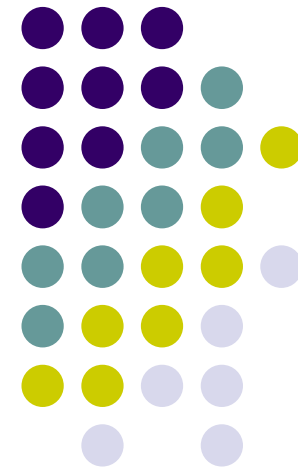
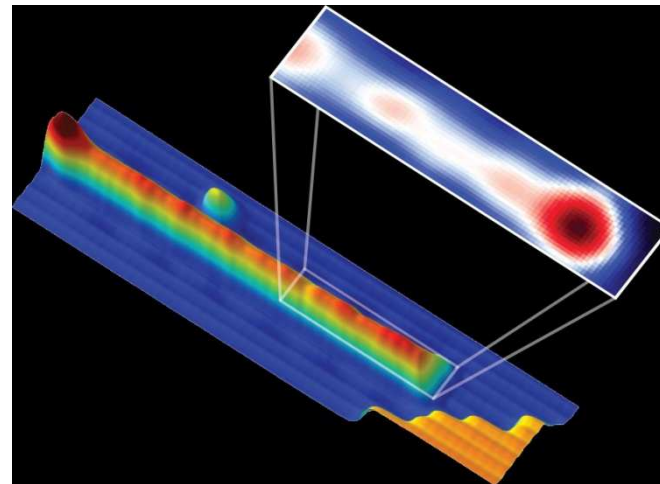
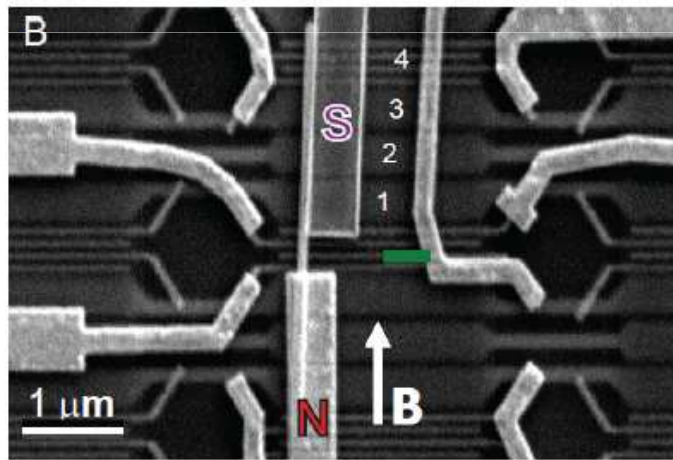


# Modelo de Kitaev

*Supercondutividade tipo “p-wave” em 1D estados ligados de Majorana*



# P-wave superconductor in 1D.

s-wave **spinful** (BCS-like)

$$H = \sum_{k,\sigma} (\epsilon_k - \mu) c_{k,\sigma}^\dagger c_{k,\sigma} + \left[ \Delta_0 c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger + \Delta_0^* c_{k\uparrow} c_{-k\downarrow} \right]$$

p-wave **spinless**

$$H = \sum_k (\epsilon_k - \mu) c_k^\dagger c_k + \left[ \Delta(k) c_k^\dagger c_{-k}^\dagger + \Delta^*(k) c_k c_{-k} \right]$$

“p-wave”

$$\Delta(k) = -\Delta(-k)$$

For small k:

$$\Delta(k) = \Delta k$$

# Bogoliubov-deGennes formalism

s-wave **spinful** (BCS-like)

$$H = \sum_{k,\sigma} \bar{\epsilon}_k c_{k,\sigma}^\dagger c_{k,\sigma} + \left[ \Delta_0 c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger + \Delta_0^* c_{k\uparrow} c_{-k\downarrow} \right]$$

$$\Psi_k = \left( c_{k\uparrow} \ c_{k\downarrow} \ c_{-k\uparrow}^\dagger \ c_{-k\downarrow}^\dagger \right)^T \quad \text{“Nambu spinor”} \quad (\bar{\epsilon}_k = \bar{\epsilon}_{-k})$$

$$H = \sum_k \Psi_k^\dagger H_{\text{BdG}} \Psi_k$$

$$H_{\text{BdG}} = \frac{1}{2} \begin{pmatrix} \bar{\epsilon}_k & 0 & 0 & \Delta_0 \\ 0 & \bar{\epsilon}_k & -\Delta_0 & 0 \\ 0 & -\Delta_0^* & -\bar{\epsilon}_{-k} & 0 \\ \Delta_0^* & 0 & 0 & -\bar{\epsilon}_{-k} \end{pmatrix}$$

Diagonalizing, we obtain the familiar result:

$$E_{\pm} = \sqrt{(\bar{\epsilon}_k)^2 + |\Delta_0|^2} \quad (\text{doubly degenerate})$$

Note: Diagonalizing is equivalent to a Bogoliubov transformation!

# Bogoliubov-deGennes formalism

p-wave **spinless**

$$H = \sum_k \bar{\epsilon}_k c_k^\dagger c_k + \left[ \Delta(k) c_k^\dagger c_{-k}^\dagger + \Delta^*(k) c_k c_{-k} \right]$$

$$\Psi_k = \begin{pmatrix} c_k & c_{-k}^\dagger \end{pmatrix}^T \quad \text{giving a 2x2 matrix}$$

$$(\bar{\epsilon}_k = \bar{\epsilon}_{-k})$$

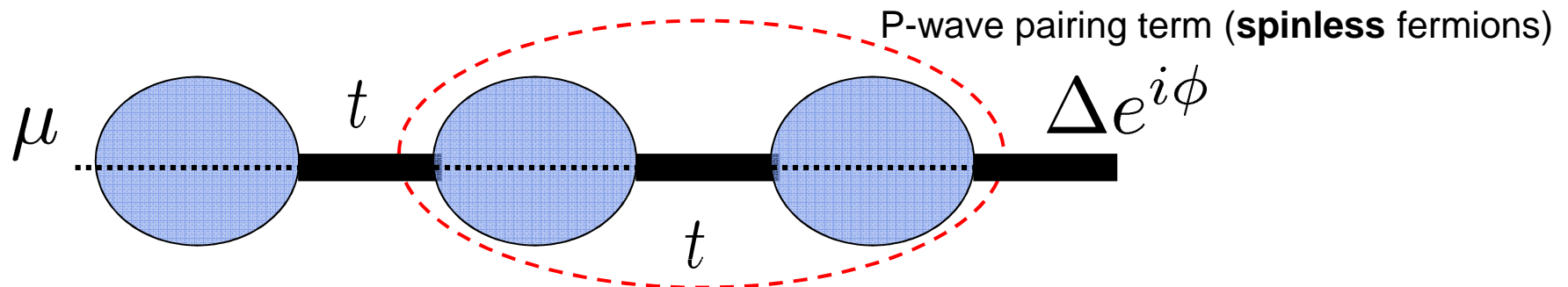
$$H = \sum_k \Psi_k^\dagger H_{\text{BdG}} \Psi_k$$

$$H_{\text{BdG}} = \frac{1}{2} \begin{pmatrix} \bar{\epsilon}_k & \Delta(k) \\ \Delta^*(k) & -\bar{\epsilon}_{-k} \end{pmatrix}$$

Diagonalizing, we obtain:  $E_\pm = \pm \sqrt{(\bar{\epsilon}_k)^2 + |\Delta(k)|^2}$

# 1D p-wave superconductor (Kitaev model)

$$H = -\mu \sum_x c_x^\dagger c_x - \frac{1}{2} \sum_x (t c_x^\dagger c_{x+1} + \Delta e^{i\phi} c_x c_{x+1} + h.c.)$$



Using:  $c_x = (\sqrt{N})^{-1} \sum_k e^{ikx} c_k$  we write the Hamiltonian as:

$$H = \sum_k \bar{\epsilon}_k c_k^\dagger c_k + \left[ \Delta(k) c_k^\dagger c_{-k}^\dagger + \Delta^*(k) c_k c_{-k} \right]$$

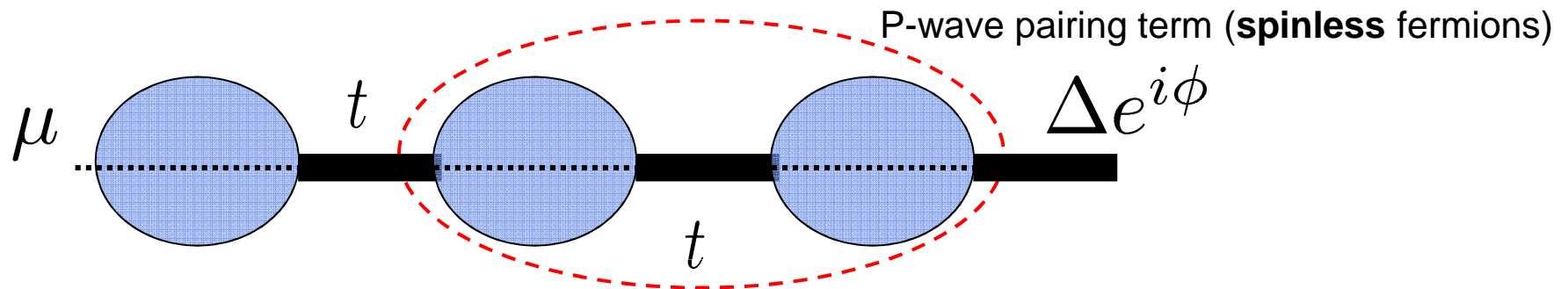
with

$$\bar{\epsilon}_k = t \cos k + \mu$$

$$\Delta(k) = i\Delta e^{i\phi} \sin k$$

# 1D p-wave superconductor (Kitaev model)

$$H = -\mu \sum_x c_x^\dagger c_x - \frac{1}{2} \sum_x (t c_x^\dagger c_{x+1} + \Delta e^{i\phi} c_x c_{x+1} + h.c.)$$



In Bogoliubov-deGennes form: we write the Hamiltonian as:

$$H = \sum_k \Psi_k^\dagger H_{\text{BdG}} \Psi_k$$

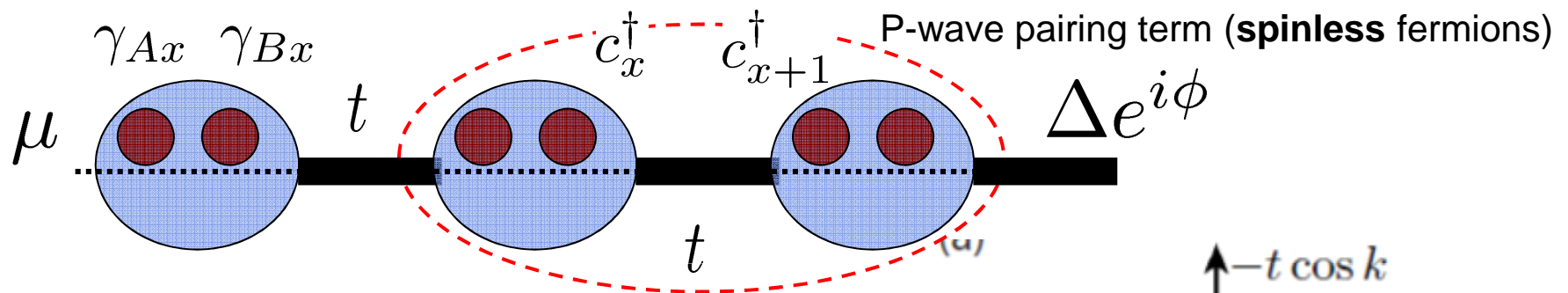
$$H_{\text{BdG}} = \frac{1}{2} \begin{pmatrix} t \cos k + \mu & i\Delta e^{i\phi} \sin k \\ -i\Delta e^{-i\phi} \sin k & -t \cos k - \mu \end{pmatrix}$$

and the spectrum is (two bands):

$$E_{\pm} = \pm \sqrt{(t \cos k + \mu)^2 + |\Delta|^2 \sin^2 k}$$

# 1D p-wave superconductor (Kitaev model)

$$H = -\mu \sum_x c_x^\dagger c_x - \frac{1}{2} \sum_x (t c_x^\dagger c_{x+1} + \Delta e^{i\phi} c_x c_{x+1} + h.c.)$$



Energy spectrum:

$$E(k) = \pm \sqrt{(t \cos k + \mu)^2 + (\Delta \sin k)^2}$$

$$|\mu| > t$$

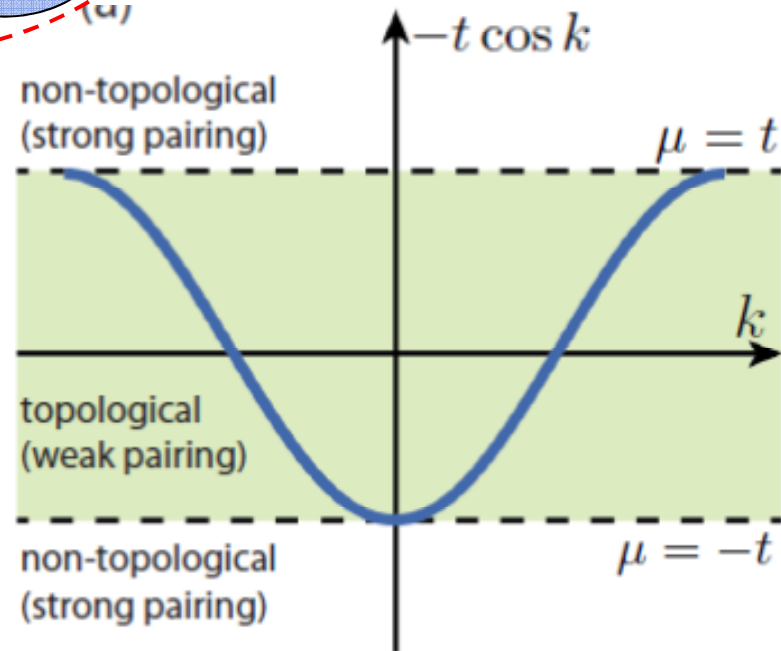
Gapped ( $E_+ - E_- > 0$ ): **trivial**

$$\mu = \pm t$$

Gapless modes ( $E=0$ ):  
 $k = \pm\pi$  or  $k = 0$

$$|\mu| < t$$

Gapped: **topological** ( $\Delta \neq 0$ )



# Majorana states in the Kitaev model.

Map into a “chain of Majorana modes” using:

$$\begin{cases} c_x = \frac{e^{-i\phi/2}}{2} (\gamma_{B,x} + i\gamma_{A,x}) \\ c_x^\dagger = \frac{e^{+i\phi/2}}{2} (\gamma_{B,x} - i\gamma_{A,x}) \end{cases}$$

$$H = -\mu \sum_x c_x^\dagger c_x - \frac{1}{2} \sum_x (t c_x^\dagger c_{x+1} + \Delta e^{i\phi} c_x c_{x+1} + h.c.)$$



$$H = -\frac{\mu}{2} \sum_x^N (1 + i\gamma_{B,x}\gamma_{A,x}) - \frac{i}{4} \sum_x^{N-1} (\Delta + t) \gamma_{B,x}\gamma_{A,x+1} + (\Delta - t) \gamma_{A,x}\gamma_{B,x+1}$$



# Majorana states in the Kitaev model.

$$H = -\frac{\mu}{2} \sum_x^N (1 + i\gamma_{B,x}\gamma_{A,x}) - \frac{i}{4} \sum_x^{N-1} (\Delta + t) \gamma_{B,x}\gamma_{A,x+1} + (\Delta - t) \gamma_{A,x}\gamma_{B,x+1}$$

$$|\mu| > t$$

Gapped: **trivial**. Special case:

$$\begin{cases} \mu \neq 0 \\ t = \Delta = 0 \end{cases}$$

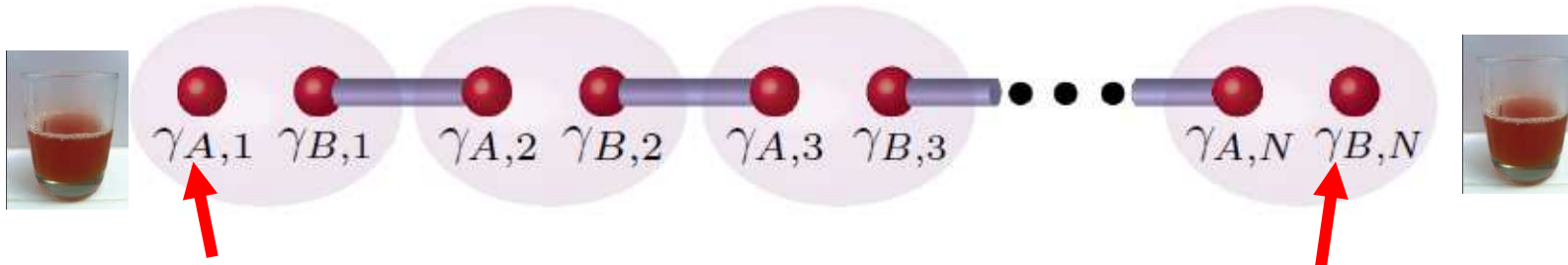


$$|\mu| < t$$

Gapped: **topological**. Special case:

$$\begin{cases} \mu = 0 \\ t = \Delta \neq 0 \end{cases}$$

$$E_+ - E_- = 2\Delta$$



**Topological regime: Majorana fermions ( $e=\mu=0!!!$ ) at the edges of the chain!**

What are Majorana fermions anyway?

# Dirac Equation

- Dirac's equation:  $[c\mathbf{p} \cdot \vec{\alpha} + mc^2\beta] \Psi = E\Psi$

Dirac matrices: Clifford algebra

$$\alpha_i^2 = \beta^2 = 1$$

$$\alpha_i \alpha_j (\beta) = -\alpha_j (\beta) \alpha_i$$



[http://en.wikipedia.org/wiki/Paul\\_Dirac/](http://en.wikipedia.org/wiki/Paul_Dirac/)

Ex.: 1 and 2-D: Pauli matrices (complex)

- Compact form (c=1):  $[i\gamma^\mu \partial_\mu - m] \Psi = 0$

$$H = \gamma^0 [\gamma^i p^i + m]$$

Solutions: “particle” and “anti-particle”

4x4 matrices satisfying

$$\gamma_0^\dagger = \gamma_0$$

$$\gamma_i^\dagger = -\gamma_i$$

	Mass	Energy
$\Psi(\mathbf{r})$	$m$	$E$
$\Psi^\dagger(\mathbf{r})$	$m$	$-E$

# Majorana Fermions

**Majorana solution:** Representations of Dirac matrices with only imaginary non-zero elements while still satisfying



$$\left[ \begin{array}{l} \tilde{\gamma}_0^\dagger = \tilde{\gamma}_0 \\ \tilde{\gamma}_i^\dagger = -\tilde{\gamma}_i \end{array} \right] \Rightarrow [i\tilde{\gamma}^\mu \partial_\mu - m] \Psi_{\text{Maj}} = 0$$

<http://www.giornalettismo.com/archives/255332/il-ritorno-di-ettore-majorana/>

Real solutions:  $\psi_{\text{Maj}} = \psi_{\text{Maj}}^\dagger$   $m=0, E=0$   $\psi_{\text{Maj}} \equiv \gamma$

- A Dirac fermion can be “written” in terms of two Majoranas fermions

$$\left\{ \begin{array}{l} \Psi = \frac{1}{2} (\gamma_1 + i\gamma_2) \\ \Psi^\dagger = \frac{1}{2} (\gamma_1 - i\gamma_2) \end{array} \right. \quad \text{or} \quad \gamma_1 = \frac{1}{2} (\Psi^\dagger + \Psi)$$



Can there be non-elementary Majorana fermions?

# Majorana “quasiparticles” in condensed matter systems

- Fractional Quantum Hall liquids ( $\nu=5/2$ ): “non-Abelian anyons”.  
Moore and Read, *Nucl. Phys. B* **360** 362 (1991).
- Quantum spin systems.  
Kitaev, *Ann. Phys.* **303** 2 (2003).
- Interface of topological insulators with BCS superconductors  
Fu and Kane, *Phys. Rev. Lett.* **100** 096407 (2008).
- Spin-polarized (“spinless”) p-wave superconductors.  
Read and Green, *Phys. Rev. B* **61** 10267 (2000).  
Kitaev, *Phys. Usp.* **44** 131 (2001).

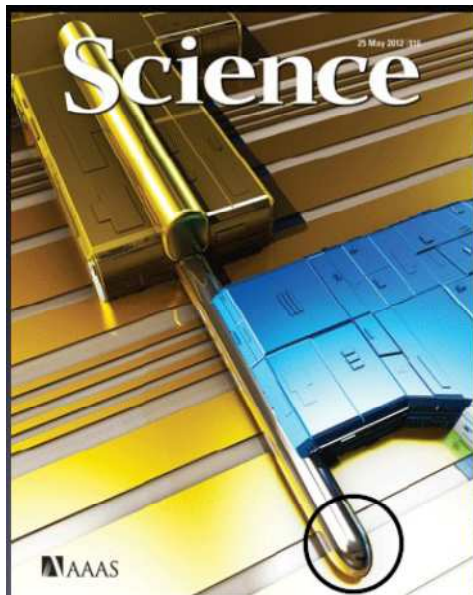
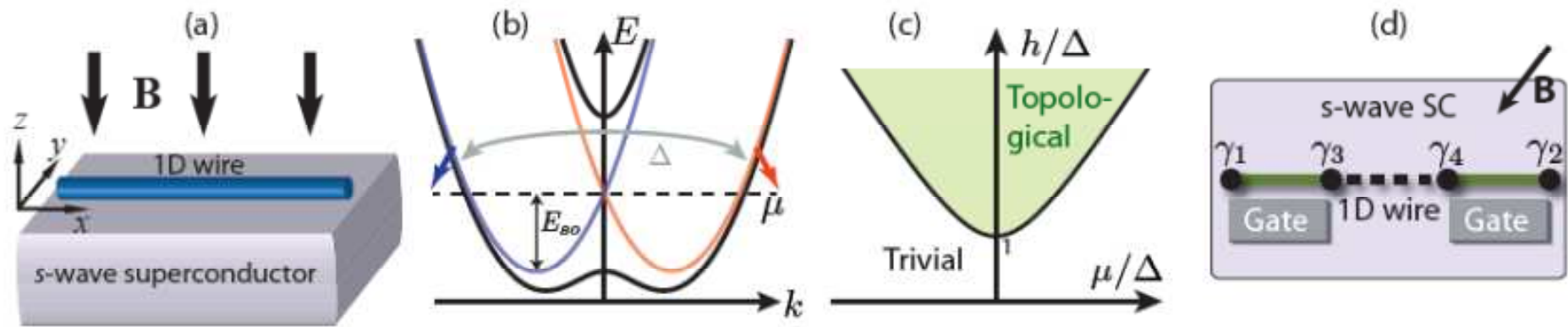
Motivation:

entanglement of particles with non-abelian statistics=  
“topologically protected” quantum computation.

Can the Kitaev model be realized experimentally?

# How to realize a p-wave superconductor: Quantum wires.

**Theory:** Lutchyn et al. PRL, **105**, 077001 (2010); Oreg et al. PRL, **105**, 077002 (2010);



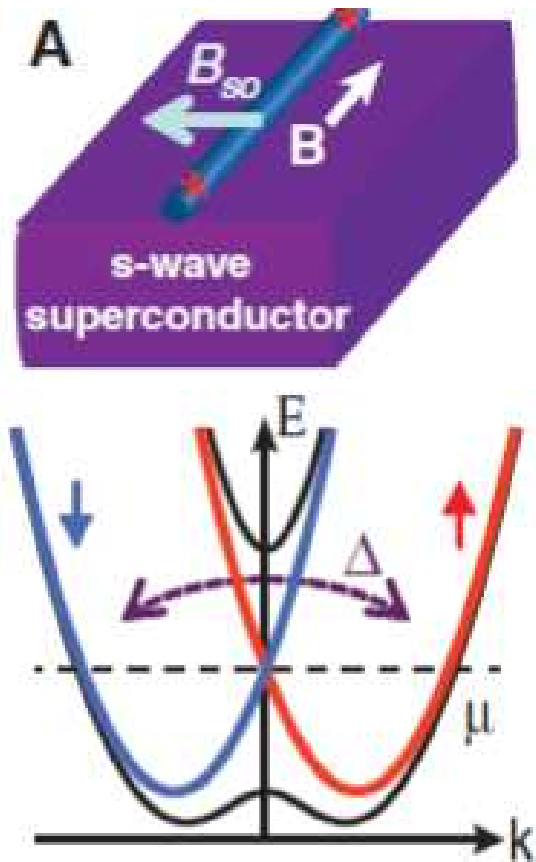
**Experiment:** V. Mourik et al. Science **336** 1003 (2012)



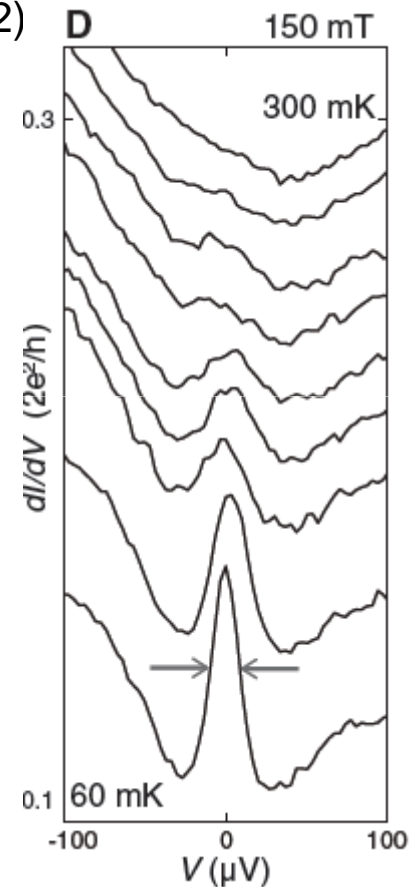
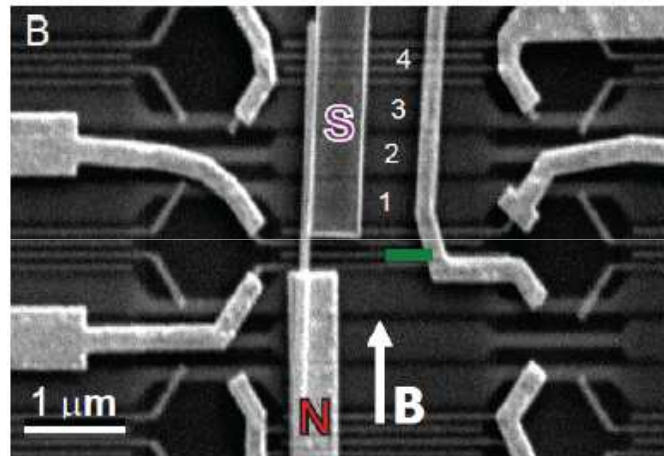
- Experiment: “Majorana is found at the ends of a quantum wire”



# How to realize a p-wave superconductor: Quantum wire?

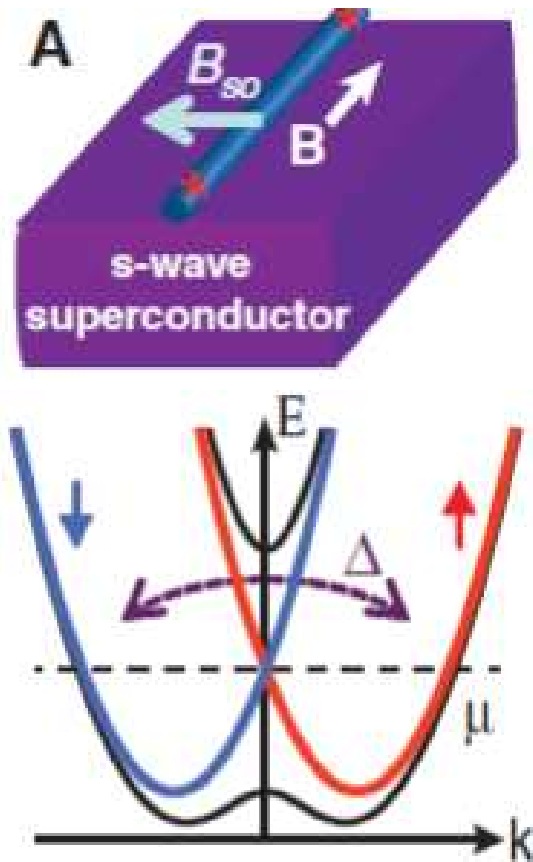


V. Mourik et al. Science **336** 1003 (2012)

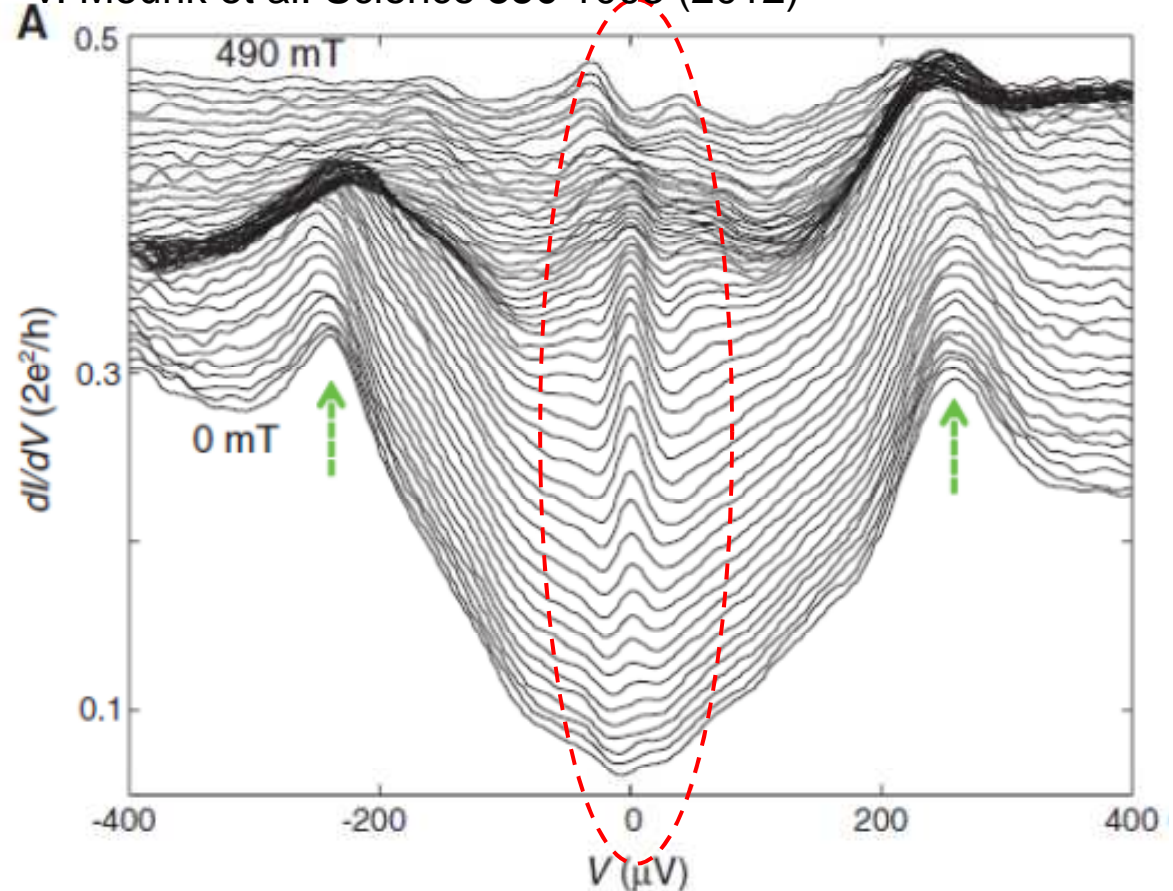


- Nice, but... Are these zero-energy peaks **really** Majorana fermions???
- Other possibilities: Andreev bound states? **Kondo**? Disorder??

# How to realize a p-wave superconductor: Quantum wire?



V. Mourik et al. Science **336** 1003 (2012)



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