

Detecting and manipulating Majorana bound states with quantum dots.

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*Weyl Fermions in Condensed Matter
IIP, July 25, 2019.*

Physics @ USP – São Paulo.



Physics Institute-USP

6 departments
~130 active faculty
~250 grad students
~400 undergrad students



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Group members



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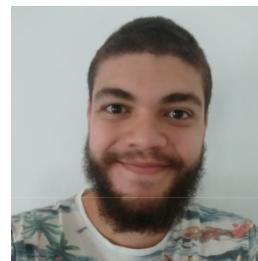
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Outline

- Basics: Majorana bound states in condensed matter systems.
- *Detecting* Majorana states with quantum dots.
- *Manipulating* Majorana states with (double) quantum dots.
- Majorana zero modes in magnetic chains on topological insulators with superconductivity.
- Gap oscillations: some clues for the behavior found in nanowires.

What are Majorana fermions?

Majorana Fermions

Majorana solution: Representations of Dirac matrices with only imaginary non-zero elements while still satisfying

$$\begin{cases} \tilde{\gamma}_0^\dagger = \tilde{\gamma}_0 \\ \tilde{\gamma}_i^\dagger = -\tilde{\gamma}_i \end{cases} \Rightarrow [i\tilde{\gamma}^\mu \partial_\mu - m] \Psi = 0$$



<http://www.giornalettismo.com/archives/255332/il-ritorno-di-ettore-majorana/>

Real solutions:

$$[i\tilde{\gamma}^\mu \partial_\mu - m] \gamma = 0 \quad \boxed{\gamma = \gamma^\dagger}$$

- A Dirac fermion can be “written” in terms of two Majorana fermions

$$\begin{cases} \Psi = \frac{1}{2} (\gamma_1 + i\gamma_2) \\ \Psi^\dagger = \frac{1}{2} (\gamma_1 - i\gamma_2) \end{cases} \quad \text{or}$$

$$\boxed{\gamma_1 = (\Psi^\dagger + \Psi)}$$

E. Majorana, *Nuovo Cimento* **5**, 171 (1937)



Where do we find Majorana (quasi) particles?

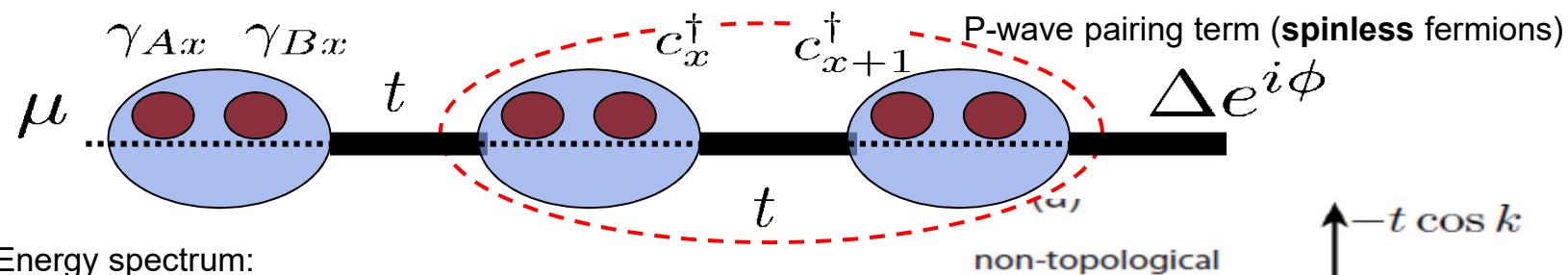
Majorana quasiparticles in condensed matter systems?

- Fractional Quantum Hall liquids ($v=5/2$): Moore and Read, *Nucl. Phys. B* (1991).
“non-Abelian anyons”.
- Two-channel Kondo non-FL fixed point.
 - Emery, Kivelson, *PRB* (1992).
 - Coleman, Ioffe, Tsvelik *PRB* (1995).
 - Maldacena, Ludwig, *Nucl. Phys. B* (1997).
 - Bulla, Hewson, Zhang, *PRB* (1997).
- Interface of topological insulators with BCS superconductors Fu and Kane, *Phys. Rev. Lett.* (2008).
- Spin-polarized (“spinless”) p-wave superconductors. Read and Green, *Phys. Rev. B* (2000).
Kitaev, *Phys. Usp.* (2001).

Motivation: entanglement of particles with non-abelian statistics (“Ising anyons”); topologically protected quantum computation.

1D p-wave superconductor (Kitaev model)

$$H = -\mu \sum_x c_x^\dagger c_x - \frac{1}{2} \sum_x (t c_x^\dagger c_{x+1} + \Delta e^{i\phi} c_x c_{x+1} + h.c.)$$



$$E(k) = \pm \sqrt{(t \cos k + \mu)^2 + (\Delta \sin k)^2}$$

$$|\mu| > t$$

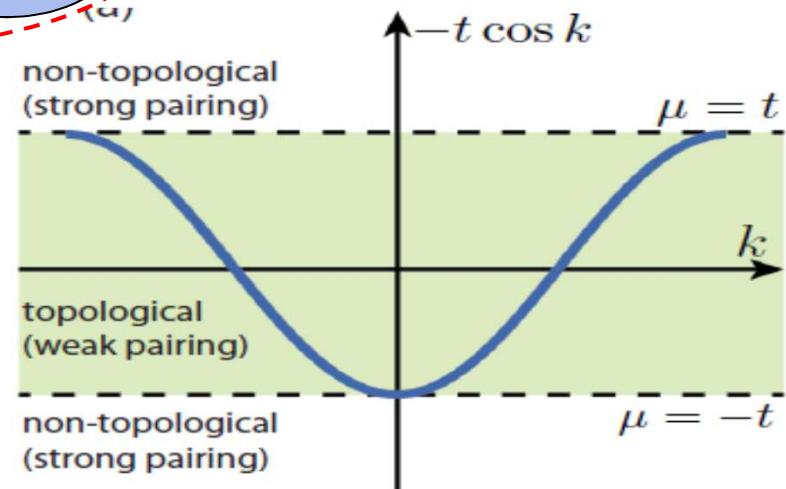
Gapped ($E_+ - E_- > 0$): **trivial**

$$\mu = \pm t$$

Gapless modes ($E=0$):
 $k = \pm \pi$ or $k = 0$

$$|\mu| < t$$

Gapped: **topological** ($\Delta \neq 0$)



Majorana states in the Kitaev model.

Map into a “chain of Majorana modes” using:

$$\begin{cases} c_x = \frac{e^{-i\phi/2}}{2} (\gamma_{B,x} + i\gamma_{A,x}) \\ c_x^\dagger = \frac{e^{+i\phi/2}}{2} (\gamma_{B,x} - i\gamma_{A,x}) \end{cases}$$

$$H = -\mu \sum_x c_x^\dagger c_x - \frac{1}{2} \sum_x (t c_x^\dagger c_{x+1} + \Delta e^{i\phi} c_x c_{x+1} + h.c.)$$



$$H = -\frac{\mu}{2} \sum_x^N (1 + i\gamma_{B,x}\gamma_{A,x}) - \frac{i}{4} \sum_x^{N-1} (\Delta + t) \gamma_{B,x}\gamma_{A,x+1} + (\Delta - t) \gamma_{A,x}\gamma_{B,x+1}$$

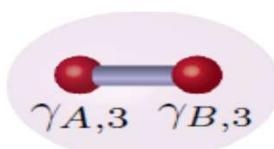
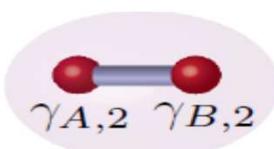
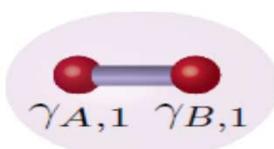
Majorana states in the Kitaev model.

$$H = -\frac{\mu}{2} \sum_x^N (1 + i\gamma_{B,x}\gamma_{A,x}) - \frac{i}{4} \sum_x^{N-1} (\Delta + t) \gamma_{B,x}\gamma_{A,x+1} + (\Delta - t) \gamma_{A,x}\gamma_{B,x+1}$$

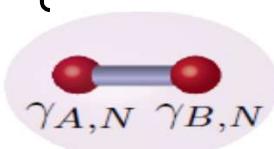
$|\mu| > t$

Gapped: **trivial**. Special case:

$$\begin{cases} \mu \neq 0 \\ t = \Delta = 0 \end{cases}$$



• • •



$$E_+ - E_- = 2\mu$$

$|\mu| < t$

Gapped: **topological**. Special case:

$$\begin{cases} \mu = 0 \\ t = \Delta \neq 0 \end{cases}$$

$$E_+ - E_- = 2\Delta$$



• • •



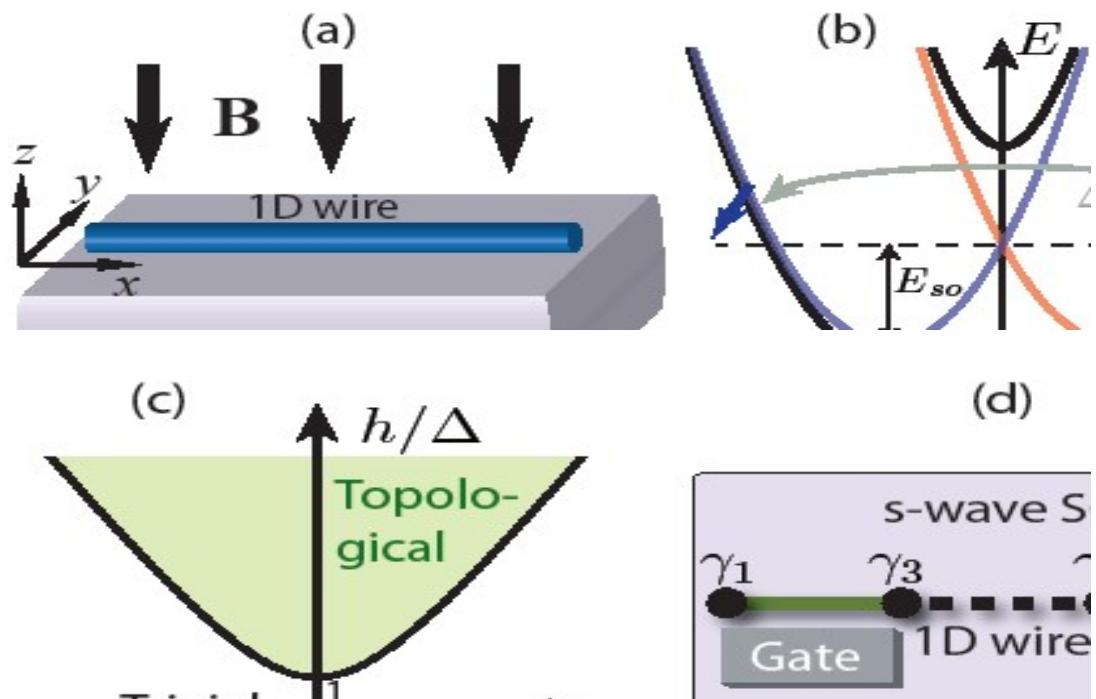
Topological regime: Majorana zero modes ($E=\mu=0!!!$) at the edges of the chain!

Can the Kitaev model be realized experimentally?

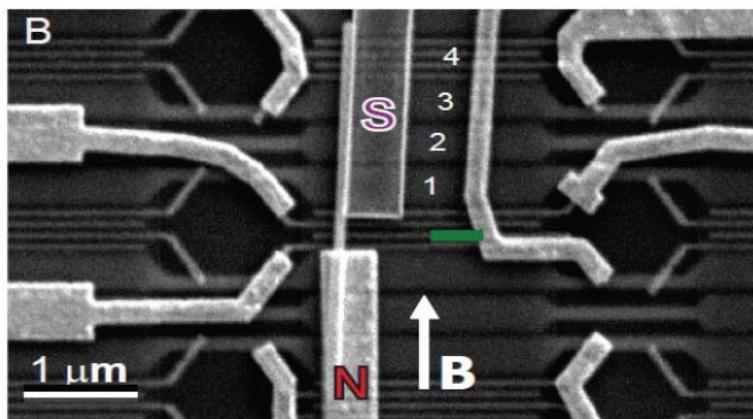
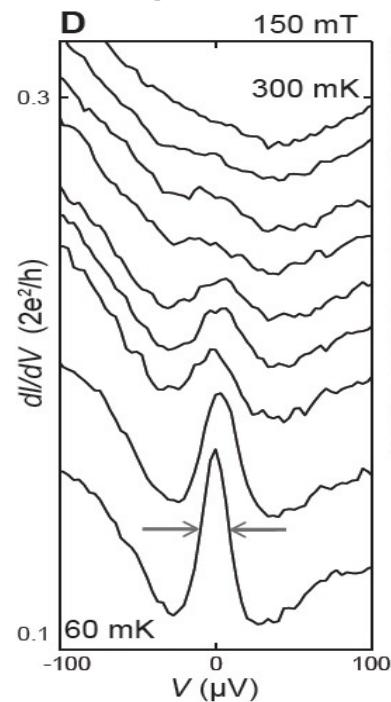
How to realize a p-wave SC: Quantum wires.

Theory: Lutchyn et al. PRL, **105**, 077001 (2010); Oreg et al. PRL, **105**, 077002 (2010);

- **Step 1:**
create spinless 1D fermions.
Ingredients: spin-orbit, B field.

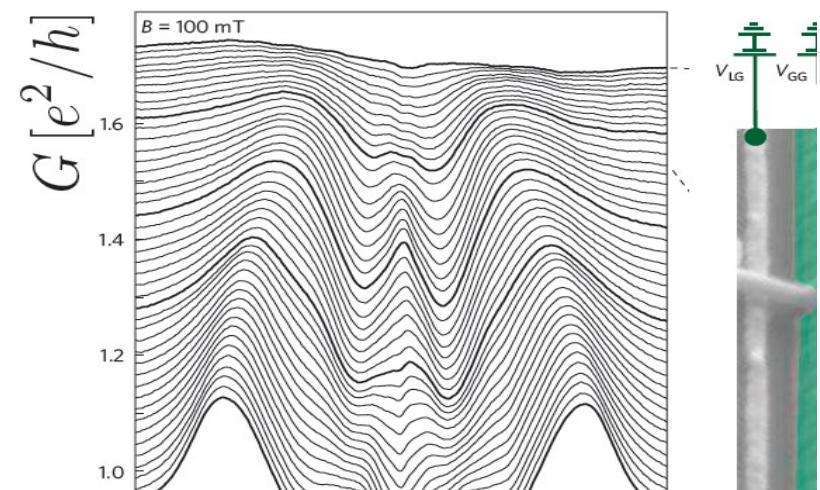


Experiment on InSb nanowires.



Zero-bias peak in tunne

Mourik *et al.*, Science 336, 1003 (2012)
Deng *et al.*, Nano Lett. 12, 100 (2012)
Das *et al.*, Nature Phys. 8, 887 (2012)
Prada *et al.*, Phys. Rev. Lett. 109, 156802 (2012)
Churchill *et al.*, Phys. Rev. Lett. 109, 156803 (2012)



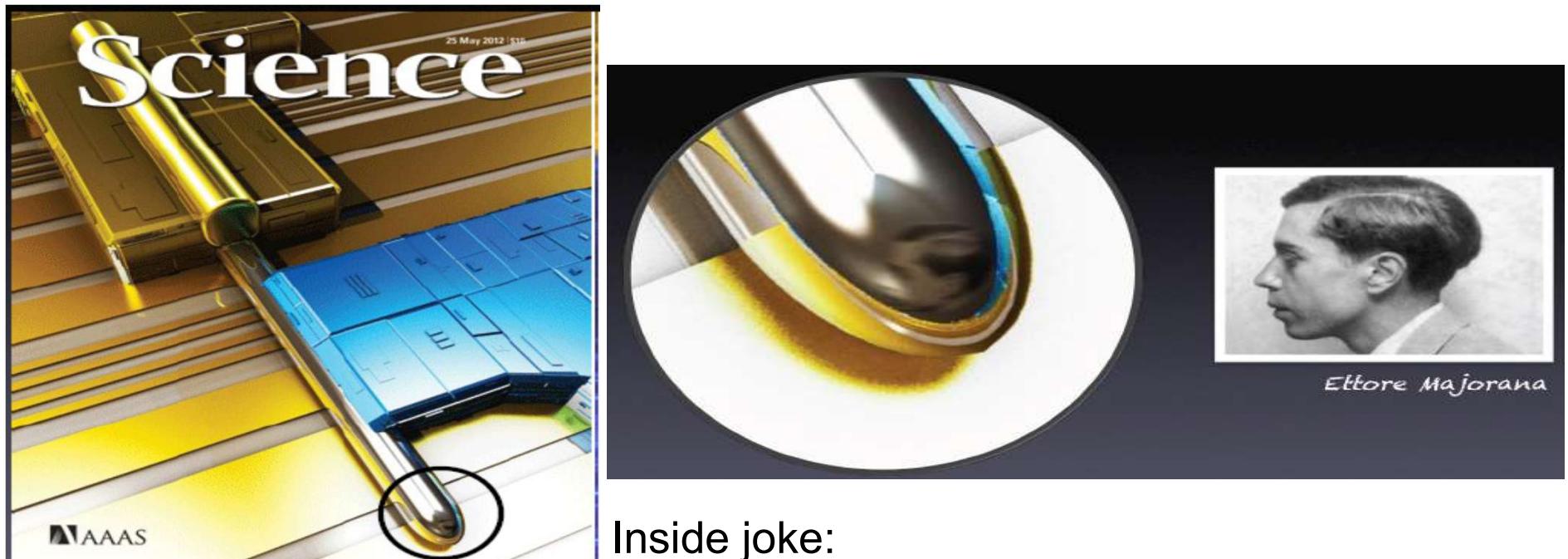
Signatures appear for:

- Large enough magnetic field (topological phase)

A success story??

Theory: Lutchyn et al. PRL, **105**, 077001 (2010); Oreg et al. PRL, **105**, 077002 (2010);

Experiment: V. Mourik et al. Science **336** 1003 (2012)



Inside joke:

“Majorana found at the end of a quantum wire”

What do we do with them?

“Topological Quantum Computation”

Microsoft's high-stakes game...

 Microsoft | Research Research areas ▾ Researcher tools Programs & Events ▾ Careers People Blogs & Podcasts ▾



<https://www.microsoft.com/en-us/research/lab/quantum/>



Michael Freedman
(Univ. of California - Santa Barbara)

 Microsoft | Research Research areas ▾ Researcher tools Programs & Events ▾ Careers People Blogs & Podcasts ▾



Leo Kouwenhoven
(Delft University)

 Microsoft | Research Research areas ▾ Researcher tools Programs & Events ▾ Careers People Blogs & Podcasts ▾ Labs & Locations ▾ All Micro



Charlie Marcus
(Univ. of Copenhagen – Niels Bohr Inst)

Microsoft's high-stakes game...

The New York Times

Microsoft Spends Big to Build a Quantum Computer

<https://www.nytimes.com/2016/11/21/technology/microsoft-spends-big-to-build-quantum-computer.html?smid=tw-share>

Microsoft | **Research** Research areas ▾ Researcher tools Programs & Events



Microsoft Quantum: Research

<https://www.microsoft.com/en-us/research/lab/quantum/>

Microsoft | **The AI Blog** The Official Microsoft Blog Microsoft On the Issues Microsoft News Center

Microsoft doubles down on quantum computing bet

<https://blogs.microsoft.com/ai/microsoft-doubles-quantum-computing-bet/>

Microsoft / Features

With new Microsoft breakthroughs, general-purpose quantum computing moves closer to reality

<https://news.microsoft.com/features/new-microsoft-breakthroughs-general-purpose-quantum-computing-moves-closer-reality/>

Detecting MBS with quantum dots.

Collaborators
in this work:



David Ruiz-Tijerina
Post-doc IFUSP
(2013-2016)



Carlos Egues
IFSC-USP

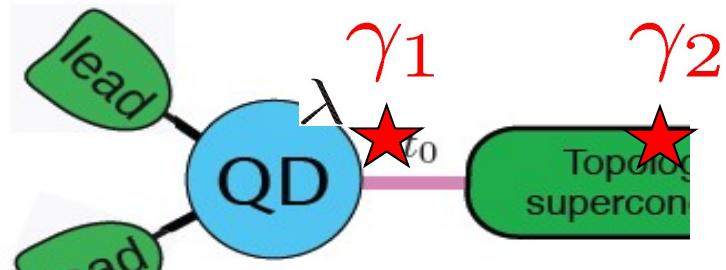


Edson Vernek
UFU

D. A. Ruiz-Tijerina et al. *Phys Rev B* **91** 115435 (2015).

How to positively identify an MBS?

- Quantum dot coupled to metallic leads coupled with at the end of the nanowire.

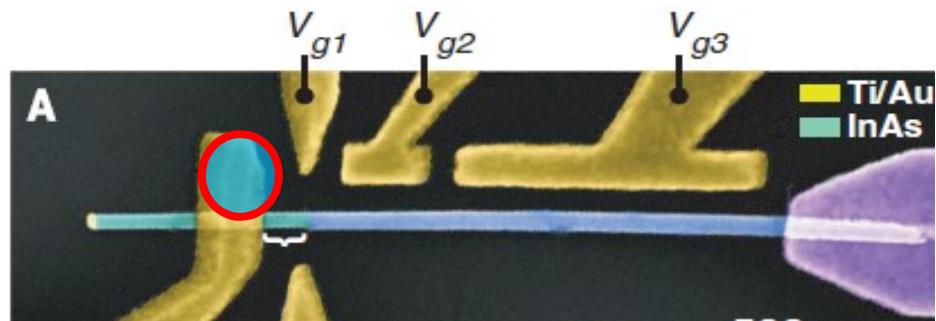


Theory

Liu and Baranger, *Phys Rev B* **84** 201308 (2011).

Vernek et al., *Phys Rev B* **89** 165314 (2014).

Ruiz-Tijerina et al. *Phys Rev B* **91** 115435 (2015).



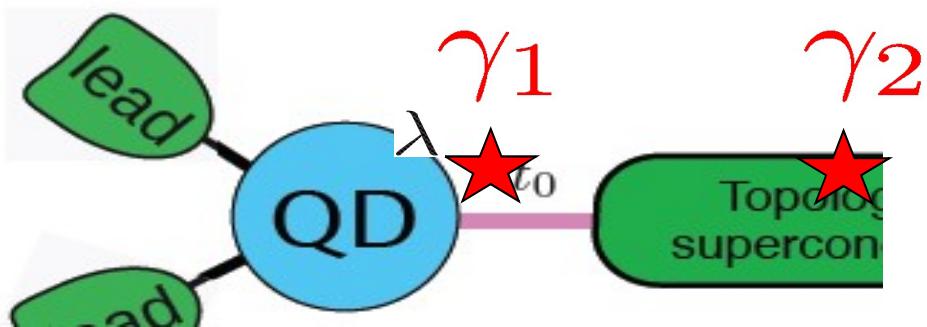
Experiment (Marcus' group)

M.T. Deng et al., *Science* **354** 1557 (2016).

How to positively identify an MBS?

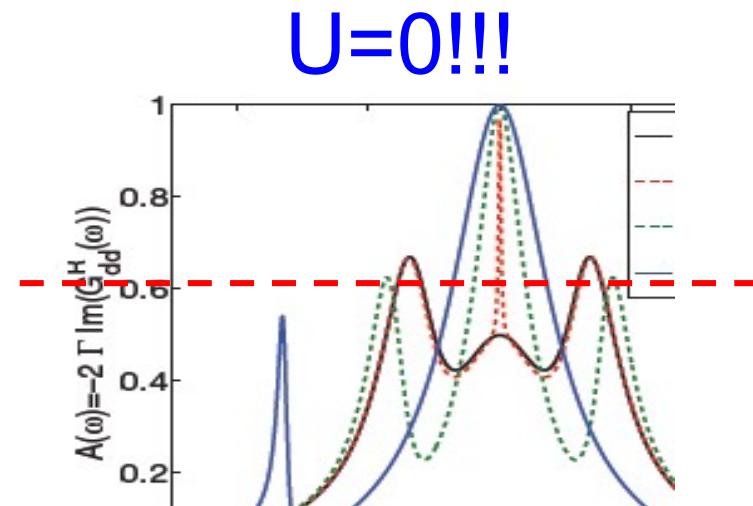
Liu and Baranger, *Phys Rev B* **84** 201308 (2011).

Vernek et al., *Phys Rev B* **89** 165314 (2014).



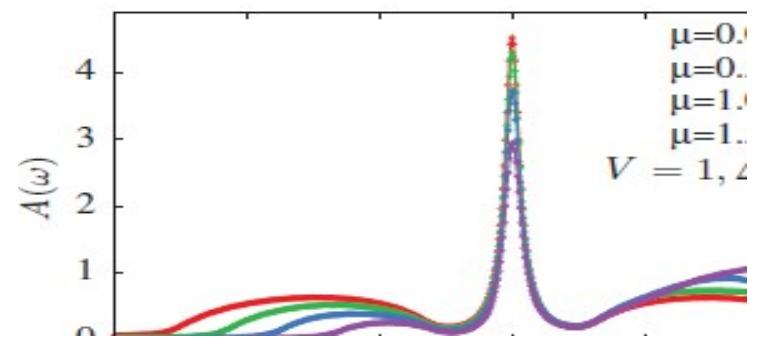
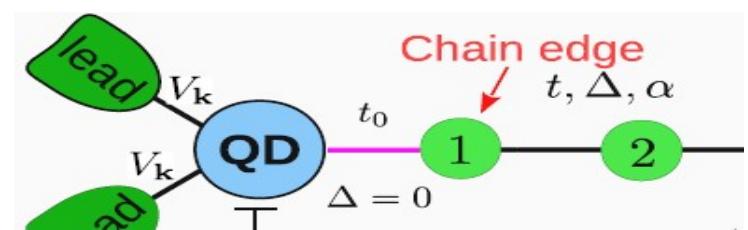
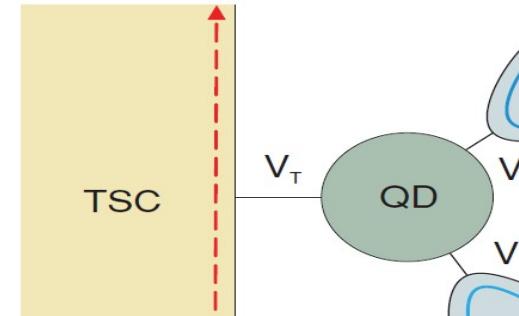
- Connect a quantum dot + metallic leads at the end of the nanowire.
- Measure conductance through the dot
- $0.5 e^2/h =$ signature of the Majorana mode for $U=0$
- What happens for the (common) case of non-zero $U???$

Ruiz-Tijerina et al. *Phys Rev B* **91** 115435 (2015).

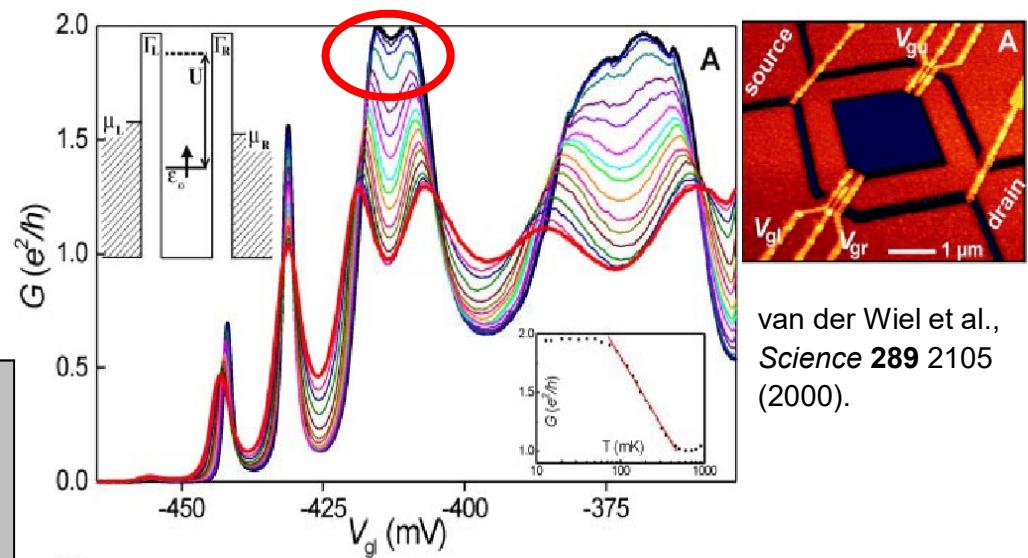
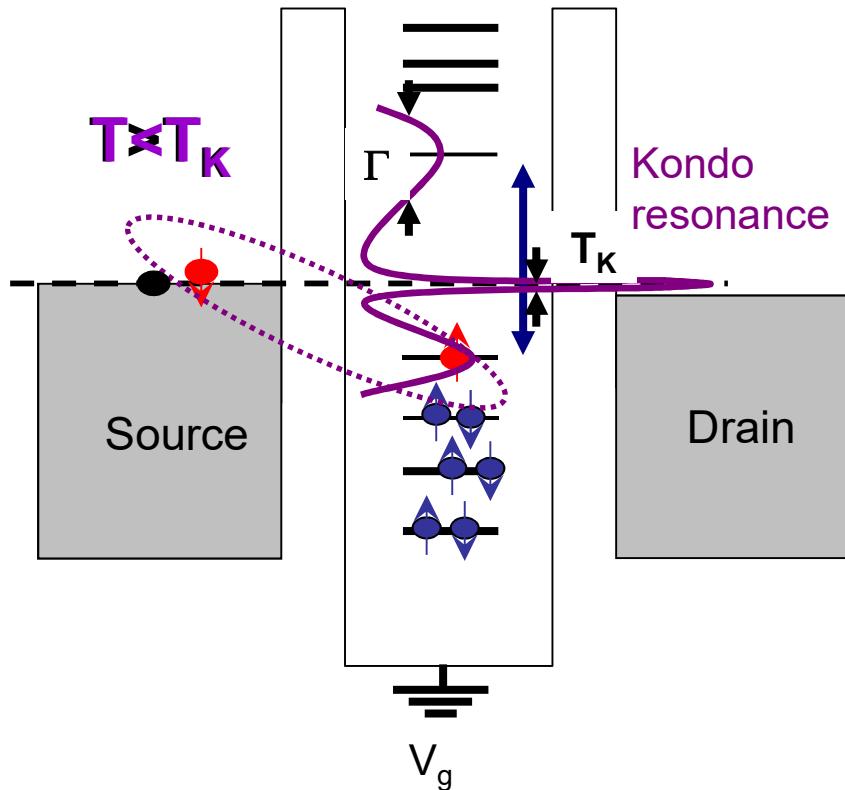


Majoranas + interaction

- Kondo impurity + Majorana edge states (NRG)
R. Zitko, *Phys. Rev. B* **83**, 195137 (2011).
R. Zitko, P. Simon, *Phys. Rev. B* **84**, 195310 (2011).
- Quantum dot + Kitaev (NRG)
M. Lee, et al., *Phys. Rev. B* **87**, 241402 (2013).
Chirla et al., *Phys. Rev. B* **90**, 195108 (2014).
Ruiz-Tijerina et al., *Phys. Rev. B* **91**, 115435 (2015).
- Quantum dot + Kitaev (DMRG)
Korytár and Schmitteckert, *JPCM* **25** 475304 (2014).
Cheng et al., *Phys. Rev. X* **4**, 031051 (2014).
- Interacting Kitaev model (DMRG)
Stoudenmire et al., *Phys. Rev. B* **84** 014503 (2011).
Thomale et al., *Phys. Rev. B* **88** 161103(R) (2013).



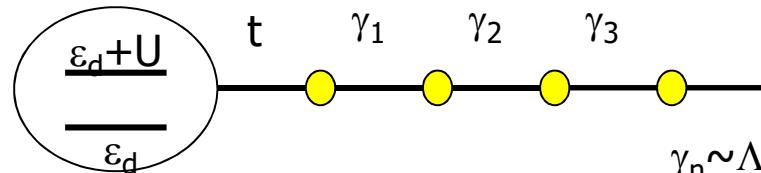
Kondo Effect in Quantum Dots: zero-bias transport.



van der Wiel et al.,
Science 289 2105
(2000).

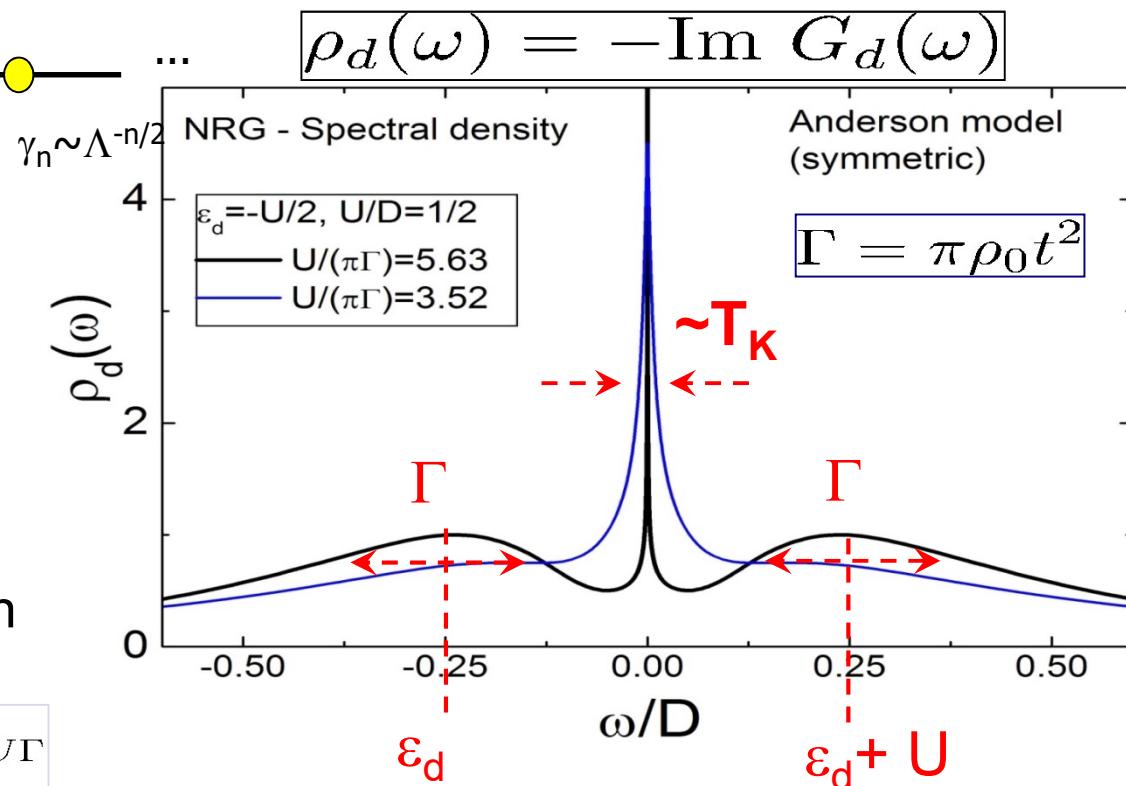
- $T > T_K$: Coulomb blockade (low G)
- $T < T_K$: Kondo singlet formation
- Kondo resonance at E_F (width T_K).
- New conduction channel at E_F :
Zero-bias enhancement of G ($\rightarrow 2e^2/h$)

Kondo resonance with Wilson's NRG



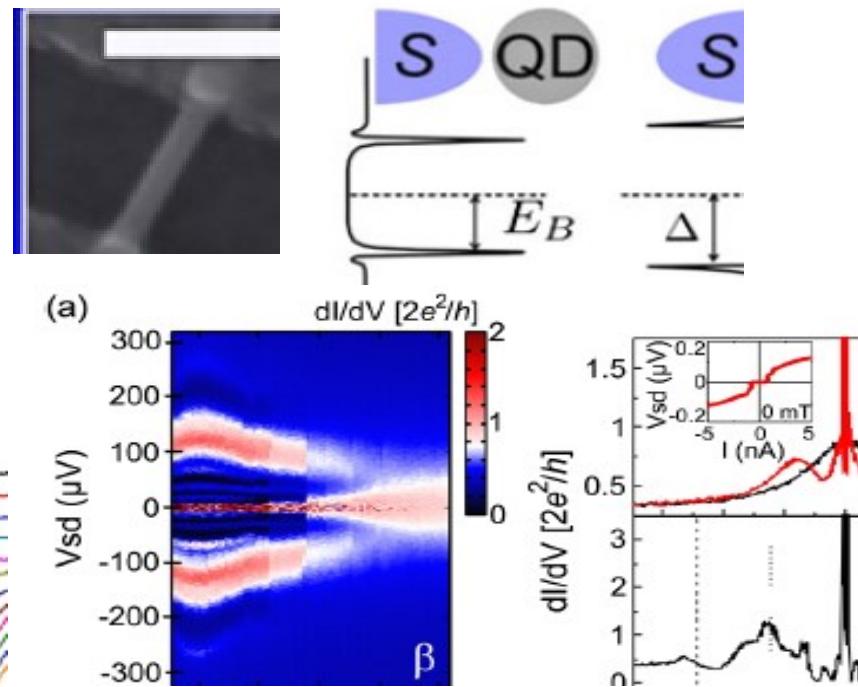
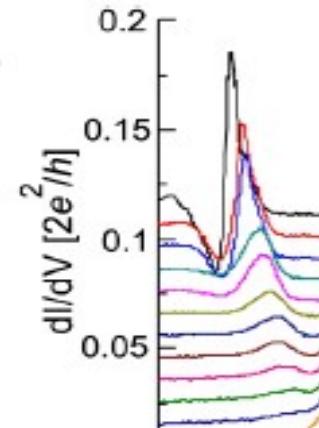
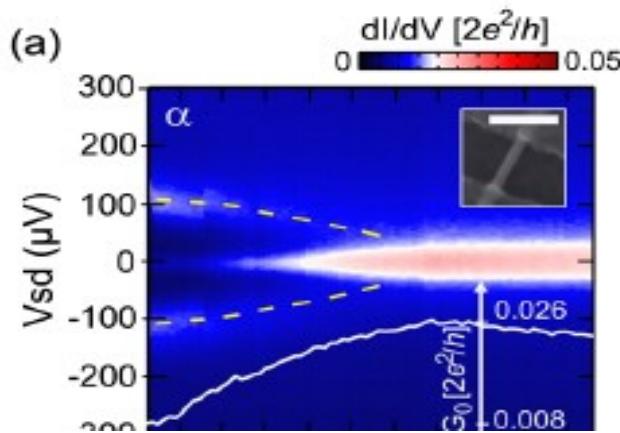
- Spectral density:
 - Single-particle peaks at ε_d and ε_d+U .
 - *Many-body* peak at the Fermi energy: **Kondo resonance** (width $\sim T_K$).
- NRG: very good resolution at low ω .

$$T_K \sim \sqrt{\frac{U\Gamma}{2}} e^{-\pi|\epsilon_d+U|\epsilon_d/2U\Gamma}$$



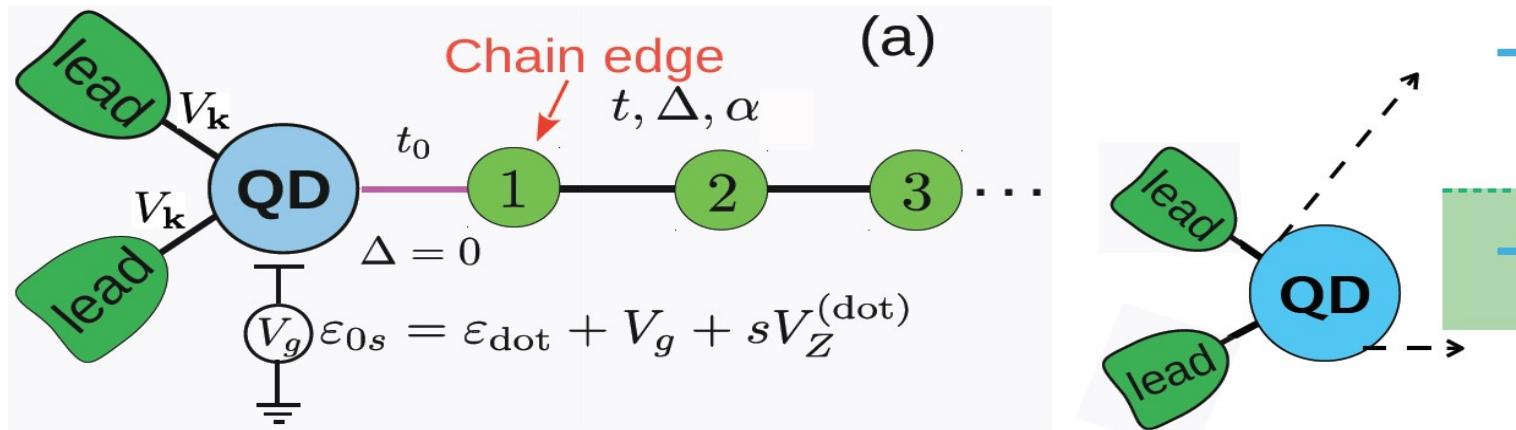
Kondo zero-bias peak in quantum wires coupled to SC leads.

E.J. Lee et al. PRL **109** 186802 (2012)



- Quantum dot defined in InAs/InP quantum wires coupled to superconducting leads.
- Kondo-like zero-bias peak emerges at a critical field B_c .

Model: Quantum dot + quantum wire + SC pairing.



Quantum wire:

$$H_{\text{wire}} = H_{\text{TB}}(\mu, t, V_Z) + H_{\text{Rashba}}(\alpha) + H_{\text{SC}}(\Delta)$$

Quantum dot:

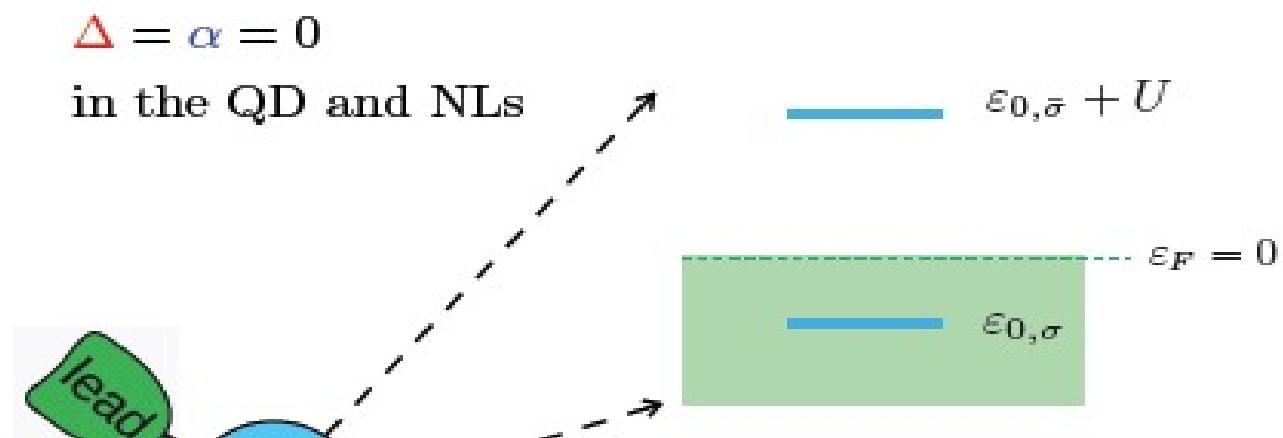
$$H_{\text{dot}} = \sum_{s=\uparrow,\downarrow} \varepsilon_{0,s} n_{0,s} + U n_{0,\uparrow} n_{0,\downarrow}$$

Topological phase for |
Rainis *et al.*, Phys. Rev

Iterative Green's functions + mean field (Hubbard I).

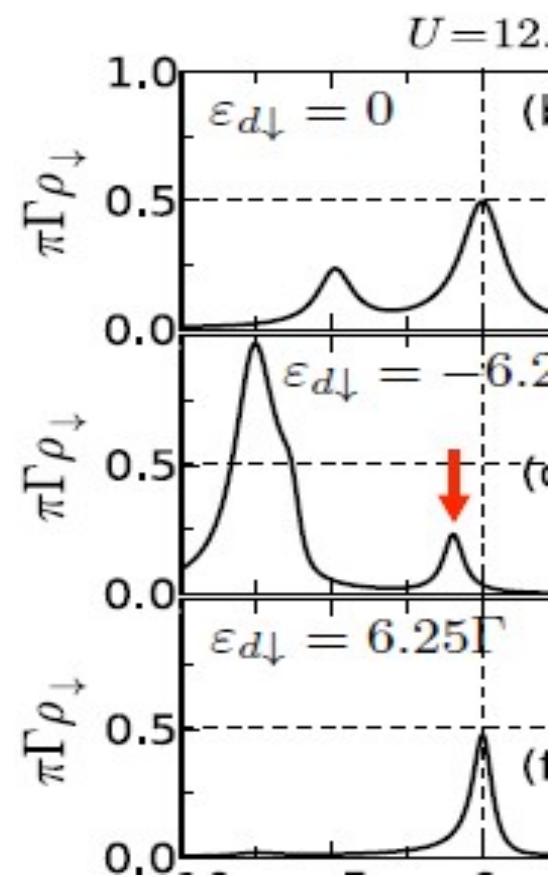
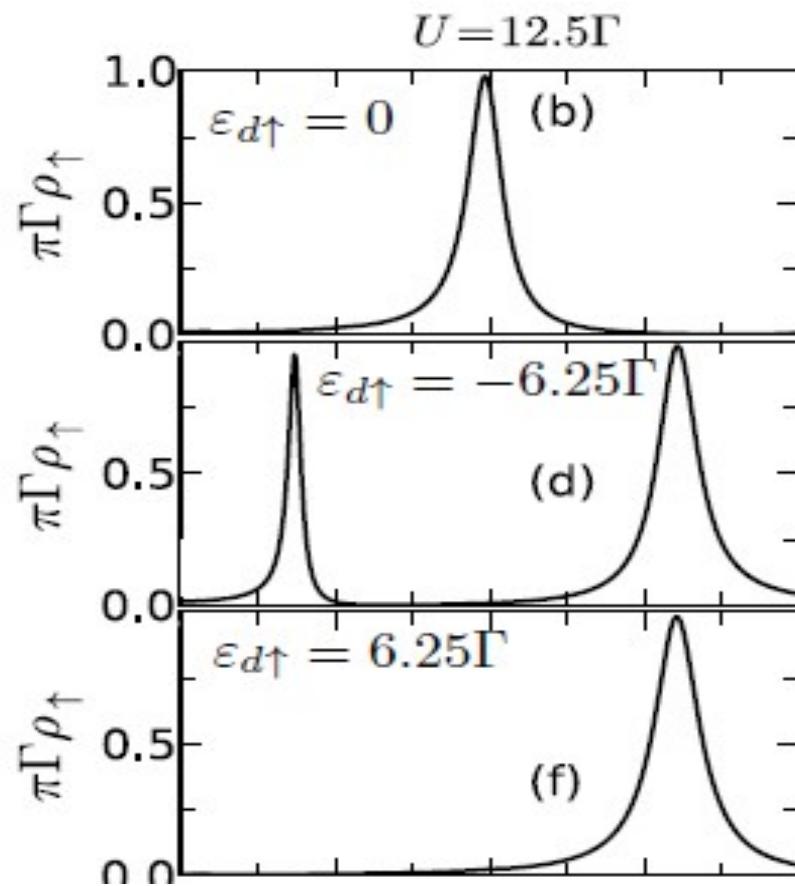
$$g_{N-2} \quad G_{N-1} = \quad G_{N-2}$$

$N-2$ $N-1$ $=$ $N-2$

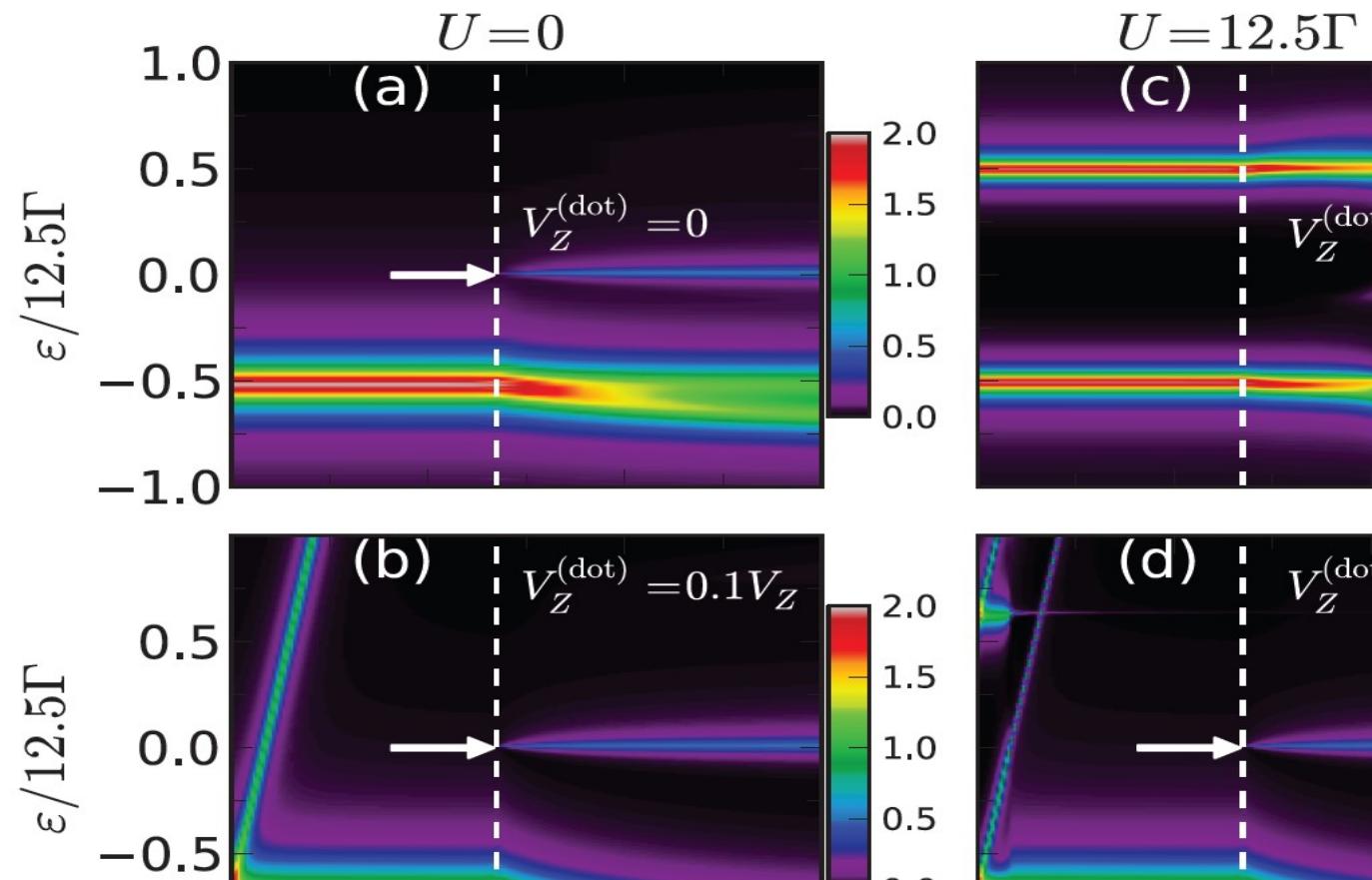


- Because of the interaction, the system displays many correlations
- We use an approximation based on the Hartree-Fock method

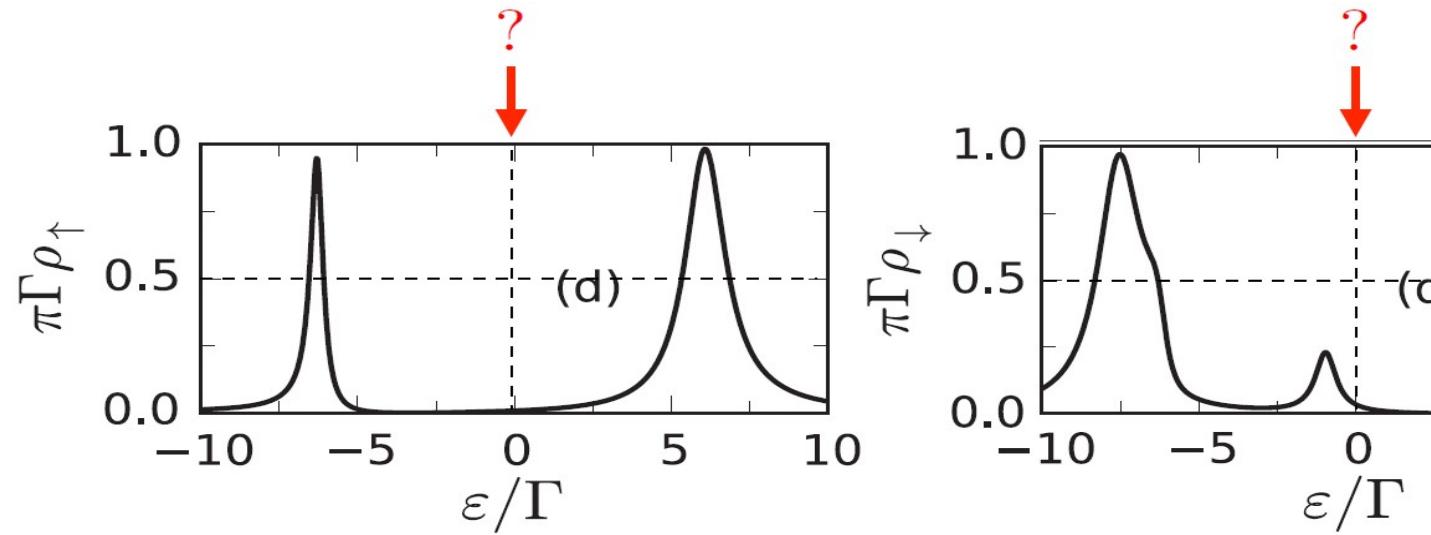
Iterative Green's functions + mean field (Hubbard I).



Iterative Green's functions + mean field (Hubbard I).

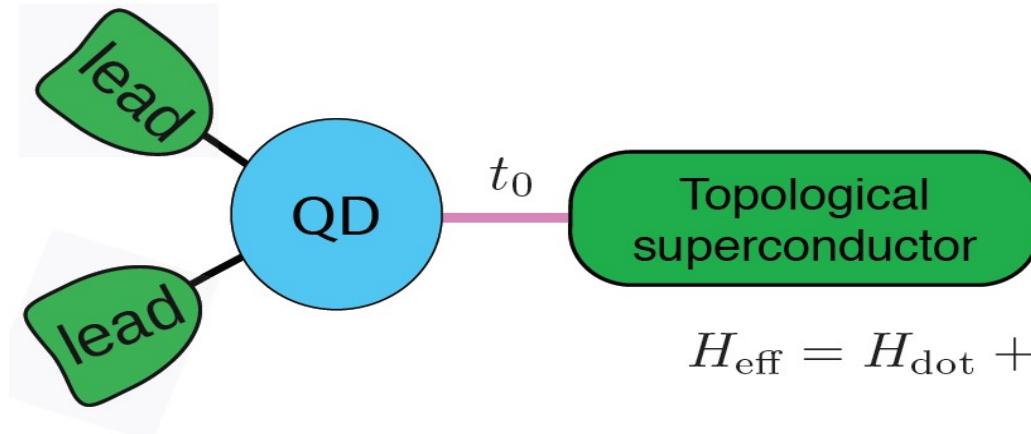


Shortcomings of the mean-field approximation.



- The Hubbard I approximation captures the Majorana outside of the Kondo regime
- It doesn't capture the Kondo correlations

Effective low-energy Anderson model



$$H_{\text{eff}} = H_{\text{dot}} + H_{\text{leads}} + H_{\text{dot-leads}} +$$

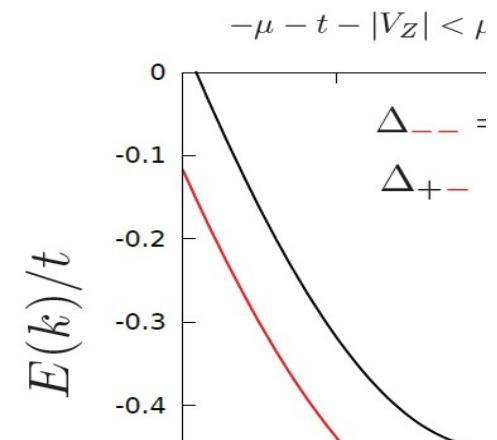
$$H_{\text{dot}} = \sum_{\sigma} \varepsilon_{0\sigma}(\varepsilon_d, V_Z^{(\text{dot})}) n_{0\sigma} + U n_{0\uparrow} n_{0\downarrow}$$

$$H_{\text{leads}} = \sum_{\vec{k}\sigma} \varepsilon_{\vec{k}} c_{\vec{k}\sigma}^{\dagger} c_{\vec{k}\sigma}$$

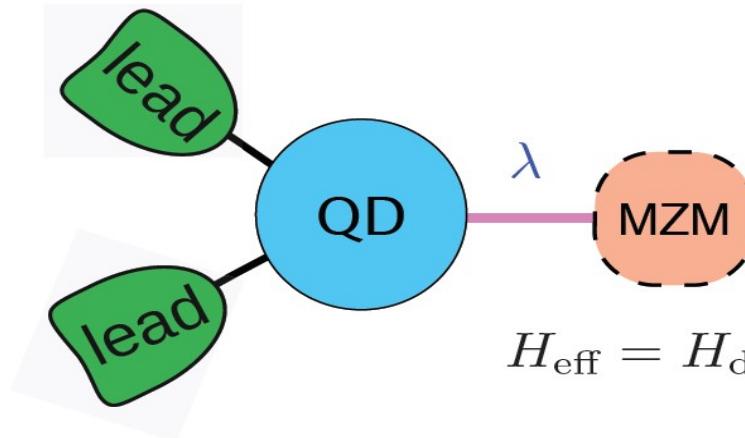
$$H_{\text{dot-leads}} = \sum_{\vec{k}\sigma} [V_{\vec{k}} d_{\sigma}^{\dagger} c_{\vec{k}\sigma} + \text{H. c.}]$$

Lee *et al.*, Phys. Rev. E

- Effective model: directly to the QD ($V_Z > 0$).



Effective low-energy Anderson model



$$H_{\text{eff}} = H_{\text{dot}} + H_{\text{leads}} + H_{\text{dot-leads}} +$$

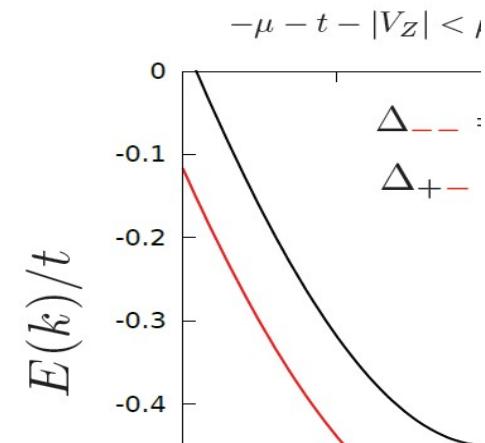
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$$H_{\text{leads}} = \sum_{\vec{k}\sigma} \varepsilon_{\vec{k}} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma}$$

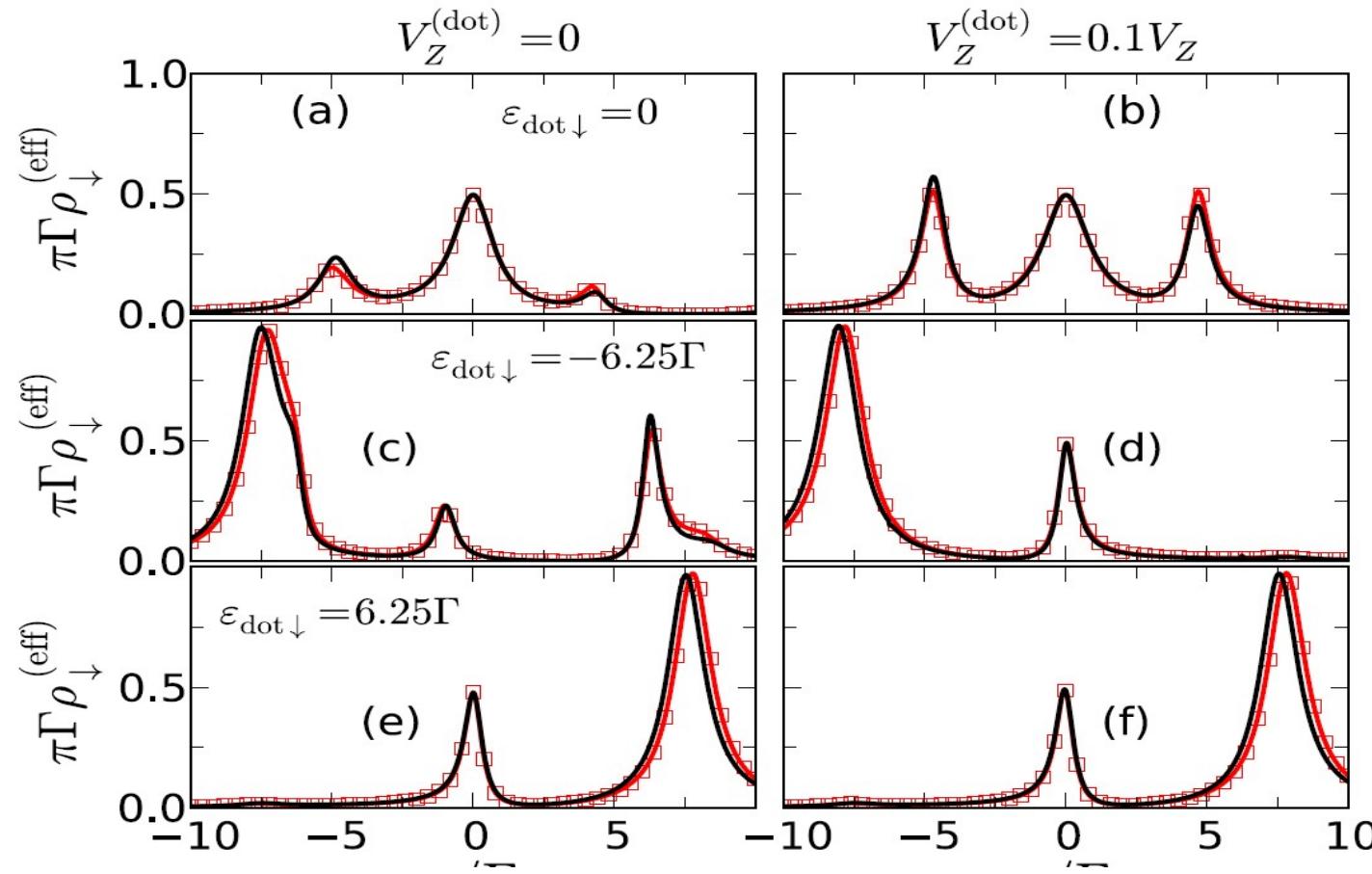
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Lee *et al.*, Phys. Rev. E

- Effective model: λ directly to the QD ($V_Z > 0$).



Effective low-energy Anderson model



Effective model

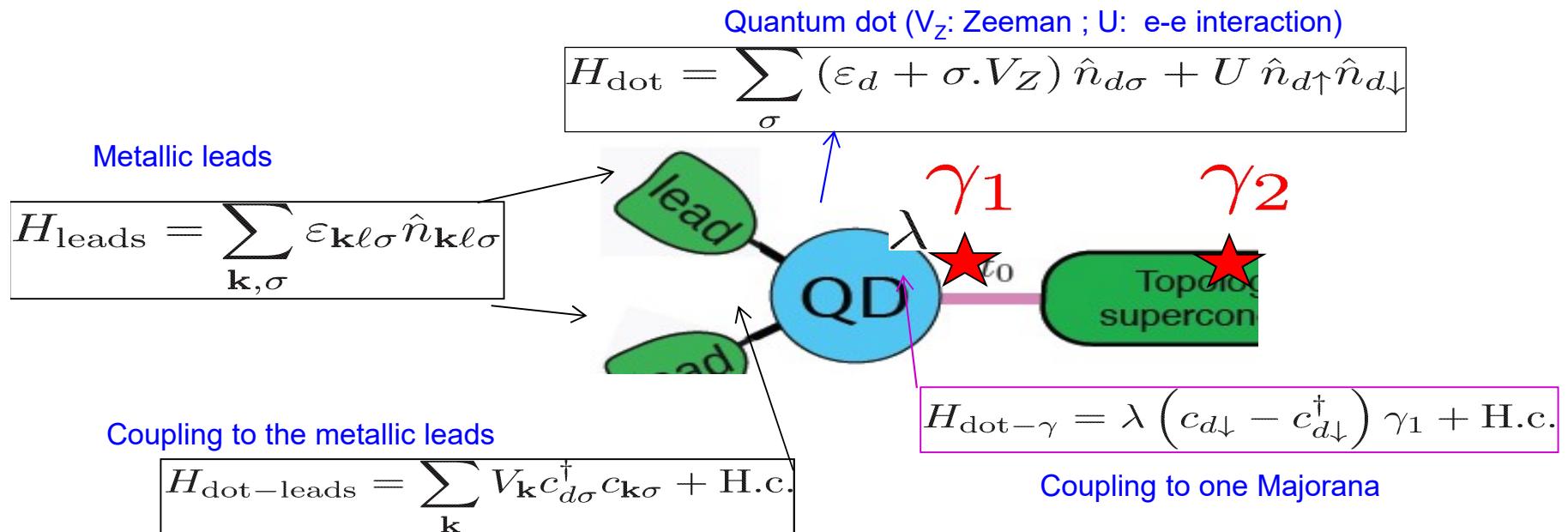
c_α^\dagger : creates a fermion in state α

$\hat{n}_\alpha \equiv c_\alpha^\dagger c_\alpha$: number operator ($=0,1$)

$$c_{E=0}^\dagger = (\gamma_1 - i\gamma_2) \text{ zero energy mode}$$

$$\gamma_1(2) = \gamma_1^\dagger(2)$$

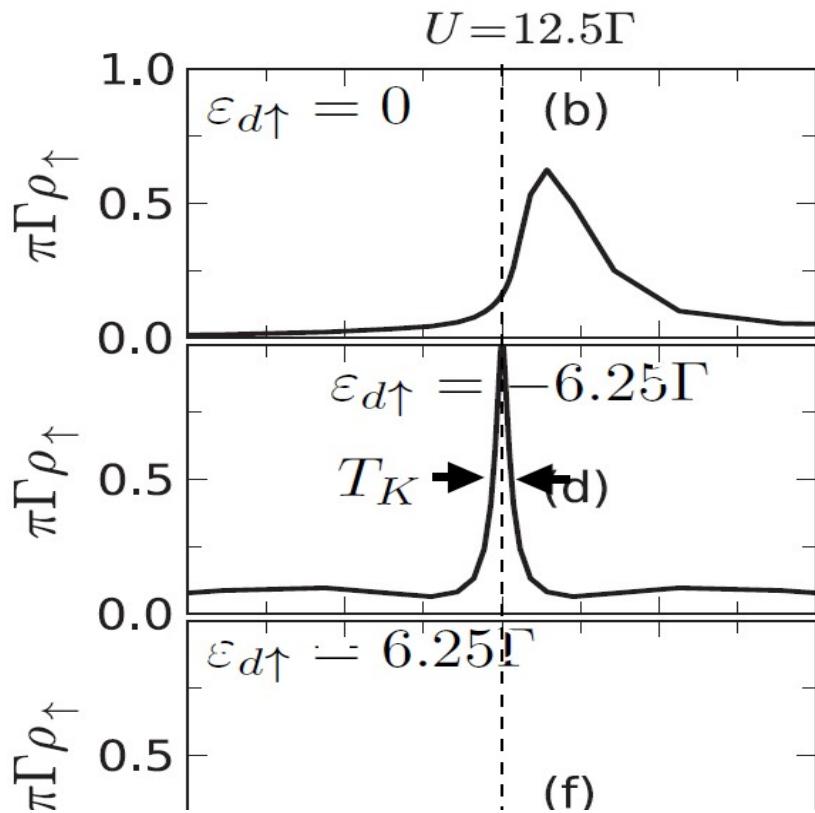
Majorana operators



NRG: spectral function and conductance

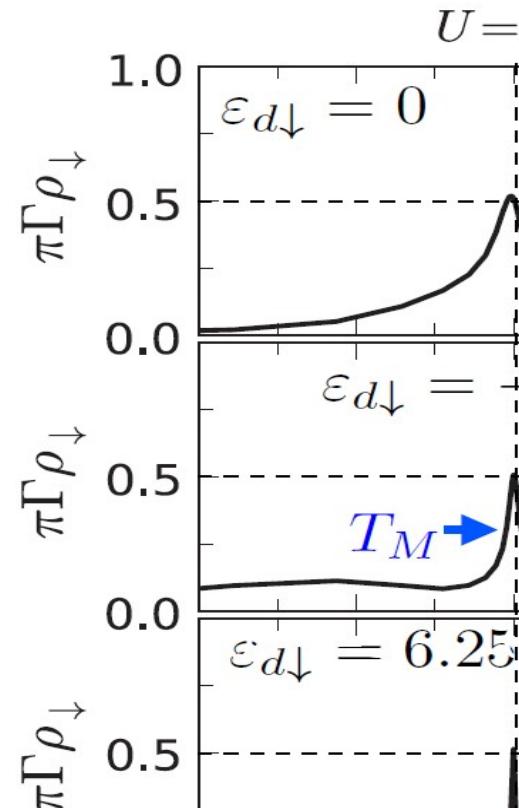
D. A. Ruiz-Tijerina et al. *Phys Rev B* **91** 115435 (2015).

Majorana-Kondo co-existence



D. A. Ruiz-Tijerina et al. *Phys Rev B* **91** 115435 (2015).

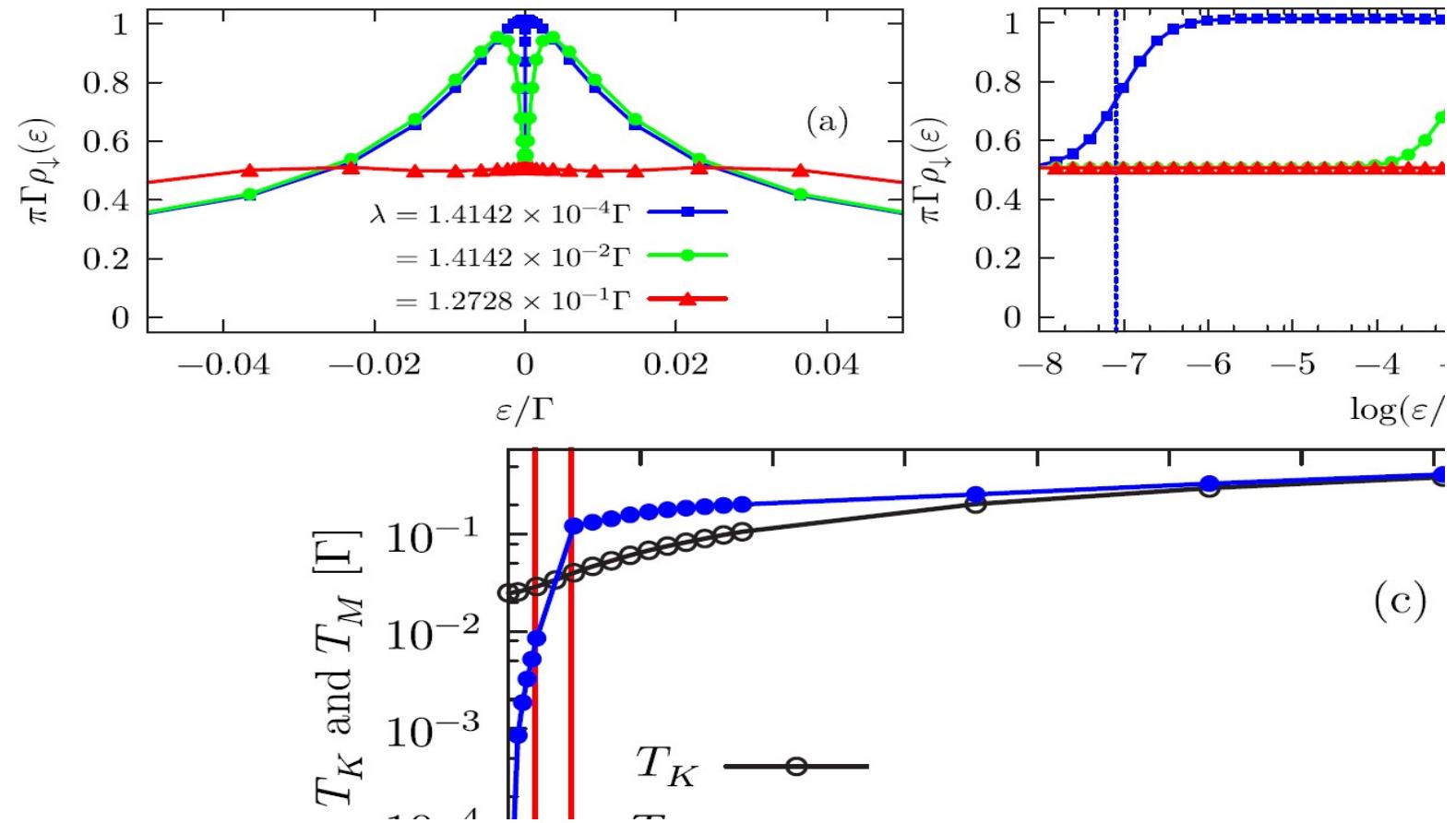
Consistent with:



M. Lee, et al., *Phys. Rev. B* **87**, 241402 (2013).

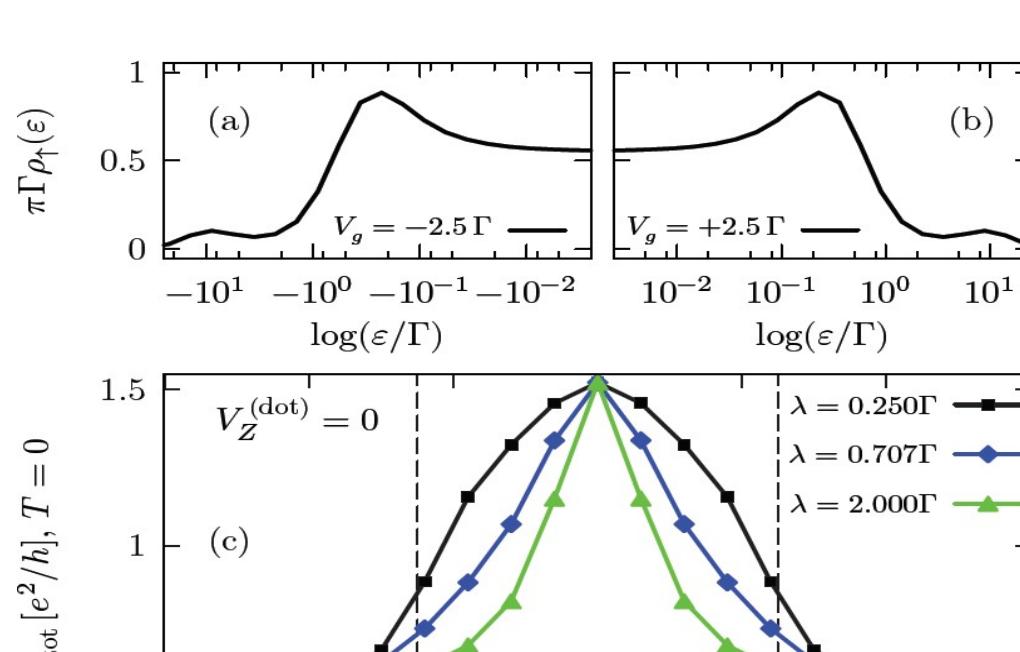
Cheng et al., *Phys. Rev. X* **4**, 031051 (2014).

Majorana-Kondo co-existence

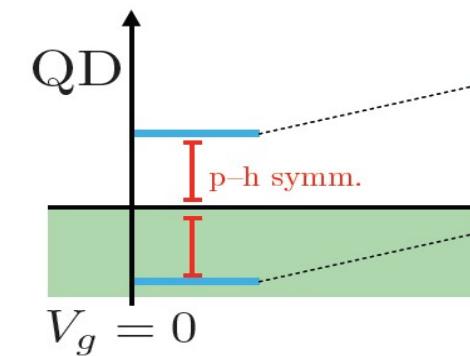


Distinguishing Majorana and Kondo signals

QD conductance vs. gate voltage (V_g)



D. A. Ruiz-Tijerina et al. *Phys Rev B* **91** 115435 (2015).



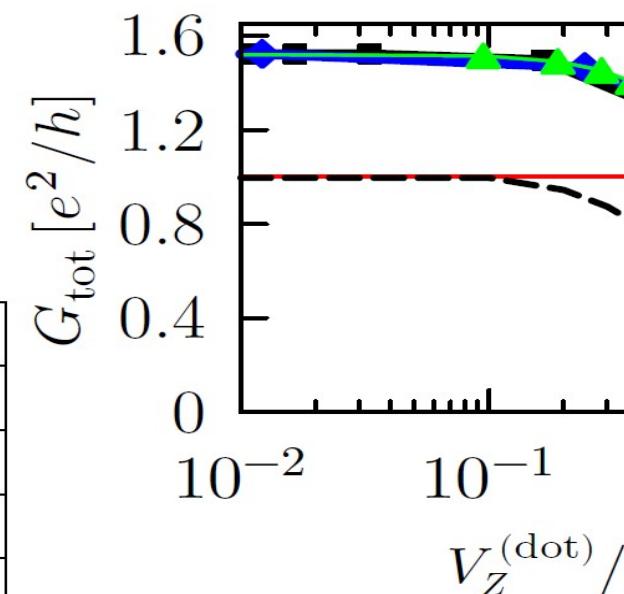
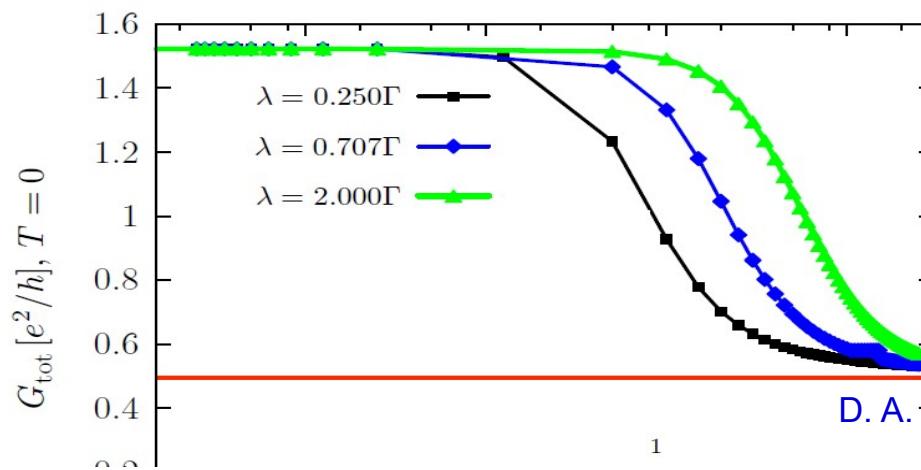
- The Kondo effect
- Consistent With: Majorana contrib

M. Lee, et al., *Phys. Rev. B* **87**, 241402 (2013).

Distinguishing Majorana and Kondo signals

QD Conductance vs. magnetic field $V_Z^{(do)}$

- The **Kondo** contribution killed by a magnetic field.
- The **Majorana** contribution is robust. T_M unchanged.



• Universality
D. A. Ruiz-Tijerina et al. *Phys Rev B* **91** 115435 (2015).

Manipulating MBS with quantum dots.

Group members



Luis Gregório Dias da Silva
Professor



Marcos Medeiros
Ph.D. student



Raphael Levy
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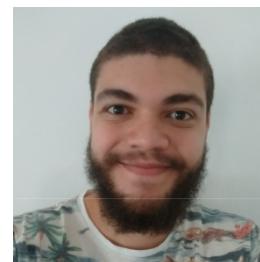
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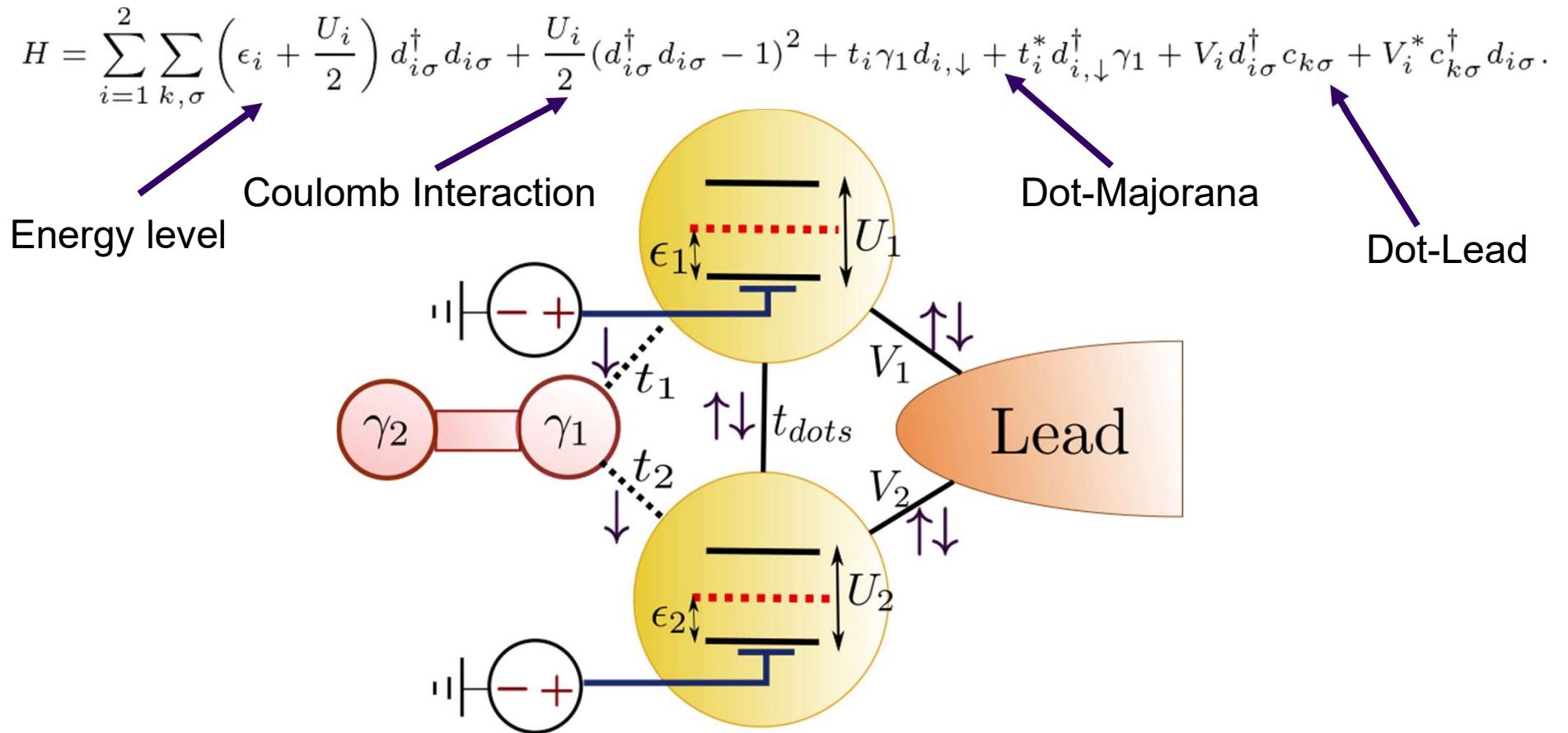


João Victor Ferreira Alves
Master's

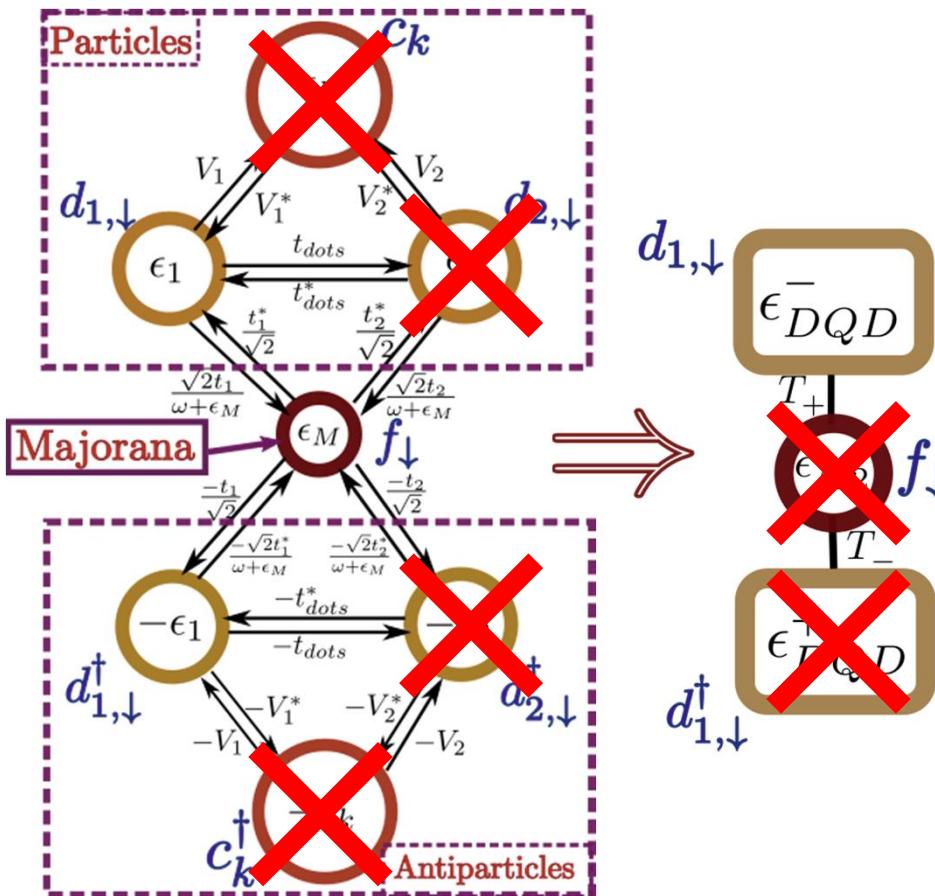


Lucas Baldo
Master's

Manipulation of MZMs in Double Quantum Dots

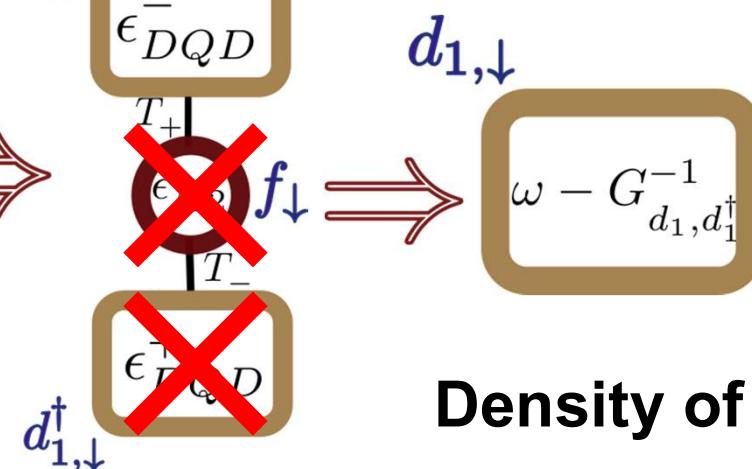


Non-interacting case: spectral densities



Green's Function

$$G_{d_{1\downarrow}, d_{1\downarrow}^\dagger}(\omega) = \frac{1}{\omega - \epsilon_{DQD}^+ + \frac{\|T_+\|^2}{\omega - \epsilon_{M2} - \frac{\|T_-\|^2}{\epsilon_{DQD}^-}}}$$

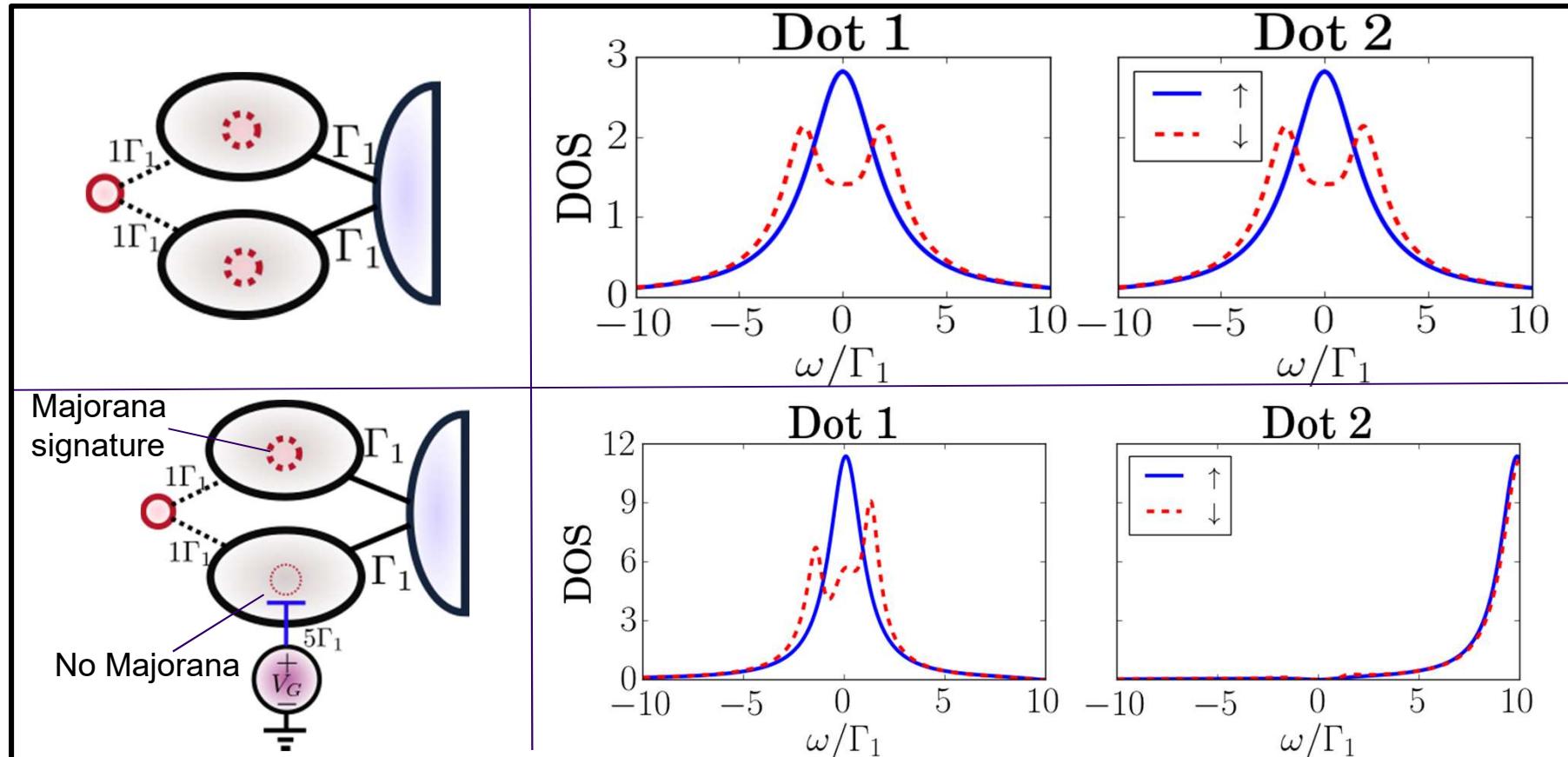


Density of States (DOS)

$$\rho_1(\omega) = -\frac{1}{\pi} \text{Im} \left[G_{d_{1\downarrow}, d_{1\downarrow}^\dagger}(\omega) \right].$$

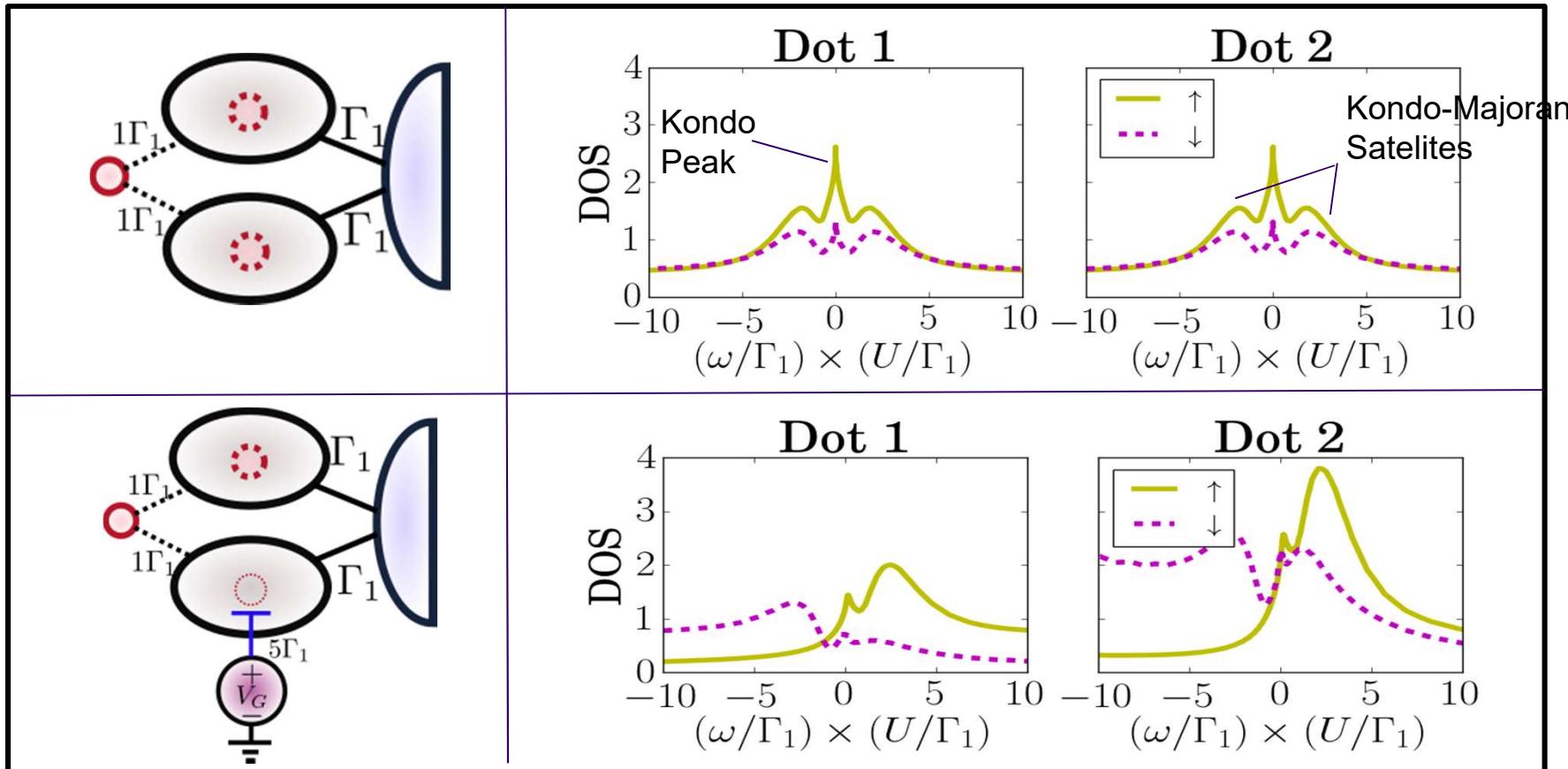
Symmetric coupling

Non-Interacting $U=0$



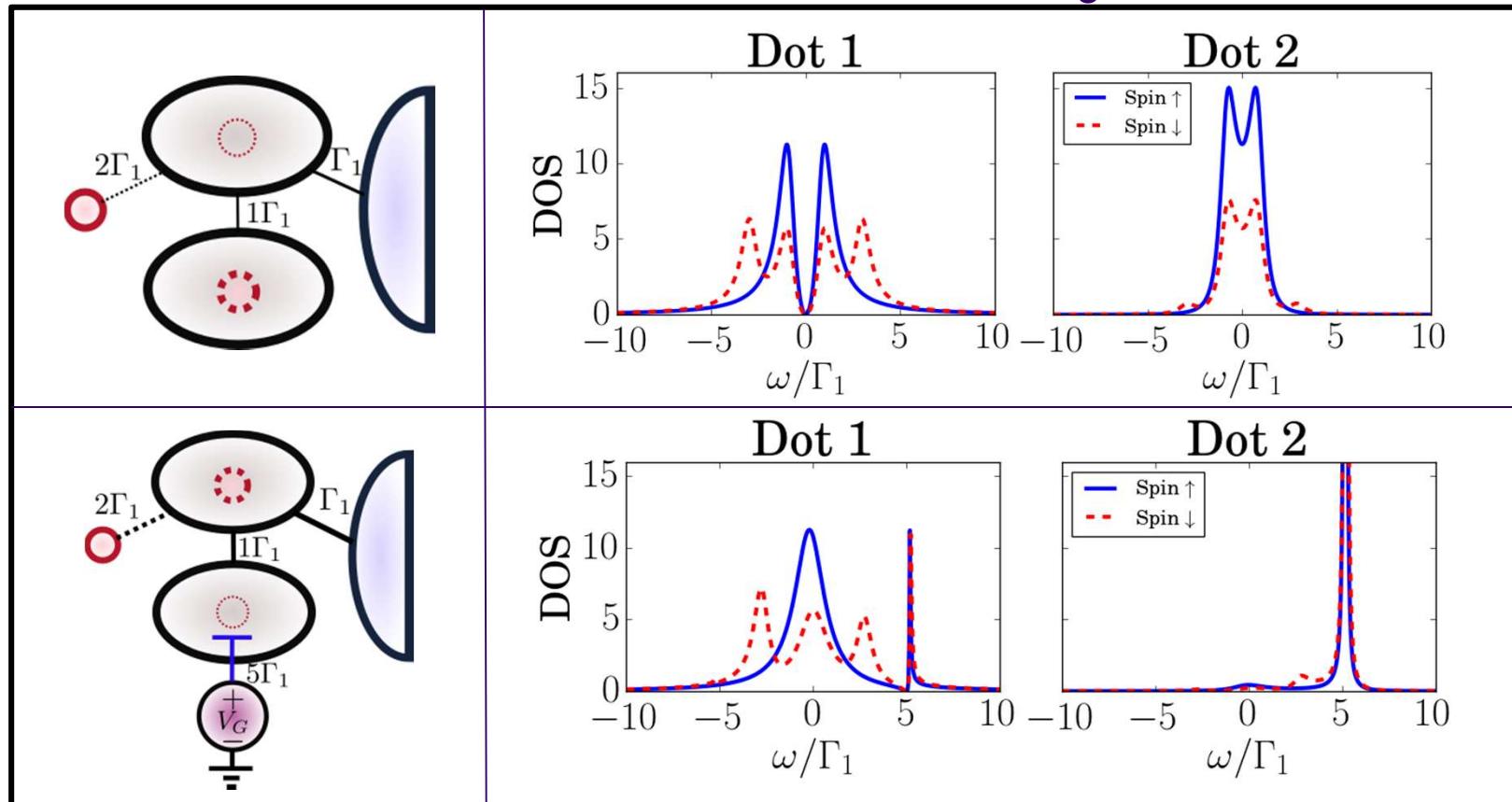
Symmetric coupling

Interacting U>0 (NRG)



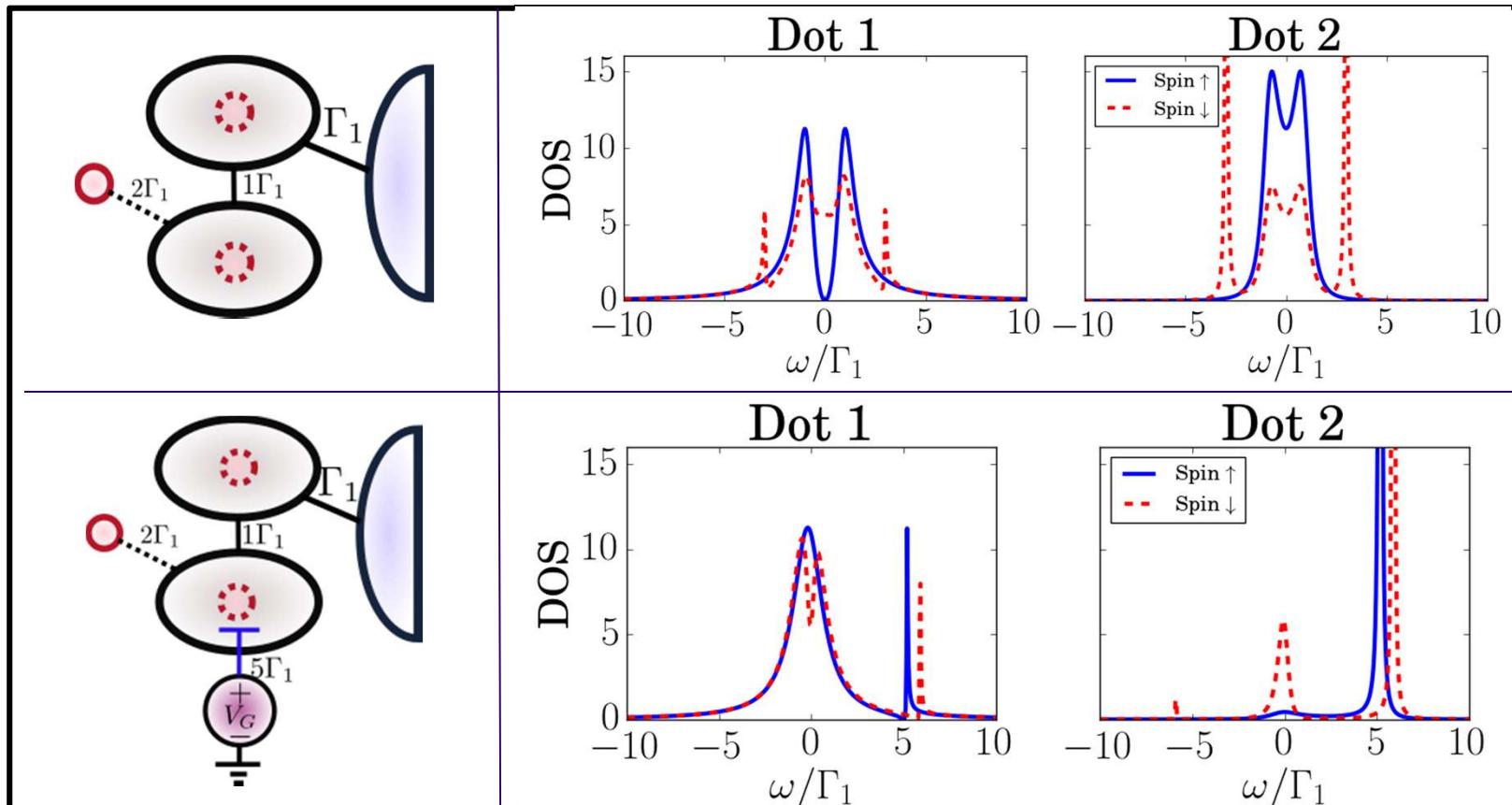
Interference destroying Majorana signature

Non-Interacting

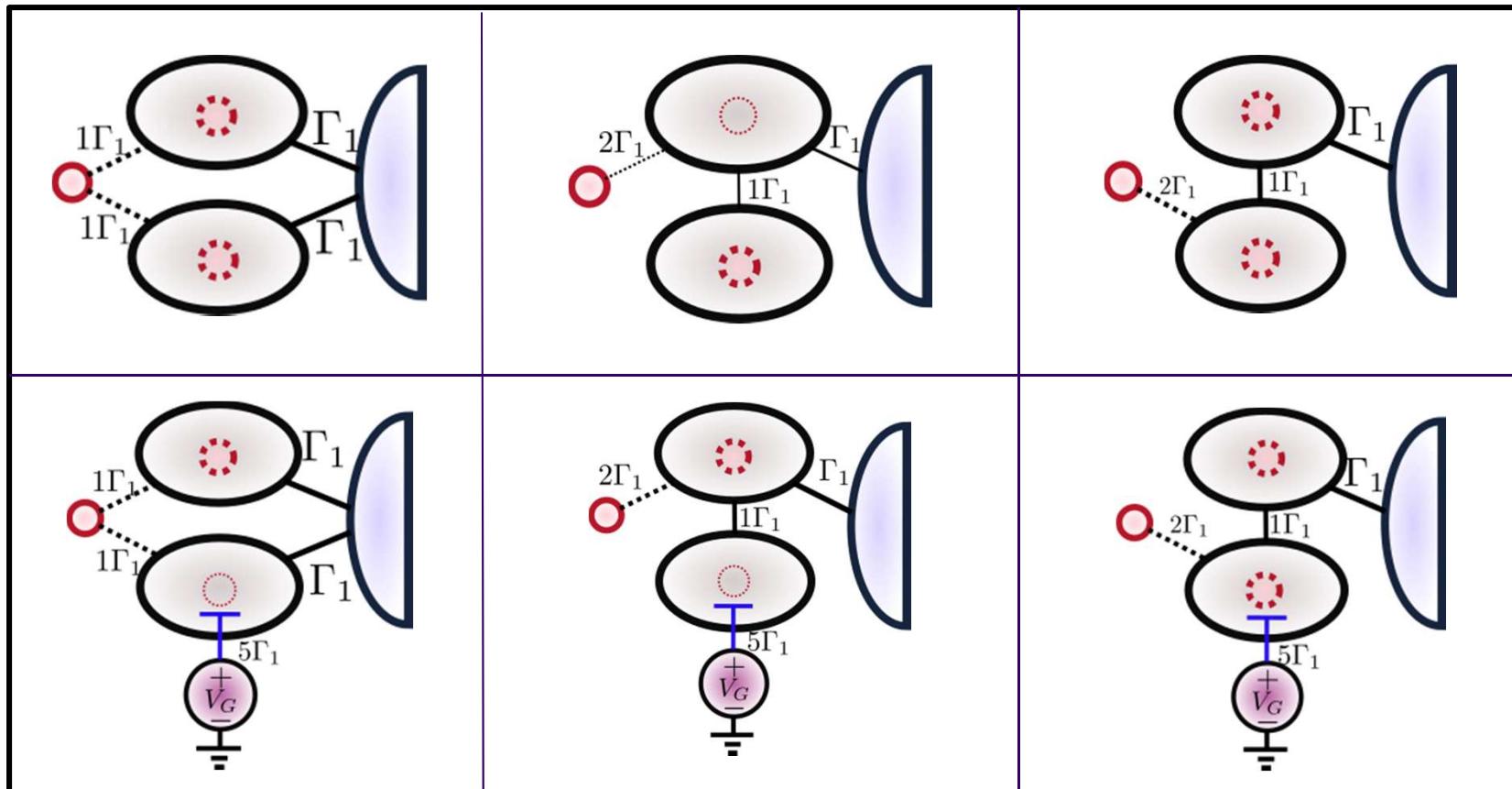


Indirect Majorana Coupling

Non-Interacting



Manipulating with couplings/gate voltages.



MBS in magnetic chains: Gap oscillations

Group members



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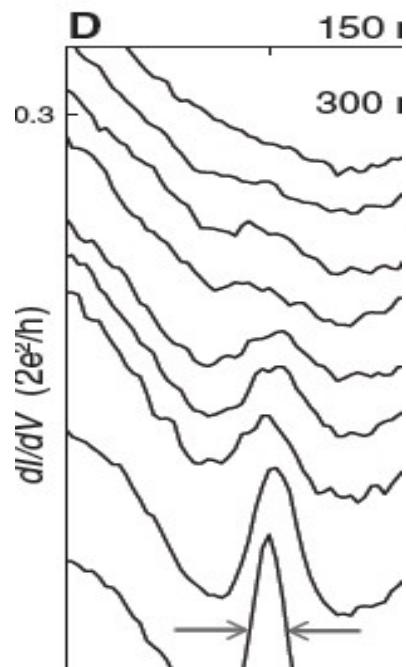


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Lucas Baldo
Master's

Alternative explanations for the zero-bias peak.



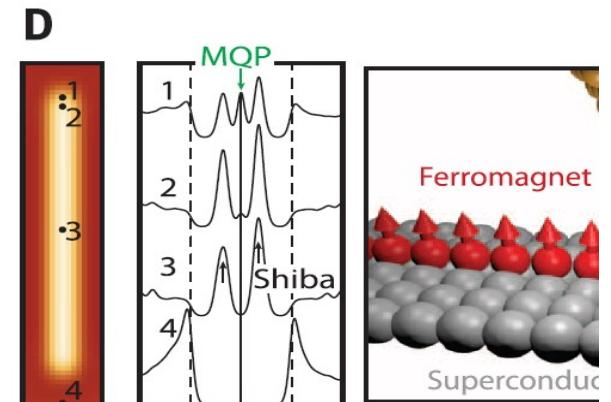
Skepticism:

- Tunneling spectroscopy probes the BULK too
- Possible origins of the zero-bias peak:
 - ▶ Localization due to disorder
 - ▶ Andreev reflection
 - ▶ Kondo effect

V. Mourik et al. Science 336 1003 (2012)

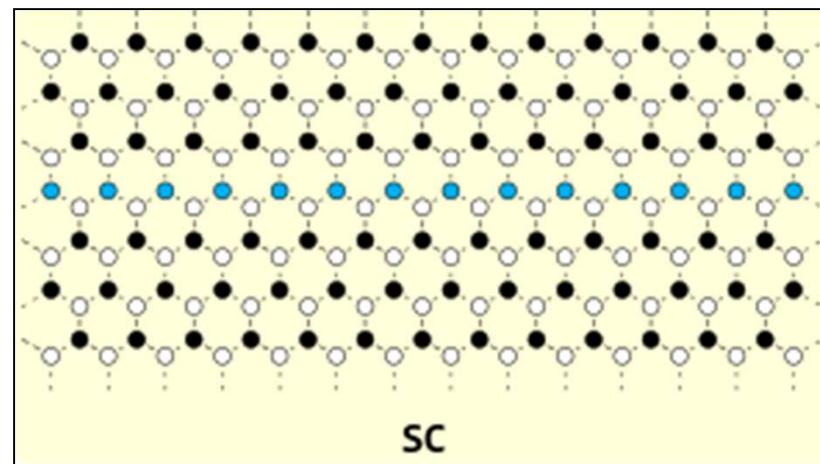
Solution*:

Local probing of the wire ϵ

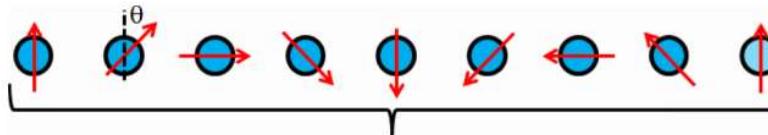


MBSs in magnetic chains on topological insulators

Honeycomb lattices:
Silicene, Stanene...
Kane-Mele-type TIs



Magnetic chain: spiral angle θ

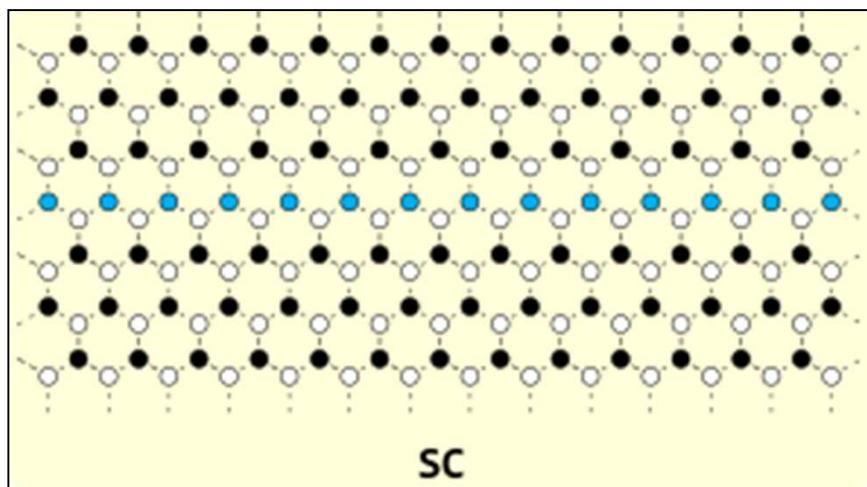


R. Teixeira et al. Phys Rev B 99 035127 (2019).

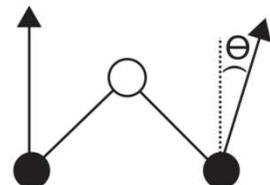
k turns: $N=k(2\pi/\theta)$

Majorana gap oscillations - model

Honeycomb lattices: Silicene, Stanene...



Magnetic chain: spiral angle θ



Hamiltonian:

$$\mathcal{H} = \mathcal{H}_{\text{KM}} + \mathcal{H}_{\text{SC}} + \mathcal{H}_{\text{imp}}$$

Kane-Mele model:

$$\begin{aligned} \mathcal{H}_{\text{KM}} = & t \sum_{\langle i,j \rangle} c_{i,\sigma}^\dagger c_{j,\sigma} + i \frac{\lambda_{SO}}{3\sqrt{3}} \sum_{\langle\langle i,j \rangle\rangle} \nu_{ij} c_{i,\sigma}^\dagger (s_z)_{\sigma\sigma'} c_{j,\sigma'} \\ & - \mu \sum_i c_{i,\sigma}^\dagger c_{i,\sigma} \end{aligned}$$

Induced SC:

$$\mathcal{H}_{\text{SC}} = -U_{\text{sc}} \sum_i c_{i,\uparrow}^\dagger c_{i,\uparrow} c_{i,\downarrow}^\dagger c_{i,\downarrow}$$

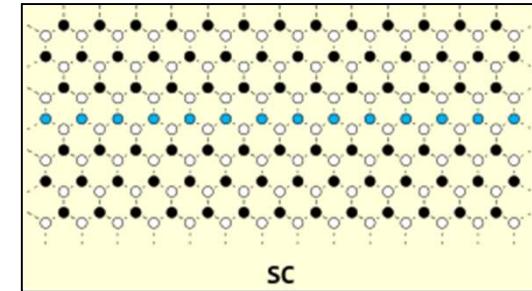
Magnetic Impurities (xy-plane):

$$\mathcal{H}_{\text{imp}} = \sum_{i \in \mathcal{I}} V_z c_{i,\sigma}^\dagger (\hat{n}_i \cdot \vec{s})_{\sigma\sigma'} c_{i,\sigma'}$$

Majorana gap oscillation - model

Self-consistency SC

$$\mathcal{H}_{\text{SC}} = \sum_i \Delta c_{i,\uparrow} c_{i,\downarrow} + \text{H.c.} \rightarrow \Delta = -U \langle c_{i,\uparrow} c_{i,\downarrow} \rangle$$



Initial guess Δ^0

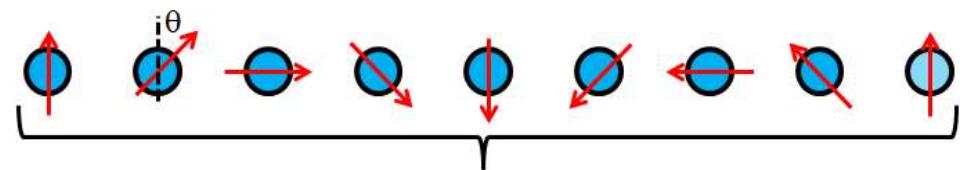
Eigenvectors of \mathcal{H}

New Δ^i

$$|\Delta^i - \Delta^{i-1}| < e$$

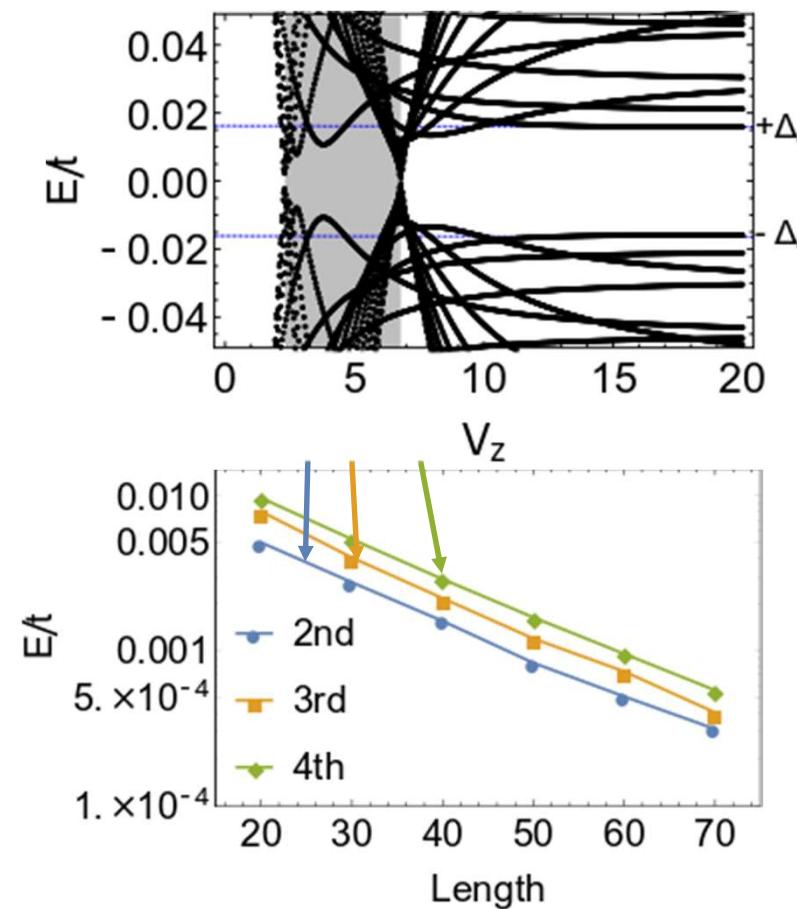
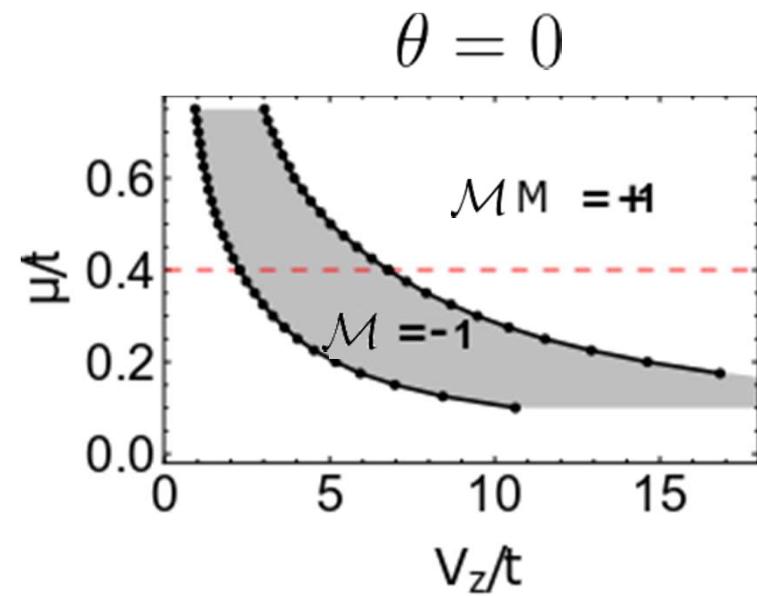
Majorana Number \mathcal{M}

$$\mathcal{M}(\mathcal{H}) = \frac{\text{Sgn } [\text{Pf } (\mathcal{H}(N_1 + N_2))]}{\text{Sgn } [\text{Pf } (\mathcal{H}(N_1))] \text{Sgn } [\text{Pf } (\mathcal{H}(N_2))]}$$



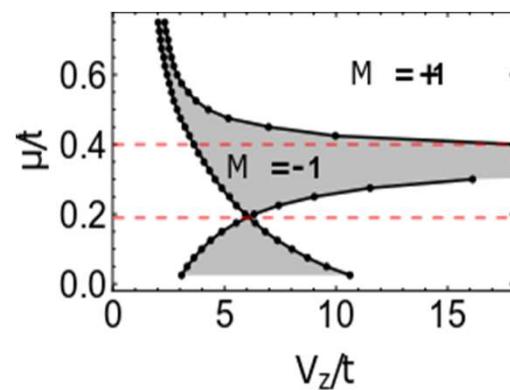
$$k \text{ turns: } N = k(2\pi/\theta)$$

Majorana gap oscillation – Exponential Protection

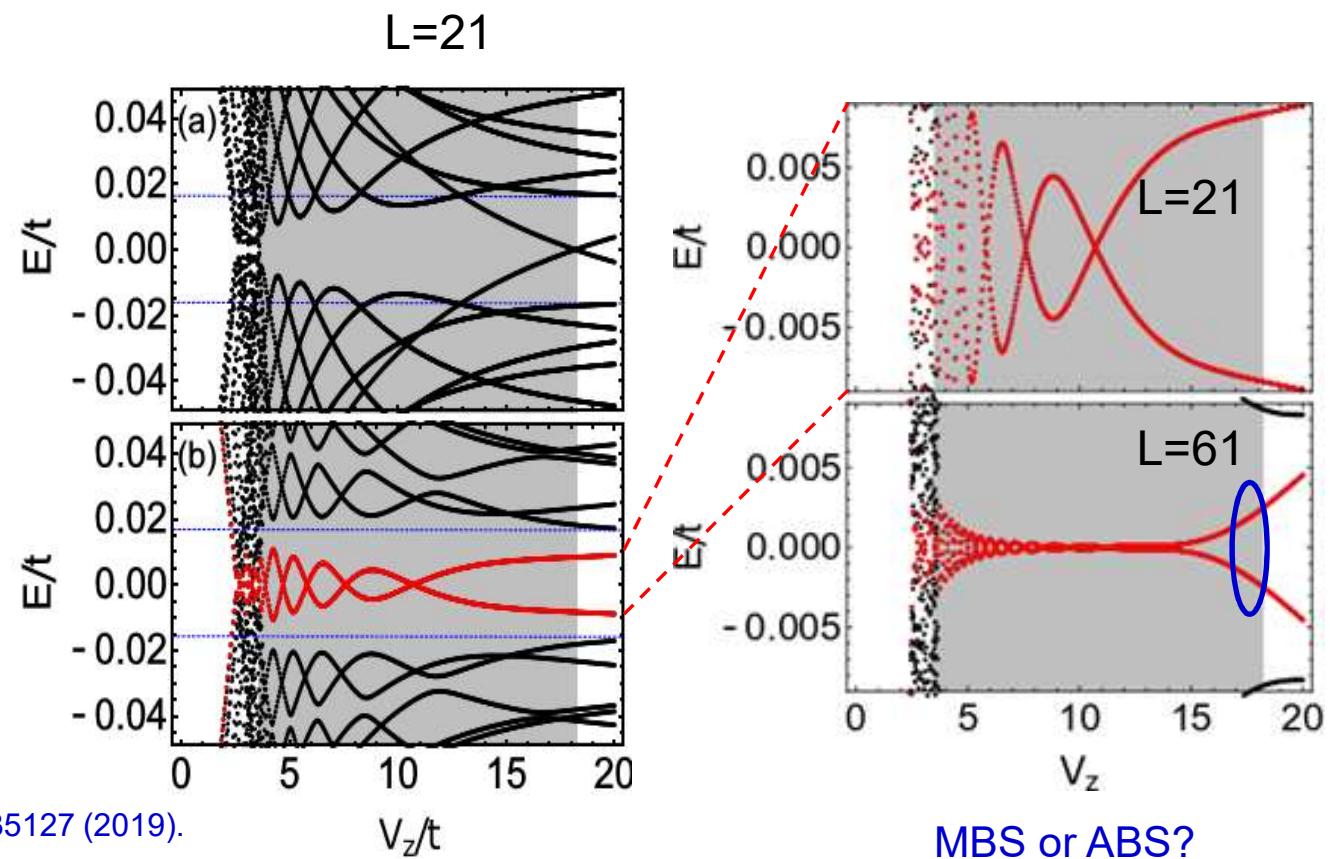


R. Teixeira et al. Phys Rev B 99 035127 (2019).

MBSs in magnetic chains on topological insulators



$$\theta = \pi/2$$

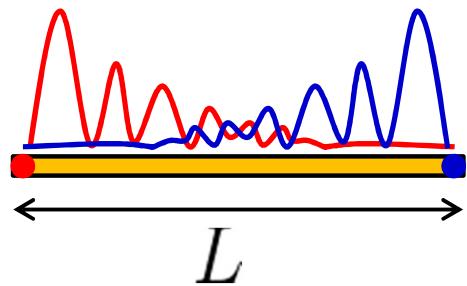


R. Teixeira et al. Phys Rev B 99 035127 (2019).

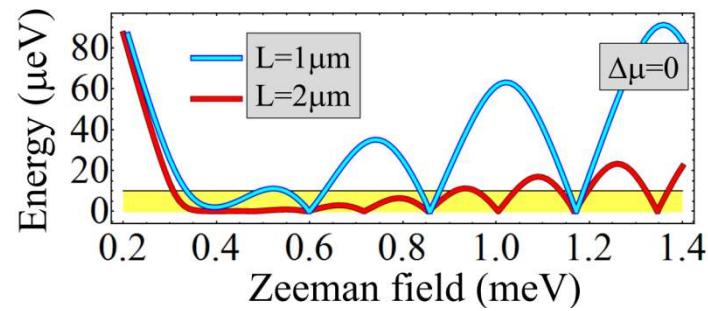
MBS or ABS?

Majorana splitting: energy oscillations (nanowire)

Finite size effect: Smoking gun?

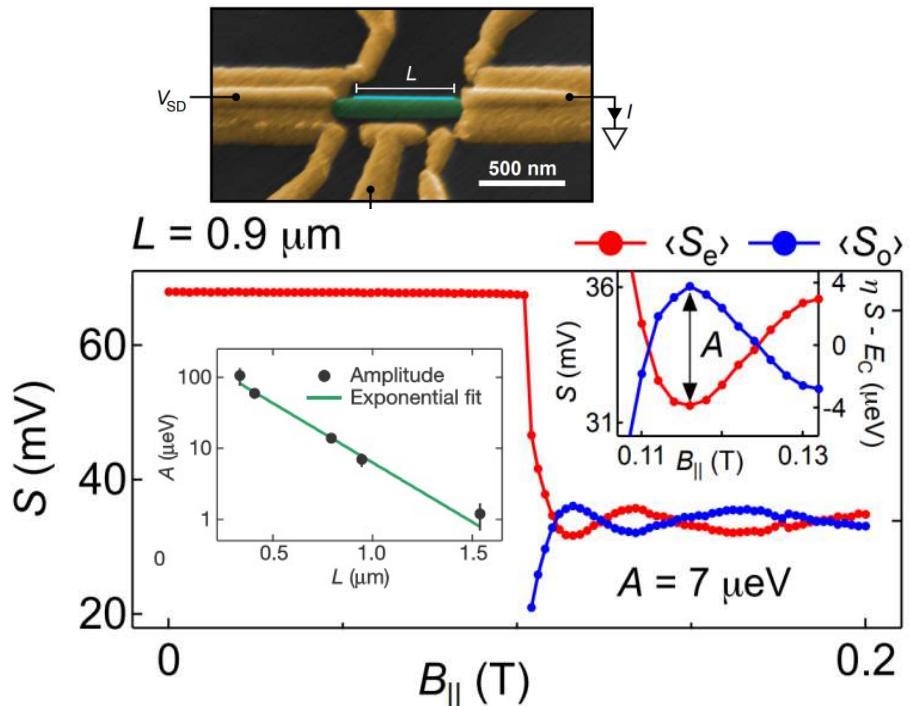


$$\Delta\epsilon(V_z) \sim k_F e^{-2L/\xi} \cos(k_F L)$$



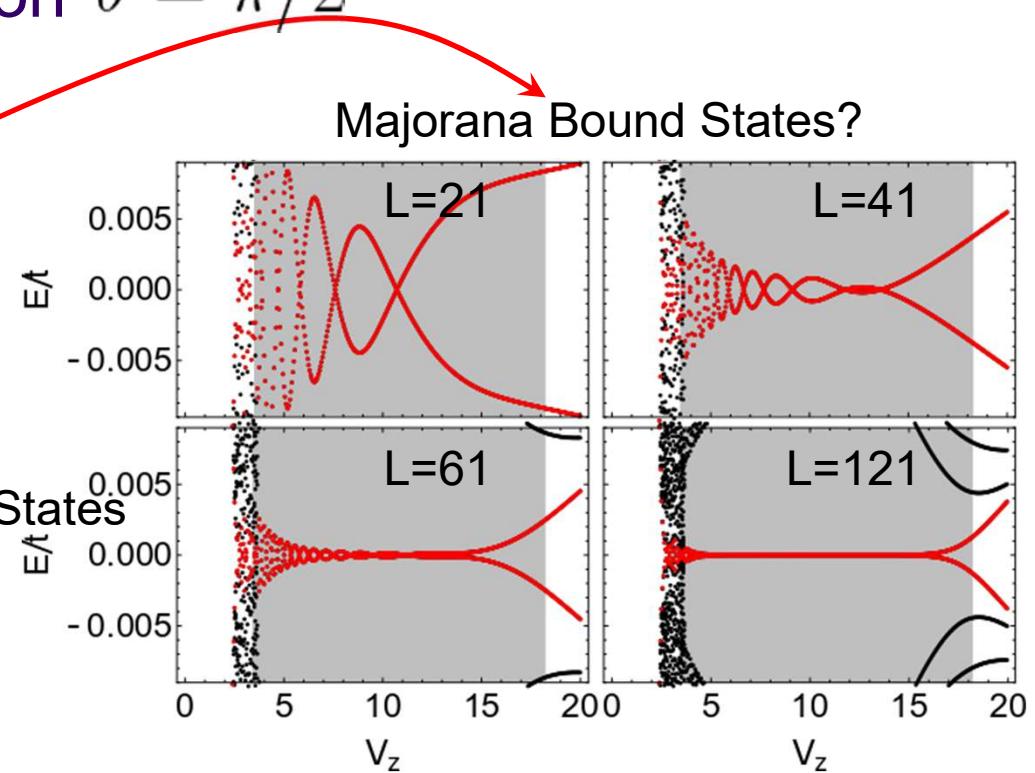
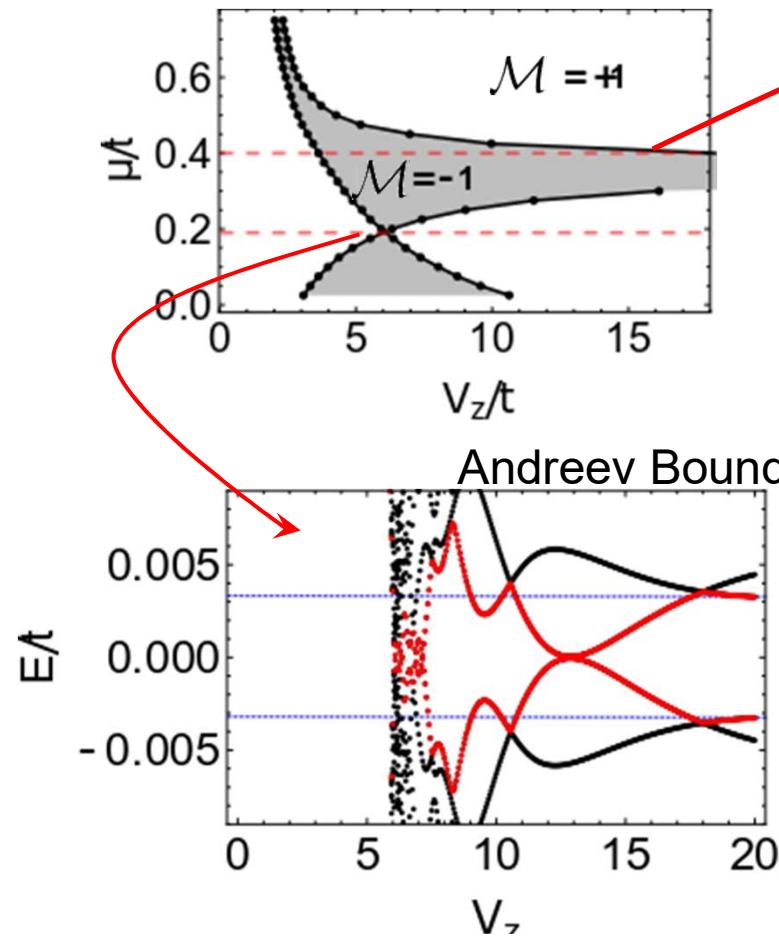
Das Sarma et al, *Phys, Rev. B.* **86** 220506 (2012)

Experiments – different behavior



Albrecht et al, *Nature*. **531** 206-209 (2016)

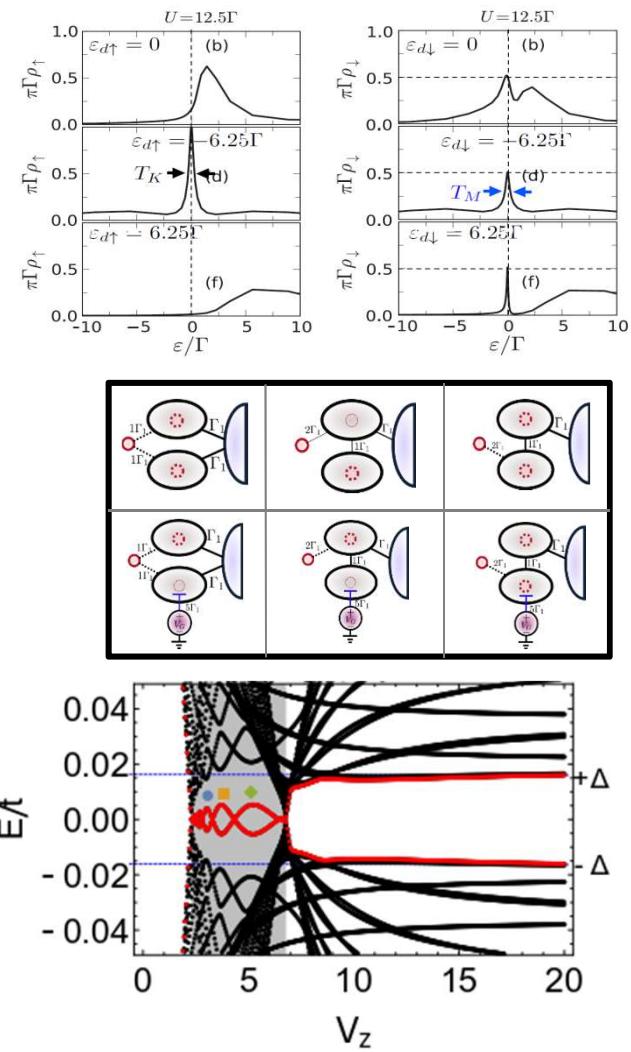
Majorana gap oscillation $\theta = \pi/2$



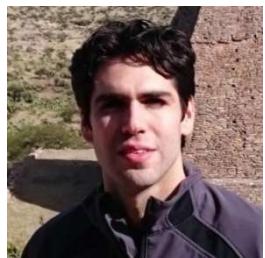
R. Teixeira et al. Phys Rev B 99 035127 (2019).

Summary

- *Coexistence of MZM and Kondo states in interacting quantum dots*
- Detecting MZMs using quantum dots: signature in the spin-resolved density of states \rightarrow large ($e^2/2$) reduction in the conductance.
- Manipulating MZMs using double quantum dots using only gate voltages and couplings.
- Splitting gap oscillations are non-universal.
- Rich topological phase diagrams in magnetic chains on hexagonal lattices: perspectives for MZMs in other systems.



Collaborators in these works



David Ruiz-Tijerina



Carlos Egues



Edson Vernek



Annica Black-Schaffer

Support: FAPESP (2016/18495-4); CNPq (308351/2017-7 and 449148/2014-9); CAPES, FAPEMIG, USP-PRP Q-Nano.

