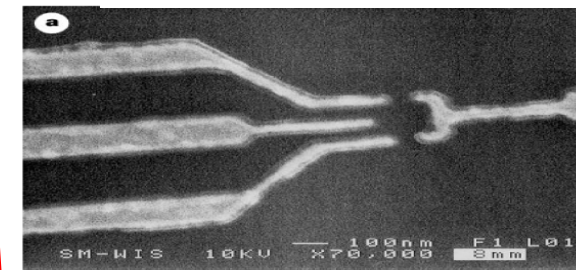


Coulomb Blockade in Quantum Dots

# Kondo Effect in Quantum Dots



D. Goldhaber-Gordon et al  
*Nature* **391** 156 (1998)

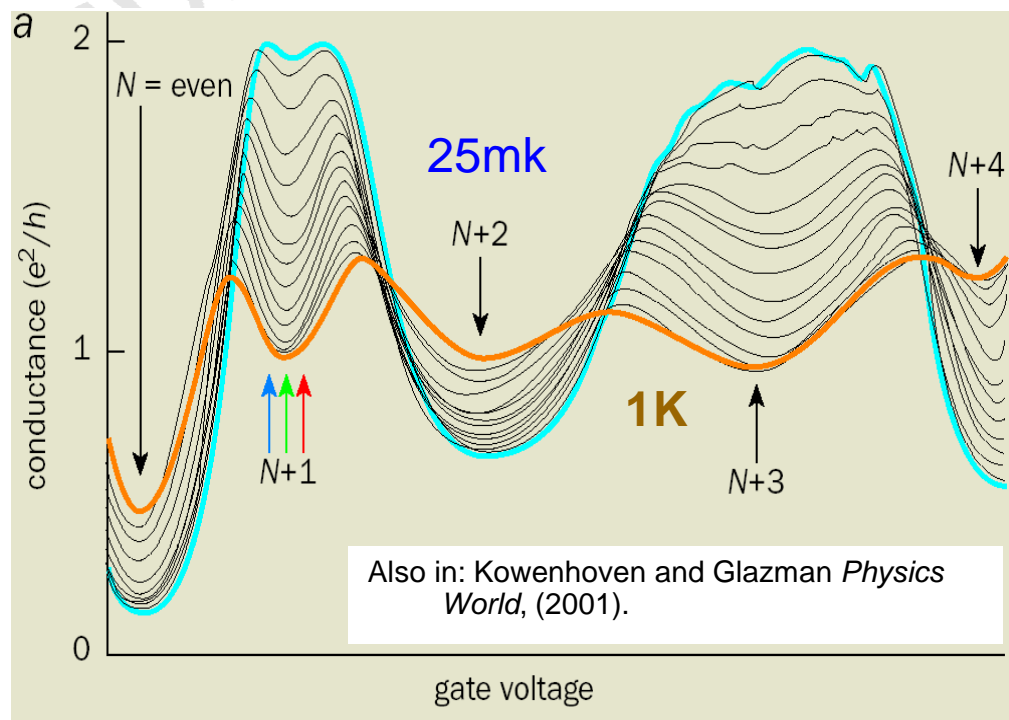
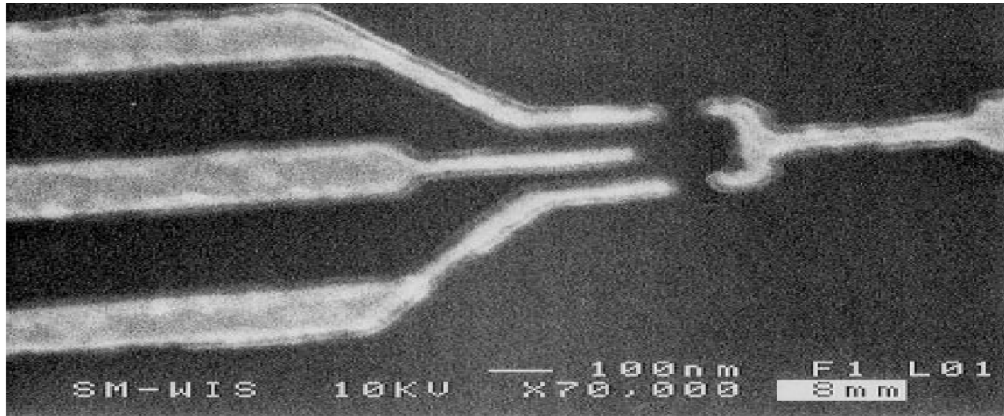


- $T > T_K$ : Coulomb blockade (**low  $G$** )
- $T < T_K$ : Kondo singlet formation
- **Kondo resonance** at  $E_F$  (width  $T_K$ ).
- New conduction channel at  $E_F$ :  
**Zero-bias enhancement of  $G$**



# Kondo effect in Quantum Dots

D. Goldhaber-Gordon et al. Nature **391** 156 (1998)



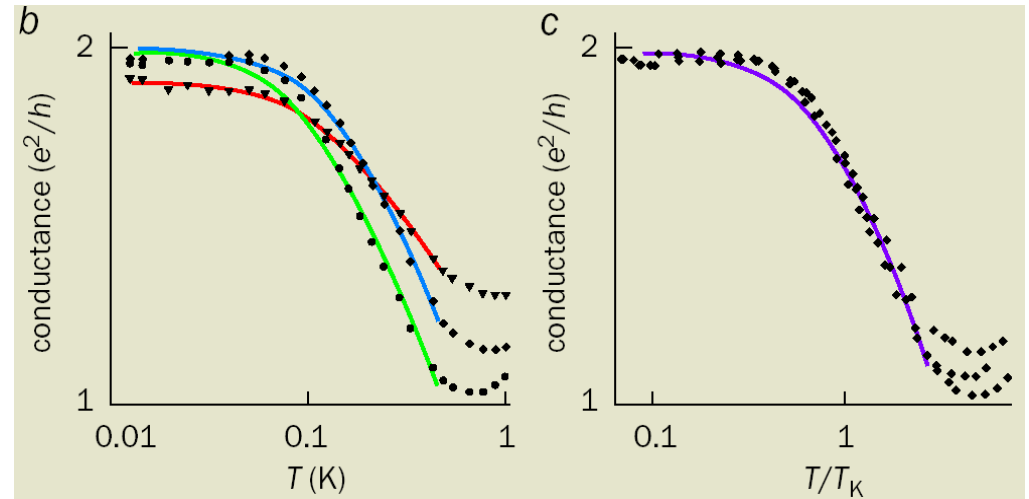
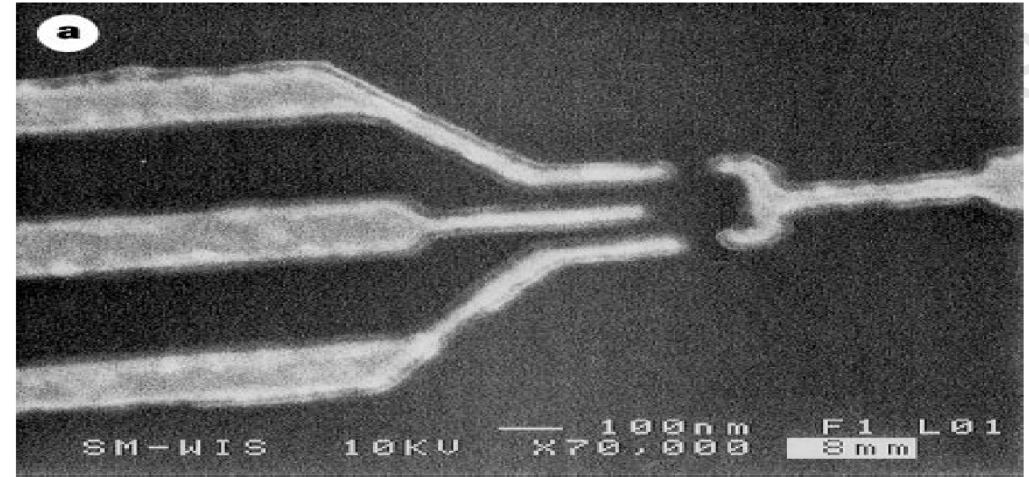
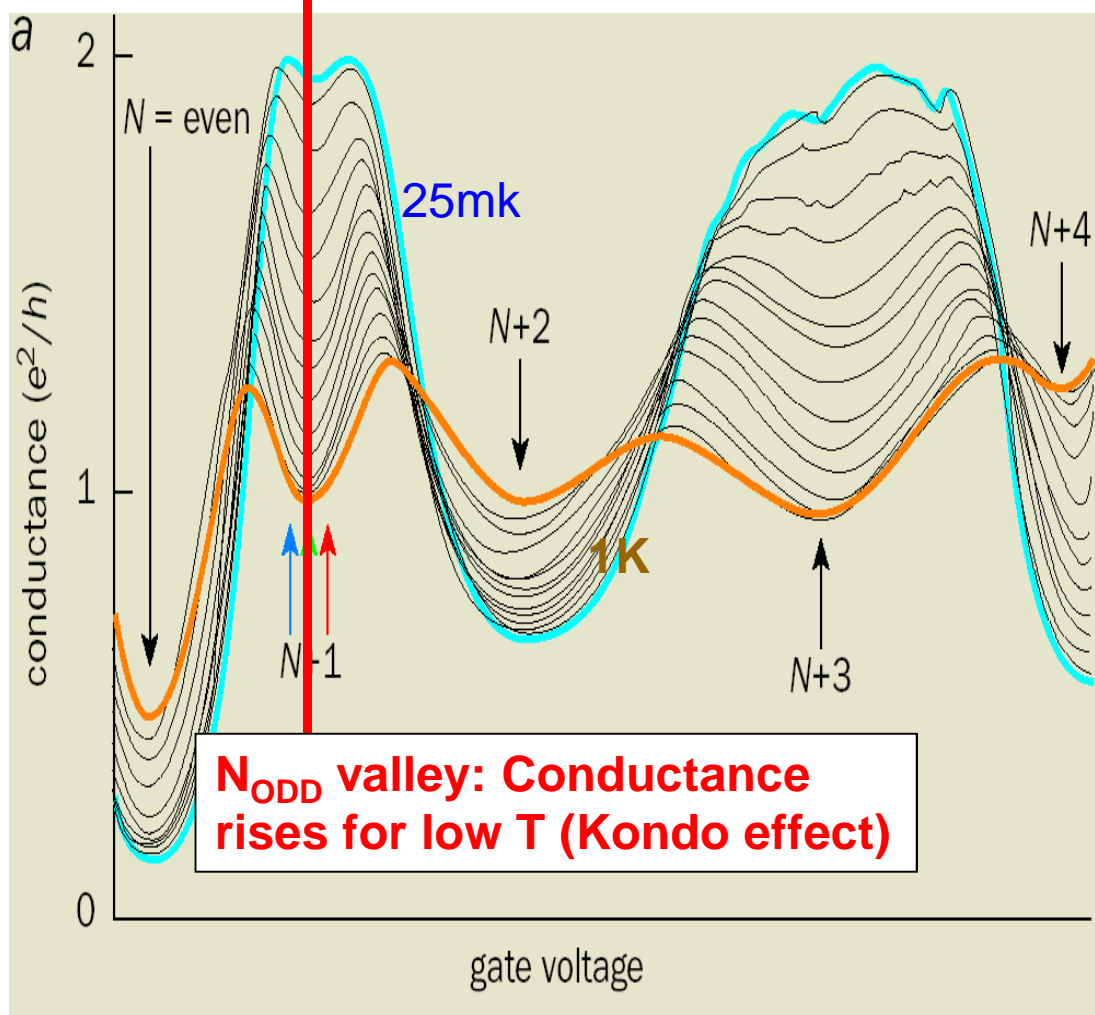
Semiconductor Quantum Dots:

- Allow for systematic and *controllable* investigations of the Kondo effect.
- QD in  $N_{\text{odd}}$  Coulomb Blockade valley: realization of the Kondo regime of the Anderson impurity problem.

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<http://www.fmt.if.usp.br/~luisdias>

# Kondo Effect in CB-QDs



Kondo Temperature  $T_K$ : only scaling parameter ( $\sim 0.5\text{K}$ , depends on  $V_g$ )