

# Adiabatic phase.

Consider the Hamiltonian:

$$H(\mathbf{k})|n, \mathbf{k}\rangle = E_n(\mathbf{k})|n, \mathbf{k}\rangle$$

The time evolution is given by:

$$H|n, t\rangle = i\hbar \frac{d}{dt}|n, t\rangle$$

Now, suppose that  $\mathbf{k}$  changes slowly with time :

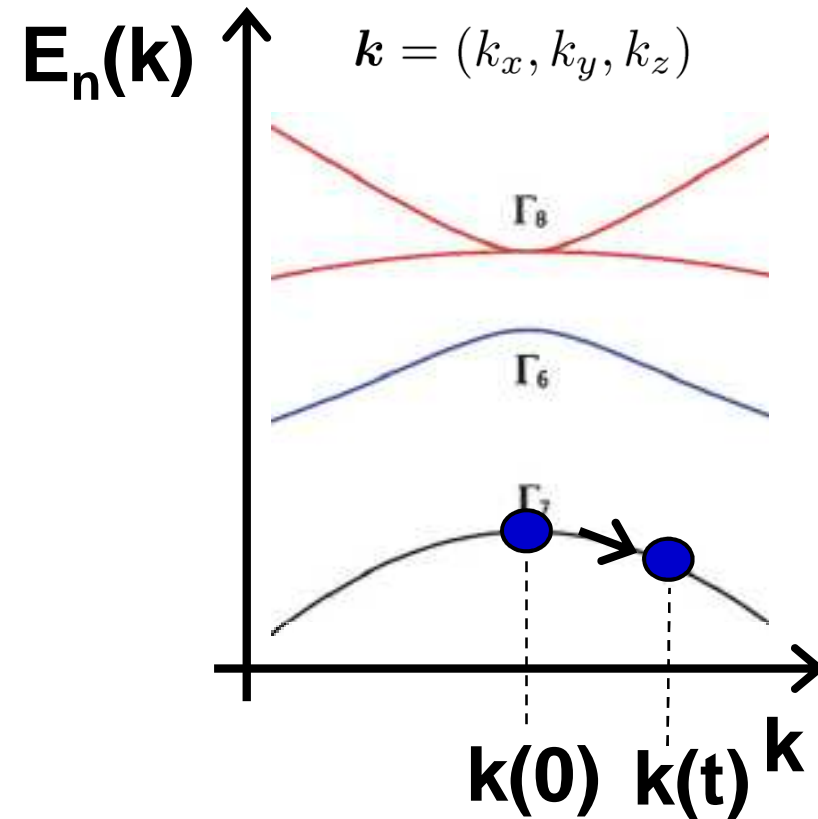
$$|n, \mathbf{k}(0)\rangle \rightarrow |n, \mathbf{k}(t)\rangle$$

Notice that  $|n, t\rangle$  IS NOT EQUAL to  $|n, \mathbf{k}(t)\rangle$ !

In fact, they are related by a PHASE FACTOR:

$$\boxed{|n, \mathbf{k}(t)\rangle = e^{+i\theta(t)} |n, t\rangle}$$

$$\left( |n, t\rangle = e^{-i\theta(t)} |n, \mathbf{k}(t)\rangle \right)$$



# Adiabatic phase.

We have:

$$1) \quad i\hbar \frac{d|n, t\rangle}{dt} = i\hbar e^{-i\theta(t)} \left[ \frac{d|n, \mathbf{k}(t)\rangle}{dt} - i \frac{d\theta(t)}{dt} |n, \mathbf{k}(t)\rangle \right]$$

$$2) \quad \frac{d}{dt} |n, \mathbf{k}(t)\rangle = \frac{d\mathbf{k}(t)}{dt} \cdot \nabla_{\mathbf{k}} |n, \mathbf{k}(t)\rangle$$

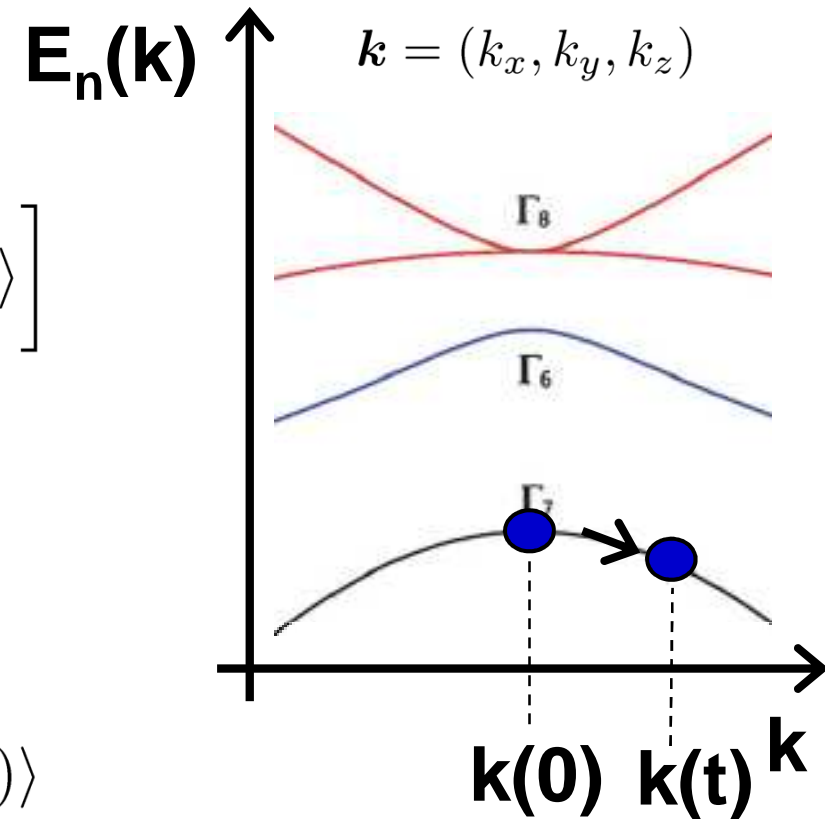
Using the time-dependent Schrodinger's equation:

$$\hbar \frac{d\theta(t)}{dt} = E_n(\mathbf{k}(t)) - i\hbar \frac{d\mathbf{k}(t)}{dt} \cdot \langle n, \mathbf{k}(t) | \nabla_{\mathbf{k}} |n, \mathbf{k}(t)\rangle$$

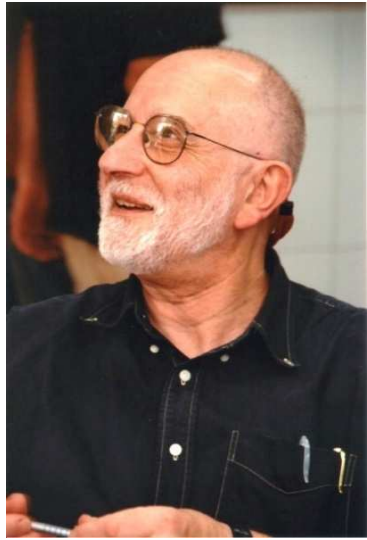
Finally:

$$|n, t\rangle = \exp \left( \frac{i}{\hbar} \int_0^t L_n[\mathbf{k}(t')] dt' \right) |n, \mathbf{k}(t)\rangle$$

$$L_n[\mathbf{k}(t)] = i\hbar \frac{d\mathbf{k}(t)}{dt} \cdot \langle n, \mathbf{k}(t) | \nabla_{\mathbf{k}} |n, \mathbf{k}(t)\rangle - E_n[\mathbf{k}(t)]$$



# Berry phase.



Sir Michael Berry

We can write as

$$|n, t\rangle = e^{i\gamma_n} e^{\frac{-i}{\hbar} \int_0^t E_n[\mathbf{k}(t')] dt'} |n, \mathbf{k}(t)\rangle$$

The first term is a **GEOMETRICAL PHASE FACTOR**

$$\gamma_n \equiv i \int_{\mathcal{C}} \langle n, \mathbf{k} | \nabla_{\mathbf{k}} | n, \mathbf{k} \rangle \cdot d\mathbf{k}$$

We define the **“BERRY VECTOR POTENTIAL”**:  
or “Berry connection”:

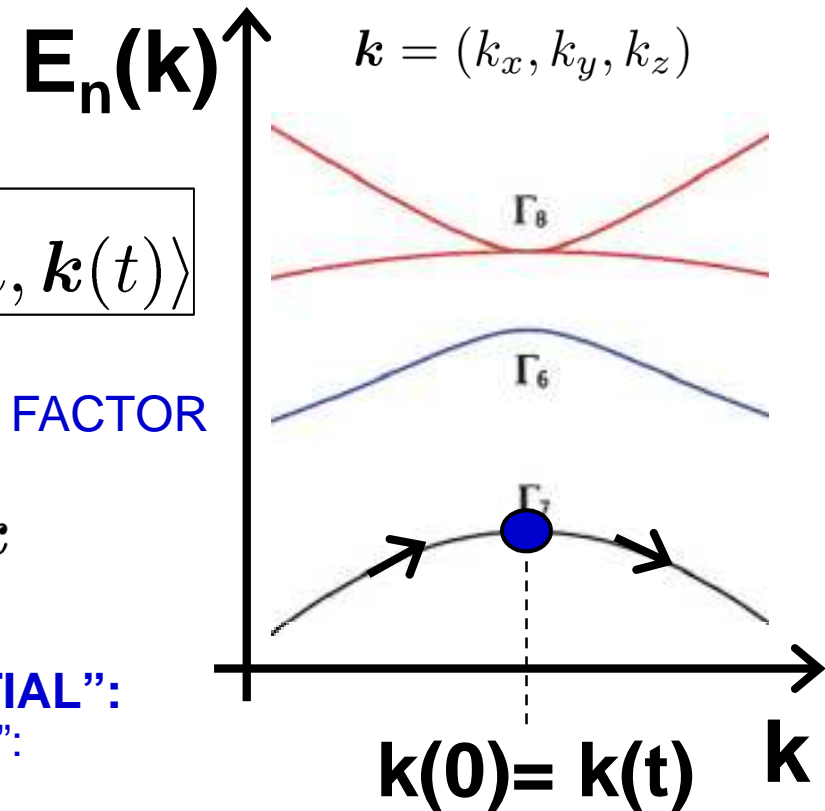
$$\mathbf{A}_n(\mathbf{k}) \equiv i \langle n, \mathbf{k} | \nabla_{\mathbf{k}} | n, \mathbf{k} \rangle$$

Such that, if  $\mathbf{k}(t)=\mathbf{k}(0)$  (closed path):

$$\gamma_n = \oint_{\mathcal{C}} \mathbf{A}_n(\mathbf{k}) \cdot d\mathbf{k}$$

**BERRY PHASE**

**“BERRY CURVATURE”**:  $\boldsymbol{\Omega}_n(\mathbf{k}) \equiv \nabla_{\mathbf{k}} \times \mathbf{A}_n(\mathbf{k}) \Rightarrow \gamma_n = \iint_{\mathcal{S}} \boldsymbol{\Omega}_n(\mathbf{k}) \cdot d\mathbf{S}$



# How to calculate $\Omega$ in a gauge-invariant way?

1) Vector identity ( $m \neq n$ ):

$$\Omega_n(\mathbf{k}) = i \nabla_{\mathbf{k}} \times \langle n, \mathbf{k} | \nabla_{\mathbf{k}} | n, \mathbf{k} \rangle = i (\langle n, \mathbf{k} | \nabla_{\mathbf{k}}) \times (\nabla_{\mathbf{k}} | n, \mathbf{k} \rangle)$$

2) Identity ( $m \neq n$ ):

$$\langle m, \mathbf{k} | \nabla_{\mathbf{k}} | n, \mathbf{k} \rangle = \frac{\langle m, \mathbf{k} | (\nabla_{\mathbf{k}} H) | n, \mathbf{k} \rangle}{E_n - E_m}$$

$$\Omega_n(\mathbf{k}) = -i \sum_{m \neq n} \frac{\langle n, \mathbf{k} | (\nabla_{\mathbf{k}} H) | m, \mathbf{k} \rangle \times \langle m, \mathbf{k} | (\nabla_{\mathbf{k}} H) | n, \mathbf{k} \rangle}{(E_n - E_m)^2}$$

It is much easier to apply the  $\nabla_{\mathbf{k}}$  operator in  $H$  rather than in the state!

# Example: two-band system (Dirac-Weyl).

Consider the Hamiltonian of the form:

$$H(\mathbf{k}) = \mathbf{k} \cdot \boldsymbol{\sigma} \quad H(k_x, k_y, k_z) = \begin{pmatrix} k_z & k_x - ik_y \\ k_x + ik_y & -k_z \end{pmatrix}$$

Eigenvalues (**Tarefa 19**):  $E_{\pm}(\mathbf{k}) = \pm |\mathbf{k}|$

$$k_z = |\mathbf{k}| \cos \theta$$
$$k_x + ik_y = |\mathbf{k}| \sin \theta e^{+i\phi}$$

Eigenvectors (up to a phase) (**Tarefa 19**):

$$|+\rangle = \begin{pmatrix} \cos\left(\frac{\theta}{2}\right) e^{-i\phi/2} \\ \sin\left(\frac{\theta}{2}\right) e^{+i\phi/2} \end{pmatrix} \quad |-\rangle = \begin{pmatrix} \sin\left(\frac{\theta}{2}\right) e^{-i\phi/2} \\ -\cos\left(\frac{\theta}{2}\right) e^{+i\phi/2} \end{pmatrix}$$

# Example: two-band system (Dirac-Weyl).

Gradient of the Hamiltonian:  $H(\mathbf{k}) = \mathbf{k} \cdot \boldsymbol{\sigma} \Rightarrow \nabla_{\mathbf{k}} H = \boldsymbol{\sigma}$

$$\text{Berry curvatures: } \left\{ \begin{array}{l} \Omega_+(\mathbf{k}) = -i \frac{\langle + | \boldsymbol{\sigma} | - \rangle \times \langle - | \boldsymbol{\sigma} | + \rangle}{(E_+ - E_-)^2} \\ \Omega_-(\mathbf{k}) = -i \frac{\langle - | \boldsymbol{\sigma} | + \rangle \times \langle + | \boldsymbol{\sigma} | - \rangle}{(E_- - E_+)^2} \end{array} \right.$$

After a looong calculation (Lista 5):  $\Omega_{\pm}(\mathbf{k}) = \pm \frac{\hat{\mathbf{k}}}{2|\mathbf{k}|^2}$  (NOT gauge-dependent!) (Lista 5)

A “Berry curvature monopole” irradiating at the origin ( $\mathbf{k}=0$ ):

$$\text{Chern number: } \oint_S \Omega_n(\mathbf{k}) \cdot d\mathbf{S} = 2\pi$$

# Example: two-band system (Dirac-Weyl).

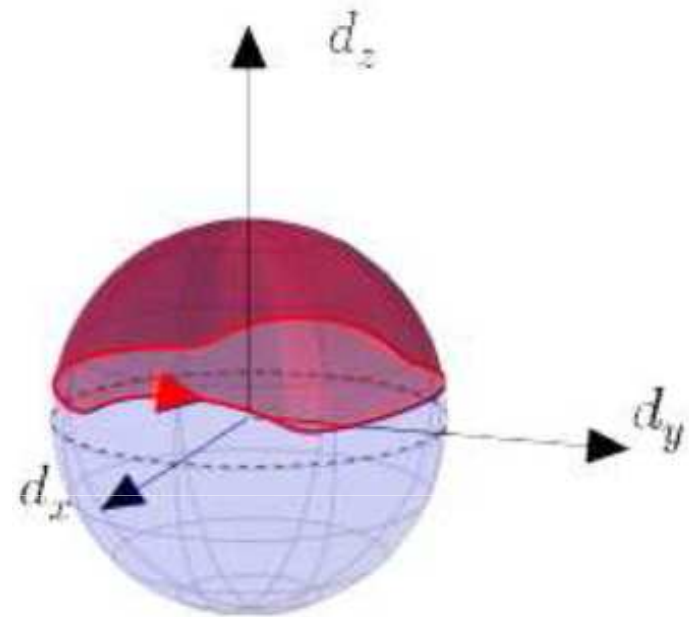
**Berry phase:** 
$$\gamma_n = \oint_C \mathbf{A}_n(\mathbf{k}) \cdot d\mathbf{k}$$

For a **closed path C**, the Berry phase is the Berry connection flux through the **open surface S**:

$$\gamma_n = \iint_S \boldsymbol{\Omega}_n(\mathbf{k}) \cdot d\mathbf{S}$$

Using :

$$\boldsymbol{\Omega}_{\pm}(\mathbf{k}) = \pm \frac{\hat{\mathbf{k}}}{2|\mathbf{k}|^2}$$



$$\gamma_{\pm} = \pm \frac{1}{2} \iint_S \frac{\hat{\mathbf{k}} \cdot \hat{\mathbf{n}}}{|\mathbf{k}|^2} dS = \mp \frac{1}{2} \iint_S \sin \theta d\theta d\phi$$

We find that the Berry phase is half of the solid angle enclosed by C:

$$\gamma_{\pm} = \mp \frac{1}{2} \Omega_C \quad 0 \leq \Omega_C \leq 4\pi$$